

FINITE GROUP ACTIONS ON REDUCTIVE GROUPS AND BUILDINGS AND TAMELY-RAMIFIED DESCENT IN BRUHAT-TITS THEORY

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Dedicated to Guy Rousseau

ABSTRACT. Let K be a discretely valued field with Henselian valuation ring and separably closed (but not necessarily perfect) residue field of characteristic p , H a connected reductive K -group, and Θ a finite group of automorphisms of H . We assume that p does not divide the order of Θ and Bruhat-Tits theory is available for H over K with $\mathcal{B}(H/K)$ the Bruhat-Tits building of $H(K)$. We will show that then Bruhat-Tits theory is also available for $G := (H^\Theta)^\circ$ and $\mathcal{B}(H/K)^\Theta$ is the Bruhat-Tits building of $G(K)$. (In case the residue field of K is perfect, this result was proved in [PY1] by a different method.) As a consequence of this result, we obtain that if Bruhat-Tits theory is available for a connected reductive K -group G over a finite tamely-ramified extension L of K , then it is also available for G over K and $\mathcal{B}(G/K) = \mathcal{B}(G/L)^{\text{Gal}(L/K)}$. Using this, we prove that if G is quasi-split over L , then it is already quasi-split over K .

Introduction. This paper is a sequel to our recent paper [P2]. We will assume familiarity with that paper; we will freely use results, notions and notations introduced in it.

Let \mathcal{O} be a discretely valued Henselian local ring with valuation ω . Let \mathfrak{m} be the maximal ideal of \mathcal{O} and K the field of fractions of \mathcal{O} . We will assume throughout that the residue field κ of \mathcal{O} is separably closed. Let $\widehat{\mathcal{O}}$ denote the completion of \mathcal{O} with respect to the valuation ω and \widehat{K} the completion of K . For any \mathcal{O} -scheme \mathcal{X} , $\mathcal{X}(\mathcal{O})$ and $\mathcal{X}(\widehat{\mathcal{O}})$ will always be assumed to carry the Hausdorff-topology induced from the metric-space topology on \mathcal{O} and $\widehat{\mathcal{O}}$ respectively. It is known that if \mathcal{X} is smooth, then $\mathcal{X}(\mathcal{O})$ is dense in $\mathcal{X}(\widehat{\mathcal{O}})$, [GGM, Prop. 3.5.2]. Similarly, for any K -variety \mathcal{X} , $\mathcal{X}(K)$ and $\mathcal{X}(\widehat{K})$ will be assumed to carry the Hausdorff-topology induced from the metric-space topology on K and \widehat{K} respectively. In case \mathcal{X} is a smooth K -variety, $\mathcal{X}(K)$ is dense in $\mathcal{X}(\widehat{K})$, [GGM, Prop. 3.5.2].

Throughout this paper H will denote a connected reductive K -group. In this introduction, and beginning with §2 everywhere, we will assume that Bruhat-Tits theory is available for H over K [P2, 1.9, 1.10]. Then Bruhat-Tits theory is also available for the derived subgroup $\mathcal{D}(H)$ of H over K [P2, 1.11]. Thus there is an affine building called the Bruhat-Tits building of $H(K)$, that is a polysimplicial complex given with a metric, and $H(K)$ acts on it by polysimplicial isometries.

This building is also the Bruhat-Tits building of $\mathcal{D}(H)(K)$ and we will denote it by $\mathcal{B}(\mathcal{D}(H)/K)$. It is known (cf. [P2, 3.11, 1.11]) that Bruhat-Tits theory is also available over K for the centralizer of any K -split torus in H and for the derived subgroup of such centralizers.

Let \mathfrak{Z} be the maximal K -split torus in the center of H . Let $V(\mathfrak{Z}) = \mathbb{R} \otimes_{\mathbb{Z}} \text{Hom}_K(\text{GL}_1, \mathfrak{Z}_K)$. Then there is a natural action of $H(K)$ on this Euclidean space by translations, with $\mathcal{D}(H)(K)$ acting trivially. The *enlarged* Bruhat-Tits building $\mathcal{B}(H/K)$ of $H(K)$ is the direct product $V(\mathfrak{Z}) \times \mathcal{B}(\mathcal{D}(H)/K)$. The apartments of this building, as well as that of $\mathcal{B}(\mathcal{D}(H)/K)$, are in bijective correspondence with maximal K -split tori of H . Given a maximal K -split torus T of H , the corresponding apartment of $\mathcal{B}(H/K)$ is an affine space under $V(T) := \mathbb{R} \otimes_{\mathbb{Z}} \text{Hom}_K(\text{GL}_1, T)$.

Given a nonempty bounded subset Ω of an apartment of $\mathcal{B}(\mathcal{D}(H)/K)$, there is a smooth affine \mathcal{O} -group scheme \mathcal{H}_Ω with generic fiber H , associated with Ω , such that $\mathcal{H}_\Omega(\mathcal{O})$ is the subgroup $H(K)^\Omega$ of $H(K)$ consisting of elements that fix $V(\mathfrak{Z}) \times \Omega (\subset \mathcal{B}(H/K))$ pointwise [P2, 1.9.1.10]. The neutral component \mathcal{H}_Ω° of \mathcal{H}_Ω is an open affine \mathcal{O} -subgroup scheme of the latter; it is by definition the union of the generic fiber H of \mathcal{H}_Ω and the identity component of its special fiber. The group scheme \mathcal{H}_Ω° is called the Bruhat-Tits group scheme associated to Ω . The special fiber of \mathcal{H}_Ω° will be denoted by $\overline{\mathcal{H}}_\Omega^\circ$.

Let Θ be a finite group of automorphisms of H . We assume that the order of Θ is not divisible by the characteristic of the residue field κ . Let $G = (H^\Theta)^\circ$. This group is also reductive, see [Ri, Prop. 10.1.5] or [PY1, Thm. 2.1]. The goal of this paper is to show that Bruhat-Tits theory is available for G over K , and the *enlarged* Bruhat-Tits building of $G(K)$ can be identified with the subspace $\mathcal{B}(H/K)^\Theta$ of $\mathcal{B}(H/K)$ consisting of points fixed under Θ (see §3). These results have been inspired by the main theorem of [PY1], which implies that if the residue field κ is algebraically closed (then every reductive K -group is quasi-split [P2, 1.7], so Bruhat-Tits theory is available for any such group over K), the enlarged Bruhat-Tits building of $G(K)$ is indeed $\mathcal{B}(H/K)^\Theta$.

In §4, we will use the above results to obtain “tamely-ramified descent”: (1) We will show that if a connected reductive K -group G is quasi-split over a finite tamely-ramified extension L of K , then it is quasi-split over K (Theorem 4.4); this result has been proved by Philippe Gille in [Gi] by an entirely different method. (2) The enlarged Bruhat-Tits building $\mathcal{B}(G/K)$ of $G(K)$ can be identified with the subspace of points of the enlarged Bruhat-Tits building of $G(L)$ that are fixed under the action of the Galois group $\text{Gal}(L/K)$. This latter result was proved by Guy Rousseau in his unpublished thesis [Rou, Prop. 5.1.1]. It is a pleasure to dedicate this paper to him for his important contributions to Bruhat-Tits theory.

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For a K -split torus S , let $X_*(S) = \text{Hom}(\text{GL}_1, S)$ and $V(S) := \mathbb{R} \otimes_{\mathbb{Z}} X_*(S)$. Then for a maximal K -split torus T of H , the apartment $A(T)$ of $\mathcal{B}(H/K)$ corresponding to T is an affine space under $V(T)$.

1. Passage to completion

We begin by proving the following well-known result.

Proposition 1.1. K -rank $H = \widehat{K}$ -rank H .

Proof. Let T be a maximal K -split torus of H and Z be its centralizer in H . Let Z_a be the maximal K -anisotropic connected normal subgroup of Z . Then

$$\widehat{K}\text{-rank } H = \widehat{K}\text{-rank } Z = \dim(T) + \widehat{K}\text{-rank } Z_a = K\text{-rank } H + \widehat{K}\text{-rank } Z_a .$$

So to prove the proposition, it suffices to show that Z_a is anisotropic over \widehat{K} . But according to Theorem 1.1 of [P2], Z_a is anisotropic over \widehat{K} if and only if $Z_a(\widehat{K})$ is bounded. The same theorem implies that $Z_a(K)$ is bounded. As $Z_a(K)$ is dense in $Z_a(\widehat{K})$, we see that $Z_a(\widehat{K})$ is bounded. \square

Proposition 1.2. *Bruhat-Tits theory for H is available over K if and only if it is available over \widehat{K} . Moreover, if Bruhat-Tits theory for H is available over K , then the enlarged Bruhat-Tits buildings of $H(K)$ and $H(\widehat{K})$ are equal.*

It was shown by Guy Rousseau in his thesis that the enlarged Bruhat-Tits buildings of $H(K)$ and $H(\widehat{K})$ coincide [Rou, Prop. 2.3.5]. Moreover, every apartment in the building of $H(K)$ is also an apartment in the building of $H(\widehat{K})$; however, the latter may have many more apartments.

Proof. We assume first that Bruhat-Tits theory is available for H over K and let $\mathcal{B}(H/K)$ denote the enlarged Bruhat-Tits building of $H(K)$. We begin by showing that the action of $H(K)$ on $\mathcal{B}(H/K)$ extends to an action of $H(\widehat{K})$ by isometries. For this purpose, we recall that $H(K)$ is dense in $H(\widehat{K})$ and the isotropy at any point $x \in \mathcal{B}(H/K)$ is a bounded open subgroup of $H(K)$. Now let $\{h_i\}$ be a sequence in $H(K)$ which converges to a point $\widehat{h} \in H(\widehat{K})$, then given any open subgroup of $H(K)$, for all large i and j , $h_i^{-1}h_j$ lies in this open subgroup. Thus for any point x of $\mathcal{B}(H/K)$, the sequence $h_i \cdot x$ is eventually constant, i.e., there exists a positive integer n such that $h_i \cdot x = h_n \cdot x$ for all $i \geq n$. We define $\widehat{h} \cdot x = h_n \cdot x$. This gives a well-defined action of $H(\widehat{K})$ on $\mathcal{B}(H/K)$ by isometries.

For a nonempty bounded subset Ω of an apartment of the Bruhat-Tits building $\mathcal{B}(\mathcal{D}(H)/K)$, let \mathcal{H}_Ω and \mathcal{H}_Ω° be the smooth affine \mathcal{O} -group schemes as in the Introduction. Then as $\mathcal{H}_\Omega(\widehat{\mathcal{O}})$ is a closed and open subgroup of $H(\widehat{K})$ containing $\mathcal{H}_\Omega(\mathcal{O})$ as a dense subgroup, we see that $\mathcal{H}_\Omega(\widehat{\mathcal{O}})$ equals the subgroup $H(\widehat{K})^\Omega$ of $H(\widehat{K})$ consisting of elements that fix $V(\mathfrak{z}) \times \Omega$ pointwise.

Let T be a maximal K -split torus of H , then by Proposition 1.1, $T_{\widehat{K}}$ is a maximal \widehat{K} -split torus of $H_{\widehat{K}}$. Let A be the apartment of $\mathcal{B}(H/K)$, or of $\mathcal{B}(\mathcal{D}(H)/K)$,

corresponding to T . Then every maximal \widehat{K} -split torus of $H_{\widehat{K}}$ is of the form $\widehat{h}T_{\widehat{K}}\widehat{h}^{-1}$ for an $\widehat{h} \in H(\widehat{K})$, and we define the corresponding apartment to be $\widehat{h} \cdot A$. We now declare $\mathcal{B}(H/K)$ (resp. $\mathcal{B}(\mathcal{D}(H)/K)$) to be the enlarged Bruhat-Tits building (resp. the Bruhat-Tits building) of $H(\widehat{K})$ with these apartments.

Let A be an apartment of the Bruhat-Tits building of $H(K)$ corresponding to a maximal K -split torus T of H and $\widehat{h} \in H(\widehat{K})$. Given a nonempty bounded subset $\widehat{\Omega}$ of $\widehat{A} := \widehat{h} \cdot A$, the subset $\Omega := \widehat{h}^{-1} \cdot \widehat{\Omega}$ is contained in A . The closed and open subgroup $\widehat{h}H(\widehat{K})^{\Omega}\widehat{h}^{-1} = \widehat{h}\mathcal{H}_{\Omega}(\widehat{\mathcal{O}})\widehat{h}^{-1}$ of $H(\widehat{K})$ is the subgroup $H(\widehat{K})^{\widehat{\Omega}}$ consisting of elements that fix $V(\mathfrak{z}) \times \widehat{\Omega}$ pointwise. Now as $H(K)$ is dense in $H(\widehat{K})$ and $H(\widehat{K})^{\widehat{\Omega}}$ is an open subgroup, $H(\widehat{K}) = H(\widehat{K})^{\widehat{\Omega}} \cdot H(K)$, so $\widehat{h} = h' \cdot h$, with $h' \in H(\widehat{K})^{\widehat{\Omega}}$ and $h \in H(K)$. Thus the apartment $\widehat{A} = \widehat{h} \cdot A = h' \cdot hA$, and hA is an apartment of the Bruhat-Tits building of $H(K)$. As $h' \in H(\widehat{K})^{\widehat{\Omega}}$, the apartment hA contains $\widehat{\Omega}$. This shows that any bounded subset $\widehat{\Omega}$ of an apartment of the Bruhat-Tits building of $H(\widehat{K})$ is contained in an apartment of the Bruhat-Tits building of $H(K)$. We define the $\widehat{\mathcal{O}}$ -group schemes $\mathcal{H}_{\widehat{\Omega}}$ and $\mathcal{H}_{\widehat{\Omega}}^{\circ}$ associated to $\widehat{\Omega}$ to be the group schemes obtained from the corresponding \mathcal{O} -group schemes (given by considering $\widehat{\Omega}$ to be a nonempty bounded subset of an apartment of the building of $H(K)$) by extension of scalars $\mathcal{O} \hookrightarrow \widehat{\mathcal{O}}$.

Let us assume now that Bruhat-Tits theory is available for H over \widehat{K} . Then Bruhat-Tits theory is also available for $\mathcal{D}(H)$ over \widehat{K} [P2, 1.11]. The action of $H(\widehat{K})$ on its building $\mathcal{B}(\mathcal{D}(H)/\widehat{K})$ restricts to an action of $H(K)$ by isometries. Let T be a maximal K -split torus of G and A be the apartment of $\mathcal{B}(\mathcal{D}(H)/\widehat{K})$ corresponding to $T_{\widehat{K}}$. We consider the polysimplicial complex $\mathcal{B}(\mathcal{D}(H)/\widehat{K})$, with apartments $h \cdot A$, $h \in H(K)$, as the building of $H(K)$ and denote it by $\mathcal{B}(\mathcal{D}(H)/K)$.

Let $\widehat{\Omega}$ be a nonempty bounded subset of the apartment $\widehat{A} = \widehat{h} \cdot A$, $\widehat{h} \in H(\widehat{K})$, in the building $\mathcal{B}(\mathcal{D}(H)/\widehat{K})$. As $H(K)$ is dense in $H(\widehat{K})$, the intersection $\mathcal{H}_{\widehat{\Omega}}(\widehat{\mathcal{O}})\widehat{h} \cap H(K)$ is nonempty. For any h in this intersection, $\widehat{\Omega}$ is contained in the apartment $h \cdot A$ of $\mathcal{B}(\mathcal{D}(H)/K)$. This implies, in particular, that any two facets lie on an apartment of $\mathcal{B}(\mathcal{D}(H)/K)$. We now note that the $\widehat{\mathcal{O}}$ -group schemes $\mathcal{H}_{\widehat{\Omega}}$ and $\mathcal{H}_{\widehat{\Omega}}^{\circ}$ admit unique descents to smooth affine \mathcal{O} -group schemes with generic fiber H , [BLR, Prop. D.4(b) in §6.1]; the affine rings of these descents are $K[H] \cap \widehat{\mathcal{O}}[\mathcal{H}_{\widehat{\Omega}}]$ and $K[H] \cap \widehat{\mathcal{O}}[\mathcal{H}_{\widehat{\Omega}}^{\circ}]$ respectively. \square

In view of the preceding proposition, we may (and do) replace \mathcal{O} and K with $\widehat{\mathcal{O}}$ and \widehat{K} respectively to assume in the rest of this paper that \mathcal{O} and K are complete.

2. Fixed points in $\mathcal{B}(H/K)$ under a finite automorphism group Θ of H

We will henceforth assume that Bruhat-Tits theory is available for H over K .

2.1. Let G be a smooth affine K -group and \mathcal{G} be a smooth affine \mathcal{O} -group scheme with generic fiber G . According to [BrT2, 1.7.1-1.7.2] \mathcal{G} is “étouffé” and hence by (ET) of [BrT2, 1.7.1] its affine ring has the following description:

$$\mathcal{O}[\mathcal{G}] = \{f \in K[G] \mid f(\mathcal{G}(\mathcal{O})) \subset \mathcal{O}\}.$$

Let Ω be a nonempty bounded subset of an apartment of $\mathcal{B}(\mathcal{D}(H)/K)$. As the \mathcal{O} -group scheme \mathcal{H}_Ω is smooth and affine and its generic fiber is H , the affine ring of \mathcal{H}_Ω has thus the following description:

$$\mathcal{O}[\mathcal{H}_\Omega] = \{f \in K[H] \mid f(H(K)^\Omega) \subset \mathcal{O}\}.$$

Proposition 2.2. *Let Ω be a nonempty bounded subset of an apartment of $\mathcal{B}(\mathcal{D}(H)/K)$. Let \mathcal{H}_Ω and \mathcal{H}_Ω° be as above. Let G be a smooth connected K -subgroup of H and \mathcal{G} be a smooth affine \mathcal{O} -group scheme with generic fiber G and connected special fiber. Assume that a subgroup \mathcal{G} of $\mathcal{G}(\mathcal{O})$ of finite index fixes Ω pointwise (i.e., $\mathcal{G} \subset H(K)^\Omega$). Then there is a \mathcal{O} -group scheme homomorphism $\varphi : \mathcal{G} \rightarrow \mathcal{H}_\Omega^\circ$ that is the natural inclusion $G \hookrightarrow H$ on the generic fibers. So the subgroup $\mathcal{G}(\mathcal{O})$ of $G(K)$ is contained in $\mathcal{H}_\Omega^\circ(\mathcal{O})$ and hence it fixes Ω pointwise. If F is a facet of $\mathcal{B}(\mathcal{D}(H)/K)$ that meets Ω , then $\mathcal{G}(\mathcal{O})$ fixes F pointwise.*

Let S be a K -split torus of H and \mathcal{S} the \mathcal{O} -torus with generic fiber S . If a subgroup of the maximal bounded subgroup $\mathcal{S}(\mathcal{O})$ of $S(K)$ of finite index fixes Ω pointwise, then there is a maximal K -split torus T of H containing S such that Ω is contained in the apartment of $\mathcal{B}(\mathcal{D}(H)/K)$ corresponding to T .

Proof. Since the fibers of the smooth affine group scheme \mathcal{G} are connected and the residue field κ is separably closed, the subgroup \mathcal{G} is Zariski-dense in G , and its image in $\mathcal{G}(\kappa)$ is Zariski-dense in the special fiber of \mathcal{G} . Using this observation, we easily see that the affine ring $\mathcal{O}[\mathcal{G}] (\subset K[G])$ of \mathcal{G} has the following description (cf. [BrT2, 1.7.2]):

$$\mathcal{O}[\mathcal{G}] = \{f \in K[G] \mid f(\mathcal{G}) \subset \mathcal{O}\}.$$

This description of $\mathcal{O}[\mathcal{G}]$ implies at once that the inclusion $\mathcal{G} \hookrightarrow H(K)^\Omega$ induces a \mathcal{O} -group scheme homomorphism $\varphi : \mathcal{G} \rightarrow \mathcal{H}_\Omega^\circ$ that is the natural inclusion $G \hookrightarrow H$ on the generic fibers. Since \mathcal{G} has connected fibers, the homomorphism φ factors through \mathcal{H}_Ω° .

Any facet F of $\mathcal{B}(\mathcal{D}(H)/K)$ that meets Ω is stable under $\mathcal{G}(\mathcal{O}) (\subset H(K))$, so a subgroup of $\mathcal{G}(\mathcal{O})$ of finite index fixes it pointwise. Now applying the result of the preceding paragraph, for F in place of Ω , we see that there is a \mathcal{O} -group scheme homomorphism $\mathcal{G} \rightarrow \mathcal{H}_F^\circ$ that is the natural inclusion $G \hookrightarrow H$ on the generic fibers and hence $\mathcal{G}(\mathcal{O})$ fixes F pointwise.

Now we will prove the last assertion of the proposition. It follows from what we have shown above that there is a \mathcal{O} -group scheme homomorphism $\iota : \mathcal{S} \rightarrow \mathcal{H}_\Omega^\circ$ that is the natural inclusion $S \hookrightarrow H$ on the generic fibers (ι is actually a closed immersion, see [PY2, Lemma 4.1]). Applying [P2, Prop. 2.1(i)] to the centralizer of $\iota(\mathcal{S})$ (in \mathcal{H}_Ω°) in place of \mathcal{G} , and \mathcal{O} in place of \mathfrak{o} , we see that there is a closed \mathcal{O} -torus

\mathcal{T} of \mathcal{H}_Ω° that commutes with $\iota(\mathcal{S})$ and whose generic fiber T is a maximal K -split torus of H . The torus T clearly contains S , and [P2, Prop. 2.2(ii)] implies that Ω is contained in the apartment corresponding to T . \square

The following is a simple consequence of the preceding proposition.

Corollary 2.3. *Let G , S , \mathcal{G} , and \mathcal{S} be as in the preceding proposition. Then the set of points of $\mathcal{B}(\mathcal{D}(H)/K)$ that are fixed under $\mathcal{G}(\mathcal{O})$ is the union of facets pointwise fixed under $\mathcal{G}(\mathcal{O})$. The set of points of the enlarged building $\mathcal{B}(H/K)$ that are fixed under a finite-index subgroup \mathcal{S} of the maximal bounded subgroup $S(K)_b (= \mathcal{S}(\mathcal{O}))$ of $S(K)$ is the enlarged Bruhat-Tits building $\mathcal{B}(Z_H(S)/K)$ of the centralizer $Z_H(S)(K)$ of S in $H(K)$.*

2.4. Let Θ be a finite group of automorphisms of the reductive K -group H . There is a natural action of Θ on the Bruhat-Tits building $\mathcal{B}(\mathcal{D}(H)/K)$ of $H(K)$ by polysimplicial isometries such that for all $h \in H(K)$, $x \in \mathcal{B}(\mathcal{D}(H)/K)$ and $\theta \in \Theta$, we have $\theta(h \cdot x) = \theta(h) \cdot \theta(x)$.

Let Ω be a nonempty bounded subset of an apartment of $\mathcal{B}(\mathcal{D}(H)/K)$. Assume that Ω is stable under the action of Θ on $\mathcal{B}(\mathcal{D}(H)/K)$. Then $\mathcal{H}_\Omega(\mathcal{O})$ is stable under the action of Θ on $H(K)$, so the affine ring $\mathcal{O}[\mathcal{H}_\Omega]$ is stable under the action of Θ on $K[H]$. This implies that Θ acts on the group scheme \mathcal{H}_Ω by \mathcal{O} -group scheme automorphisms. The neutral component \mathcal{H}_Ω° of \mathcal{H}_Ω is of course stable under this action.

In the following we assume that the characteristic p of the residue field κ does not divide the order of Θ . Then $G := (H^\Theta)^\circ$ is a reductive group, see [Ri, Prop. 10.1.5] or [PY1, Thm. 2.1]. We will prove that Bruhat-Tits theory is available for G over K and the enlarged Bruhat-Tits building of $G(K)$, as a metric space, can be identified with the subspace $\mathcal{B}(H/K)^\Theta$ of points of $\mathcal{B}(H/K)$ fixed under Θ .

Let C be the maximal K -split central torus of G and H' be the derived subgroup of the centralizer of C in H . Then H' is a connected semi-simple subgroup of H stable under the group Θ of automorphisms of H ; $(H'^\Theta)^\circ (\subset G)$ contains the derived subgroup of G and its central torus is K -anisotropic. Replacing H with H' we assume in the sequel that H is semi-simple and the central torus of G is K -anisotropic (cf. [P2, 3.11, 1.11]).

For a subset X of a set given with an action of Θ , we denote by X^Θ the subset of points of X that are fixed under Θ . We will denote $\mathcal{B}(H/K)^\Theta$ by \mathcal{B} in the sequel.

If a facet of $\mathcal{B}(H/K)$ is stable under the action of Θ , then its barycenter is fixed under Θ . Conversely, if a facet F contains a point x fixed under Θ , then being the unique facet containing x , F is stable under the action of Θ .

2.5. We introduce the following partial order “ \prec ” on the set of nonempty subsets of $\mathcal{B}(H/K)$: Given two nonempty subsets Ω and Ω' , $\Omega' \prec \Omega$ if the closure $\bar{\Omega}$ of Ω contains Ω' . If F and F' are facets of $\mathcal{B}(H/K)$, with $F' \prec F$, or equivalently, $\mathcal{H}_F^\circ(\mathcal{O}) \subset \mathcal{H}_{F'}^\circ(\mathcal{O})$, we say that F' is a *face* of F . In a collection \mathcal{C} of facets, thus a

facet is *maximal* if it is not a proper face of any facet belonging to \mathcal{C} , and a facet is *minimal* if no proper face of it belongs to \mathcal{C} .

Now let X be a convex subset of $\mathcal{B}(H/K)$ and \mathcal{C} be the set of facets of $\mathcal{B}(H/K)$, or facets lying in a given apartment A , that meet X . Then the following assertions are easy to prove (see Proposition 9.2.5 of [BrT1]): (1) All maximal facets in \mathcal{C} are of equal dimension and a facet $F \in \mathcal{C}$ is maximal if and only if $\dim(F \cap X)$ is maximal. (2) Let F be a facet lying in an apartment A . Assume that F is maximal among the facets of A that meet X , and let A_F be the affine subspace of A spanned by F . Then every facet of A that meets X is contained in A_F and $A \cap X$ is contained in the affine subspace of A spanned by $F \cap X$.

The subset $\mathcal{B} = \mathcal{B}(H/K)^\Theta$ of $\mathcal{B}(H/K)$ is closed and convex. Hence the assertions of the preceding paragraph hold for \mathcal{B} in place of X . We will show in this section that \mathcal{B} is an affine building with apartments described below. We begin with the following proposition which has been suggested by Proposition 1.1 of [PY1], and the proof given here is an adaptation of the proof of that proposition.

Proposition 2.6. *Let A be an apartment of $\mathcal{B}(H/K)$ and F a facet of A that meets \mathcal{B} . Let Ω be a nonempty bounded subset of the affine subspace A_F of A spanned by F . We assume that Ω contains F and is stable under the action of Θ on $\mathcal{B}(H/K)$. Let $\mathcal{H} := \mathcal{H}_\Omega^\circ$ be the Bruhat-Tits smooth affine Θ -group scheme with generic fiber H , and connected special fiber $\overline{\mathcal{H}}$, associated with Ω . Let $\overline{\mathcal{H}}^{\text{pred}} := \overline{\mathcal{H}}/\mathcal{R}_{u,\kappa}(\overline{\mathcal{H}})$ be the maximal pseudo-reductive quotient of $\overline{\mathcal{H}}$. Then there exist K -split tori $S \subset T$ in H such that*

- (i) T is a maximal K -split torus of H and Ω is contained in the apartment $A(T)$ corresponding to T ;
- (ii) S is stable under Θ and the special fiber of the schematic closure \mathcal{S} of S in \mathcal{H} maps onto the central torus of $\overline{\mathcal{H}}^{\text{pred}}$.

Proof. Let \mathcal{T} be the set of maximal K -split tori T of H such that $\Omega \subset A(T)$. Then the automorphism group Θ clearly permutes \mathcal{T} , and the subgroup $\mathcal{P} := \mathcal{H}(\Theta)$ acts transitively on \mathcal{T} [P2, Prop. 2.2(i)]. Hence, for every $T \in \mathcal{T}$, Ω is contained in the affine subspace of $A(T)$ spanned by the facet F .

For $T \in \mathcal{T}$, let S_T be the lift of the central torus of $\overline{\mathcal{H}}^{\text{pred}}$ in T . It is clear that the pair (S, T) satisfy (i) and (ii) if S is Θ -stable. We consider $\mathcal{S} := \{S_T \mid T \in \mathcal{T}\}$; Θ acts by permutation on \mathcal{S} and \mathcal{P} acts transitively on it. We will find an element of \mathcal{S} that is Θ -stable. We first prove the following lemma.

Lemma 2.7. *Let $T \in \mathcal{T}$ and $S := S_T$ be as above. Then*

- (i) *The normalizer of S in \mathcal{P} centralizes S .*
- (ii) *$\mathcal{P} = \mathcal{P}_S \cdot \mathcal{U}$, where \mathcal{P}_S is the centralizer of S in \mathcal{P} and \mathcal{U} is the kernel of the natural homomorphism $\mathcal{H}(\Theta) \rightarrow \overline{\mathcal{H}}^{\text{pred}}(\kappa)$.*

Proof. (i) The affine subspace $A(T)_F$ of $A(T)$ spanned by F is an affine space under the \mathbb{R} -vector space $V(S)$. So for any $x \in F$, $V(S) + x = A(T)_F$. Now let h be an element of \mathcal{P} that normalizes S . Then h takes $A(T)_F = V(S) + x (\subset A(T))$ to $V(S) + h \cdot x = V(S) + x (\subset A(hTh^{-1}))$ by an affine transformation whose derivative gives the action of h on $V(S)$. As h fixes the open subset F of $A(T)_F$ pointwise, its derivative acts trivially on $V(S)$ and hence h centralizes S .

(ii) Let \mathcal{S} and \mathcal{T} be the closed \mathcal{O} -tori in \mathcal{H} with generic fibers S and T respectively. Then the centralizer $\mathcal{H}^{\mathcal{S}}$ of \mathcal{S} in \mathcal{H} is a smooth affine \mathcal{O} -subgroup scheme [CGP, Prop. A.8.10(2)]. Let $\overline{\mathcal{S}}$ be the special fiber of \mathcal{S} and $\overline{\mathcal{H}}^{\overline{\mathcal{S}}}$ be the centralizer of $\overline{\mathcal{S}}$ in the special fiber $\overline{\mathcal{H}}$ of \mathcal{H} . Since \mathcal{O} is Henselian, the natural map $(\mathcal{P}_S =) \mathcal{H}^{\mathcal{S}}(\mathcal{O}) \rightarrow \overline{\mathcal{H}}^{\overline{\mathcal{S}}}(\kappa)$ is surjective [EGA IV₄ 18.5.17]. As the image of $\overline{\mathcal{S}}$ in $\overline{\mathcal{H}}^{\text{pred}}$ is central, the natural homomorphism $\overline{\mathcal{H}}^{\overline{\mathcal{S}}} \rightarrow \overline{\mathcal{H}}^{\text{pred}}$ is surjective (see [Bo, Prop. 9.6]). On the other hand, $\mathcal{R}_{u,\kappa}(\overline{\mathcal{H}}) \cap \overline{\mathcal{H}}^{\overline{\mathcal{S}}} = \mathcal{R}_{u,\kappa}(\overline{\mathcal{H}}^{\overline{\mathcal{S}}})$ ([CGP, Prop. A.8.14]; note that as $\overline{\mathcal{S}}$ is a torus, both $\overline{\mathcal{H}}^{\overline{\mathcal{S}}}$ and $(\mathcal{R}_{u,\kappa}(\overline{\mathcal{H}}))^{\overline{\mathcal{S}}} = \mathcal{R}_{u,\kappa}(\overline{\mathcal{H}}) \cap \overline{\mathcal{H}}^{\overline{\mathcal{S}}}$ are smooth and connected). So the natural map $\overline{\mathcal{H}}^{\overline{\mathcal{S}}} / \mathcal{R}_{u,\kappa}(\overline{\mathcal{H}}^{\overline{\mathcal{S}}}) \rightarrow \overline{\mathcal{H}}^{\text{pred}}$ is an isomorphism. Since κ is separably closed, this implies that $\overline{\mathcal{H}}^{\overline{\mathcal{S}}}(\kappa) \rightarrow \overline{\mathcal{H}}^{\text{pred}}(\kappa)$ is surjective. Hence, the map $\mathcal{P}_S \rightarrow \overline{\mathcal{H}}^{\text{pred}}(\kappa)$ is surjective too. From this we conclude that $\mathcal{P} = \mathcal{P}_S \cdot \mathcal{U}$. \square

We will now complete the proof of Proposition 2.6. As in the preceding lemma, let \mathcal{U} be the kernel of the natural homomorphism $\mathcal{H}(\mathcal{O}) \rightarrow \overline{\mathcal{H}}^{\text{pred}}(\kappa)$. Since Ω has been assumed to be stable under the action of Θ on $\mathcal{B}(H/K)$, the group Θ acts on \mathcal{H} by \mathcal{O} -group scheme automorphisms. So \mathcal{U} is stable under the induced action of Θ on $\mathcal{P} = \mathcal{H}(\mathcal{O})$. We will now describe a descending Θ -stable filtration of the subgroup \mathcal{U} . For a non-negative integer i , let \mathcal{U}_i be the kernel of the homomorphism $\mathcal{P} = \mathcal{H}(\mathcal{O}) \rightarrow \mathcal{H}(\mathcal{O}/\mathfrak{m}^{i+1})$. Then each \mathcal{U}_i is a normal subgroup of \mathcal{P} and is stable under the action of Θ on the latter, $\mathcal{U}_i \supset \mathcal{U}_{i+1}$, and $\mathcal{U}_i/\mathcal{U}_{i+1}$ is a κ -vector space for all $i \geq 0$ [CGP, Prop. A.5.12]. The quotient $\mathcal{U}/\mathcal{U}_0$ is isomorphic to $\mathcal{R}_{u,\kappa}(\overline{\mathcal{H}})(\kappa)$. If $p = 0$, we consider the ascending filtration of the nilpotent group $\mathcal{R}_{u,\kappa}(\overline{\mathcal{H}})(\kappa)$ given by its ascending central series, and if $p \neq 0$ we consider the ascending filtration of the unipotent group $\mathcal{R}_{u,\kappa}(\overline{\mathcal{H}})$ given by Corollary B.3.3 of [CGP] to obtain an ascending filtration of $\mathcal{U}/\mathcal{U}_0$. The inverse image in \mathcal{U} of this filtration of $\mathcal{U}/\mathcal{U}_0$ gives us a descending filtration $\mathcal{U} = \mathcal{U}_{-n} \supset \mathcal{U}_{-n+1} \supset \mathcal{U}_{-n+2} \cdots \supset \mathcal{U}_0$, where n is a non-negative integer. For all $j \geq -n$, \mathcal{U}_j is a normal subgroup of \mathcal{P} that is stable under the action of Θ on the latter, $\mathcal{U}_j/\mathcal{U}_{j+1}$ is a commutative group of exponent p if $p \neq 0$, and is a vector space over \mathbb{Q} if $p = 0$. For convenience, we will denote \mathcal{U}_j by $\mathcal{U}^{(j+n+1)}$ for all j . Thus we have a decreasing filtration $\mathcal{U} = \mathcal{U}^{(1)} \supset \mathcal{U}^{(2)} \supset \mathcal{U}^{(3)} \cdots$.

For $S \in \mathcal{S}$, let $\mathcal{Z}_S^{(j)}$ be the centralizer of S in $\mathcal{U}^{(j)}$. If for $\theta \in \Theta$, there exists $u(\theta) \in \mathcal{U}^{(j)}$ such that $\theta(S) = u(\theta)^{-1}Su(\theta)$, then $\mathcal{Z}_S^{(j)}\mathcal{U}^{(j+1)}$ is Θ -stable. To

see this, let $\theta \in \Theta$, and pick $u(\theta) \in \mathcal{U}^{(j)}$ such that $\theta(S) = u(\theta)^{-1}Su(\theta)$. Then $\theta(\mathcal{Z}_S^{(j)}) = u(\theta)^{-1}\mathcal{Z}_S^{(j)}u(\theta)$. So $\theta(\mathcal{Z}_S^{(j)}\mathcal{U}^{(j+1)}) = u(\theta)^{-1}\mathcal{Z}_S^{(j)}u(\theta)\mathcal{U}^{(j+1)} = \mathcal{Z}_S^{(j)}\mathcal{U}^{(j+1)}$ since $\mathcal{U}^{(j)}/\mathcal{U}^{(j+1)}$ is commutative. This shows that $\mathcal{Z}_S^{(j)}\mathcal{U}^{(j+1)}$ is Θ -stable. Now as Θ is a finite group of order prime to p if $p \neq 0$, and $\mathcal{U}^{(j)}/\mathcal{Z}_S^{(j)}\mathcal{U}^{(j+1)}$ is a commutative divisible group if $p = 0$, we conclude that $H^1(\Theta, \mathcal{U}^{(j)}/\mathcal{Z}_S^{(j)}\mathcal{U}^{(j+1)}) = 0$ for all p .

Now we fix an $S_0 \in \mathcal{S}$. Then for $\theta \in \Theta$, clearly $\theta(S_0) \in \mathcal{S}$, and since \mathcal{P} acts transitively on \mathcal{S} , we see using Lemma 2.7(ii) (for S_0 in place of S) that $\theta(S_0) = u_1(\theta)^{-1}S_0u_1(\theta)$ with $u_1(\theta) \in \mathcal{U}^{(1)} (= \mathcal{U})$. As $\mathcal{Z}_{S_0}^{(1)}$ is the normalizer of S_0 in $\mathcal{U}^{(1)}$ (Lemma 2.7(i)), we see that $\theta \mapsto u_1(\theta) \pmod{\mathcal{Z}_{S_0}^{(1)}\mathcal{U}^{(2)}}$ is a 1-cocycle on Θ with values in $\mathcal{U}^{(1)}/\mathcal{Z}_{S_0}^{(1)}\mathcal{U}^{(2)}$, and hence it is a 1-coboundary. This means that there is a $v_1 \in \mathcal{U}^{(1)}$ such that $u'_1(\theta) := v_1^{-1}u_1(\theta)\theta(v_1) \in \mathcal{Z}_{S_0}^{(1)}\mathcal{U}^{(2)}$ for all $\theta \in \Theta$.

Let $S_1 = v_1^{-1}S_0v_1$. Then for $\theta \in \Theta$, we have $\theta(S_1) = u'_1(\theta)^{-1}S_1u'_1(\theta)$. Observe that $u'_1(\theta) \in \mathcal{Z}_{S_0}^{(1)}\mathcal{U}^{(2)} = v_1\mathcal{Z}_{S_1}^{(1)}v_1^{-1}\mathcal{U}^{(2)} = \mathcal{Z}_{S_1}^{(1)}\mathcal{U}^{(2)}$ as $\mathcal{U}^{(1)}/\mathcal{U}^{(2)}$ is commutative. So for each $\theta \in \Theta$, there is an element $u_2(\theta)$ of $\mathcal{U}^{(2)}$ such that $\theta(S_1) = u_2(\theta)^{-1}S_1u_2(\theta)$. Now, as above, using the fact that the normalizer of S_1 in $\mathcal{U}^{(2)}$ is the centralizer $\mathcal{Z}_{S_1}^{(2)}$, we see that $\theta \mapsto u_2(\theta) \pmod{\mathcal{Z}_{S_1}^{(2)}\mathcal{U}^{(3)}}$ is a 1-cocycle on Θ with values in $\mathcal{U}^{(2)}/\mathcal{Z}_{S_1}^{(2)}\mathcal{U}^{(3)}$, and hence it is a 1-coboundary. Therefore, there is a $v_2 \in \mathcal{U}^{(2)}$ such that $u'_2(\theta) := v_2^{-1}u_2(\theta)\theta(v_2) \in \mathcal{Z}_{S_1}^{(2)}\mathcal{U}^{(3)}$ for all $\theta \in \Theta$.

Repeating the above argument, we construct a sequence $\{S_i\}$ of tori in \mathcal{S} , and a sequence of elements $v_i \in \mathcal{U}^{(i)}$, such that

- $S_i = v_i^{-1}S_{i-1}v_i$, and for each $\theta \in \Theta$, there is an element $u_{i+1}(\theta)$ of $\mathcal{U}^{(i+1)}$ such that $\theta(S_i) = u_{i+1}(\theta)^{-1}S_iu_{i+1}(\theta)$, and $\theta \mapsto u_{i+1}(\theta) \pmod{\mathcal{Z}_{S_i}^{(i+1)}\mathcal{U}^{(i+2)}}$ is a 1-cocycle on Θ with values in $\mathcal{U}^{(i+1)}/\mathcal{Z}_{S_i}^{(i+1)}\mathcal{U}^{(i+2)}$.

For $i \geq 1$, let $w_i = v_1v_2 \cdots v_i$. Then $S_i = w_i^{-1}S_0w_i$. Since $v_j \in \mathcal{U}^{(j)}$, and \mathcal{O} has been assumed to be complete, $w := \lim_{i \rightarrow \infty} w_i$ exists in \mathcal{U} . Let $S = w^{-1}S_0w$. For $\theta \in \Theta$, as $\theta(S_i) = u_{i+1}(\theta)^{-1}S_iu_{i+1}(\theta)$, we see that $u_1(\theta)\theta(w_i)u_{i+1}(\theta)^{-1}w_i^{-1}$ normalizes S_0 . Since the normalizer of S_0 in $H(K)$ is closed, taking $i \rightarrow \infty$, we conclude that $u_1(\theta)\theta(w)w^{-1}$ normalizes S_0 . This implies that $\theta(S) = S$ for all $\theta \in \Theta$. \square

2.8. Let $x, y \in \mathcal{B} = \mathcal{B}(H/K)^\Theta$. Let F be a facet of $\mathcal{B}(H/K)$ which contains x in its closure and is maximal among the facets that meet \mathcal{B} , and let $\Omega = F \cup \{y\}$. Let $S \subset T$ be a pair of K -split tori with properties (i) and (ii) of Proposition 2.6, and S_G and T_G be the maximal subtori of S and T respectively contained in G . Let A be the apartment of $\mathcal{B}(H/K)$ corresponding to the maximal K -split torus T of H . Then A contains y and the closure of F , and so it also contains x . Moreover, A is an affine space under $V(T)$, the affine subspace $V(S) + x$ of A contains F and is spanned by it. The affine subspaces $V(S_G) + x \subset V(T_G) + x$ of A are clearly

contained in $\mathcal{B} = \mathcal{B}(H/K)^\Theta$. As $V(S)^\Theta = V(S_G)$ and $F \subset V(S) + x$, we see that F^Θ is contained in $V(S_G) + x$. But since the facet F is maximal among the facets that meet \mathcal{B} , $A^\Theta (= A \cap \mathcal{B})$ is contained in the affine subspace of A spanned by F^Θ . Therefore, $A^\Theta = V(S_G) + x$. This implies that $V(S_G) + x = V(T_G) + x$ and hence $S_G = T_G$. We will now show that S_G is a maximal K -split torus of G .

Let S' be a maximal K -split torus of G containing S_G . Then the centralizer $M := Z_H(S')$ of S' in H is stable under Θ . The enlarged Bruhat-Tits building $\mathcal{B}(M/K)$ of $M(K)$ is identified with the union of apartments of $\mathcal{B}(H/K)$ that correspond to maximal K -split tori of M (these are precisely the maximal K -split tori of H that contain S'), cf. [P2, 3.11]. Let z be a point of $\mathcal{B}(M/K)^\Theta$ and T' be a maximal K -split torus of M such that the corresponding apartment A' of $\mathcal{B}(M/K)$ contains z . Then $A' = V(T') + z$ and hence $A'^\Theta = A' \cap \mathcal{B} = V(T')^\Theta + z = V(S') + z$ is an affine subspace of A' of dimension $\dim(S')$. Let F' be a facet of A' that contains the point z in its closure and is maximal among the facets of A' meeting \mathcal{B} . Then A'^Θ is contained in the affine subspace of A' spanned by F'^Θ , so $\dim(F'^\Theta) = \dim(S') \geq \dim(S_G)$. But $\dim(F^\Theta) = \dim(S_G) \geq \dim(F'^\Theta)$. This implies that $\dim(S_G) = \dim(S')$ and hence $S' = S_G$. So S_G is a maximal K -split torus of G .

Thus we have established the following proposition:

Proposition 2.9. *Given points $x, y \in \mathcal{B}$, there exists a maximal K -split torus S_G of G , and a maximal K -split torus T of H containing S_G and hence contained in $Z_H(S_G)$, such that the apartment A of $\mathcal{B}(Z_H(S_G)/K)$ corresponding to T contains x and y . Moreover, $A^\Theta = A \cap \mathcal{B}$ is the affine subspace $V(S_G) + x$ of A of dimension $\dim(S_G)$.*

We will now derive the following proposition which will give us apartments in the Bruhat-Tits building of $G(K)$. In the sequel, we will use S , instead of S_G , to denote a maximal K -split torus of G . As $M := Z_H(S)$ is stable under Θ , the enlarged Bruhat-Tits building $\mathcal{B}(M/K)$ of $M(K)$ contains a Θ -fixed point.

Proposition 2.10. *Let S be a maximal K -split torus of G and let T be a maximal K -split torus of H containing S such that the apartment A of $\mathcal{B}(H/K)$ corresponding to T contains a Θ -fixed point x . Then $\mathcal{B}(Z_H(S)/K)^\Theta = V(S) + x = A^\Theta$. So $\mathcal{B}(Z_H(S)/K)^\Theta$ is an affine space under the \mathbb{R} -vector space $V(S)$.*

Proof. Let C be the central torus of $Z_H(S)$ and $Z_H(S)'$ the derived subgroup. Then C , $Z_H(S)$ and $Z_H(S)'$ are stable under Θ ; $G' := (Z_H(S)')^\Theta$ is anisotropic over K since S is a maximal K -split torus of G , and so also of $(Z_H(S)')^\Theta \subset G$. Now applying Proposition 2.9 to $Z_H(S)'$ in place of H , we see that the Bruhat-Tits building $\mathcal{B}(Z_H(S)'/K)$ of $Z_H(S)'(K)$ contains only one point fixed under Θ . For if $y, z \in \mathcal{B}(Z_H(S)'/K)^\Theta$, then there is an apartment A' of $\mathcal{B}(Z_H(S)'/K)$ that contains these points. Moreover, the dimension of the affine subspace A'^Θ of A' is 0 as G' is anisotropic over K . Therefore, $y = z$. This proves that $\mathcal{B}(Z_H(S)'/K)^\Theta$ consists of a single point. Hence, $\mathcal{B}(Z_H(S)/K)^\Theta = V(C)^\Theta + x = V(S) + x$, and so it is an affine space under $V(S)$. \square

2.11. Let S be a maximal K -split torus of G . Let $N := N_G(S)$ and $Z := Z_G(S)$ be respectively the normalizer and the centralizer of S in G . As N (in fact, the normalizer $N_H(S)$ of S in H) normalizes the centralizer $Z_H(S)$ of S in H , there is a natural action of $N(K)$ on $\mathcal{B}(Z_H(S)/K)$ and $N(K)$ stabilizes $\mathcal{B}(Z_H(S)/K)^\Theta$ under this action. For $n \in N(K)$, the action of n carries an apartment A of $\mathcal{B}(Z_H(S)/K)$ to the apartment $n \cdot A$ by an affine transformation.

Now let T be a maximal K -split torus of $Z_H(S)$ such that the corresponding apartment $A := A_T$ of $\mathcal{B}(Z_H(S)/K)$ contains a Θ -fixed point x . According to the previous proposition, $\mathcal{B}(Z_H(S)/K)^\Theta = V(S) + x = A^\Theta$. So we can view $\mathcal{B}(Z_H(S)/K)^\Theta$ as an affine space under $V(S)$. We will now show, using the proof of the lemma in 1.6 of [PY1], that $\mathcal{B}(Z_H(S)/K)^\Theta$ has the properties required of an apartment corresponding to the maximal K -split torus S in the Bruhat-Tits building of $G(K)$ if such a building exists. We need to check the following three conditions.

A1: *The action of $N(K)$ on $\mathcal{B}(Z_H(S)/K)^\Theta = A^\Theta$ is by affine transformations and the maximal bounded subgroup $Z(K)_b$ of $Z(K)$ acts trivially.*

Let $\text{Aff}(A^\Theta)$ be the group of affine automorphisms of A^Θ and $\varphi : N(K) \rightarrow \text{Aff}(A^\Theta)$ be the action map.

A2: *The group $Z(K)$ acts by translations, and the action is characterized by the following formula: for $z \in Z(K)$,*

$$\chi(\varphi(z)) = -\omega(\chi(z)) \text{ for all } \chi \in X_K^*(Z) (\hookrightarrow X_K^*(S)),$$

here we regard the translation $\varphi(z)$ as an element of $V(S)$.

A3: *For $g \in \text{Aff}(A^\Theta)$, denote by $dg \in \text{GL}(V(S))$ the derivative of g . Then the map $N(K) \rightarrow \text{GL}(V(S))$, $n \mapsto d\varphi(n)$, is induced from the action of $N(K)$ on $X_*(S)$ (i.e., it is the Weyl group action).*

Moreover, as the central torus of G is K -anisotropic, these three conditions determine the affine structure on $\mathcal{B}(Z_H(S)/K)^\Theta$ uniquely; see [T, 1.2].

Proposition 2.12. *Conditions A1, A2 and A3 hold.*

Proof. The action of $n \in N(K)$ on $\mathcal{B}(Z_H(S)/K)$ carries the apartment $A = A_T$ via an affine isomorphism $f(n) : A \rightarrow A_{nTn^{-1}}$ to the apartment $A_{nTn^{-1}}$ corresponding to the torus nTn^{-1} containing S . As $(A_{nTn^{-1}})^\Theta = \mathcal{B}(Z_H(S)/K)^\Theta = A^\Theta$, we see that $f(n)$ keeps A^Θ stable and so $\varphi(n) := f(n)|_{A^\Theta}$ is an affine automorphism of A^Θ .

The derivative $df(n) : V(T) \rightarrow V(nTn^{-1})$ is induced from the map

$$\text{Hom}_K(\text{GL}_1, T) = X_*(T) \rightarrow X_*(nTn^{-1}) = \text{Hom}_K(\text{GL}_1, nTn^{-1}),$$

$\lambda \mapsto \text{Int } n \cdot \lambda$, where $\text{Int } n$ is the inner automorphism of H determined by $n \in N(K) \subset H(K)$. So, the restriction $d\varphi(n) : V(S) \rightarrow V(S)$ is induced from the homomorphism $X_*(S) \rightarrow X_*(S)$, $\lambda \mapsto \text{Int } n \cdot \lambda$. This proves A3.

Condition A3 implies that $d\varphi$ is trivial on $Z(K)$. Therefore, $Z(K)$ acts by translations. The action of the bounded subgroup $Z(K)_b$ on A^Θ admits a fixed point

by the fixed point theorem of Bruhat-Tits. Therefore, $Z(K)_b$ acts by the trivial translation. This proves A1.

Since the image of $S(K)$ in $Z(K)/Z(K)_b \simeq \mathbb{Z}^{\dim(S)}$ is a subgroup of finite index, to prove the formula in A2, it suffices to prove it for $z \in S(K)$. But for $z \in S(K)$, $zTz^{-1} = T$, and $f(z)$ is a translation of the apartment A ($\varphi(z)$ is regarded as an element of $V(T)$) which satisfies (see 1.9 of [P2]):

$$\chi(f(z)) = -\omega(\chi(z)) \quad \text{for all } \chi \in X_K^*(T).$$

This implies the formula in A2, since the restriction map $X_K^*(T) \rightarrow X_K^*(S)$ is surjective and the image of the restriction map $X_K^*(Z) \rightarrow X_K^*(S)$ is of finite index in $X_K^*(S)$. \square

2.13. Apartments of \mathcal{B} . By definition, the *apartments* of \mathcal{B} are the affine spaces $\mathcal{B}(Z_H(S)/K)^\Theta$ under the \mathbb{R} -vector space $V(S)$ (of dimension = K -rank G) for maximal K -split tori S of G . For any apartment A of $\mathcal{B}(Z_H(S)/K)$ that contains a Θ -fixed point, $\mathcal{B}(Z_H(S)/K)^\Theta = A^\Theta$ (Proposition 2.10). The subgroup $N_G(S)(K)$ of $G(K)$ acts by affine transformations on the apartment $\mathcal{B}(Z_H(S)/K)^\Theta$ and $Z_G(S)(K)$ acts on it by translations (Proposition 2.12). Conjugacy of maximal K -split tori of G under $G(K)$ implies that this group acts transitively on the set of apartments of \mathcal{B} .

Propositions 2.9 and 2.10 imply the following proposition at once:

Proposition 2.14. *Given any two points of \mathcal{B} , there is a maximal K -split torus S of G such that the corresponding apartment of \mathcal{B} contains these two points.*

Proposition 2.15. *Let \mathcal{A} be an apartment of \mathcal{B} . Then there is a unique maximal K -split torus S of G such that $\mathcal{A} = \mathcal{B}(Z_H(S)/K)^\Theta$. So the stabilizer of \mathcal{A} in $G(K)$ is $N_G(S)(K)$.*

Proof. We fix a maximal K -split torus S of G such that $\mathcal{A} = \mathcal{B}(Z_H(S)/K)^\Theta$. We will show that S is uniquely determined by \mathcal{A} . For this purpose, we observe that the subgroup $N_G(S)(K)$ of $G(K)$ acts on \mathcal{A} and the maximal bounded subgroup $Z_G(S)(K)_b$ of $Z_G(S)(K)$ acts trivially (Proposition 2.12). So the subgroup \mathcal{Z} of $G(K)$ consisting of elements that fix \mathcal{A} pointwise is a bounded subgroup of $G(K)$, normalized by $N_G(S)(K)$, and it contains $Z_G(S)(K)_b$. Now, using the Bruhat decomposition of $G(K)$ with respect to S , we see that every bounded subgroup of $G(K)$ that is normalized by $N_G(S)(K)$ is a normal subgroup of the latter. Hence the identity component of the Zariski-closure of \mathcal{Z} is $Z_G(S)$. As S is the unique maximal K -split torus of G contained in $Z_G(S)$, both the assertions follow. \square

2.16. The affine Weyl group of G . Let $G(K)^+$ denote the (normal) subgroup of $G(K)$ generated by K -rational elements of the unipotent radicals of parabolic K -subgroups of G . Let S be a maximal K -split torus of G , N and Z respectively be the normalizer and centralizer of S in G . Let $N(K)^+ := N(K) \cap G(K)^+$. Then $N(K)^+$ maps onto the Weyl group $W := N(K)/Z(K)$ of G (this can be seen using, for example, [CGP, Prop. C.2.24(i)]).

Let \mathcal{A} be the apartment of \mathcal{B} corresponding to S . As in 2.11, let $\varphi : N(K) \rightarrow \text{Aff}(\mathcal{A})$ be the action map, then the affine Weyl group W_{aff} of G/K is by definition the subgroup $\varphi(N(K)^+)$ of $\text{Aff}(\mathcal{A})$.

3. Bruhat-Tits theory for G over K

3.1. Bruhat-Tits group schemes \mathcal{G}_Ω° . Let Ω be a nonempty Θ -stable bounded subset of an apartment of $\mathcal{B}(H/K)$. Let \mathcal{H}_Ω be the smooth affine \mathcal{O} -group scheme associated to Ω in 2.1. There is a natural action of Θ on \mathcal{H}_Ω by \mathcal{O} -group scheme automorphisms (2.4). Define the functor $\mathcal{H}_\Omega^\Theta$ of Θ -fixed points that associates to a commutative \mathcal{O} -algebra C the subgroup $\mathcal{H}_\Omega(C)^\Theta$ of $\mathcal{H}_\Omega(C)$ consisting of elements fixed under Θ . The functor $\mathcal{H}_\Omega^\Theta$ is represented by a closed smooth \mathcal{O} -subgroup scheme of \mathcal{H}_Ω (see Propositions 3.1 and 3.4 of [E], or Proposition A.8.10 of [CGP]); we will denote this closed smooth \mathcal{O} -subgroup scheme also by $\mathcal{H}_\Omega^\Theta$. Its generic fiber is H^Θ , and so the identity component of the generic fiber is G . The *neutral component* $(\mathcal{H}_\Omega^\Theta)^\circ$ of $\mathcal{H}_\Omega^\Theta$ is by definition the union of the identity components of its generic and special fibers; it is an open (so smooth) affine \mathcal{O} -subgroup scheme [PY2, §3.5] with generic fiber G . The index of the subgroup $(\mathcal{H}_\Omega^\Theta)^\circ(\mathcal{O})$ in $\mathcal{H}_\Omega^\Theta(\mathcal{O})$ is known to be finite [EGA IV₃, Cor. 15.6.5]. It is obvious that $(\mathcal{H}_\Omega^\Theta)^\circ = ((\mathcal{H}_\Omega^\circ)^\Theta)^\circ$. We will denote $(\mathcal{H}_\Omega^\Theta)^\circ$ by \mathcal{G}_Ω° in the sequel and call it *the Bruhat-Tits \mathcal{O} -group scheme associated to G and Ω* . The special fiber of \mathcal{G}_Ω° will be denoted $\overline{\mathcal{G}}_\Omega^\circ$. As $\mathcal{G}_\Omega^\circ(\mathcal{O}) \subset \mathcal{H}_\Omega(\mathcal{O})$, $\mathcal{G}_\Omega^\circ(\mathcal{O})$ fixes Ω pointwise.

3.2. Let $\Omega' \prec \Omega$ be nonempty bounded subsets of an apartment of $\mathcal{B}(H/K)$. We assume that both Ω and Ω' are stable under the action of Θ on $\mathcal{B}(H/K)$. The \mathcal{O} -group scheme homomorphism $\mathcal{H}_\Omega \rightarrow \mathcal{H}_{\Omega'}$ of [P2, 1.10] restricts to a homomorphism $\rho_{\Omega', \Omega} : \mathcal{H}_\Omega^\circ \rightarrow \mathcal{H}_{\Omega'}^\circ$, and by [E, Prop. 3.5], or [CGP, Prop. A.8.10(2)], it induces a \mathcal{O} -group scheme homomorphism $\mathcal{H}_\Omega^\Theta \rightarrow \mathcal{H}_{\Omega'}^\Theta$. The last homomorphism gives a \mathcal{O} -group scheme homomorphism $\rho_{\Omega', \Omega}^G : (\mathcal{H}_\Omega^\Theta)^\circ = \mathcal{G}_\Omega^\circ \rightarrow \mathcal{G}_{\Omega'}^\circ = (\mathcal{H}_{\Omega'}^\Theta)^\circ$ that is the identity homomorphism on the generic fiber G .

3.3. Let \mathcal{A} be the apartment of \mathcal{B} corresponding to a maximal K -split torus S of G and Ω be a nonempty bounded subset of \mathcal{A} . The apartment \mathcal{A} is contained in an apartment A of $\mathcal{B}(H/K)$ that corresponds to a maximal K -split torus T of H containing S and $\mathcal{A} = A \cap \mathcal{B} = A^\Theta$ (2.13). So Ω is a bounded subset of A . The group scheme \mathcal{H}_Ω contains a closed split \mathcal{O} -torus \mathcal{T} with generic fiber T , see [P2, 1.9]. Let \mathcal{S} be the \mathcal{O} -subtorus of \mathcal{T} whose generic fiber is S (\mathcal{S} is the schematic closure of S in \mathcal{T}). The automorphism group Θ of \mathcal{H}_Ω acts trivially on the \mathcal{O} -torus \mathcal{S} (since $S \subset G \subset H^\Theta$) and hence this torus is contained in \mathcal{G}_Ω° . The special fiber $\overline{\mathcal{S}}$ of \mathcal{S} is a maximal torus of $\overline{\mathcal{G}}_\Omega^\circ$ since S is a maximal K -split torus of G .

Proposition 3.4. *Let \mathcal{A} and \mathcal{A}' be apartments of \mathcal{B} and Ω a nonempty bounded subset of $\mathcal{A} \cap \mathcal{A}'$. Then there exists an element $g \in \mathcal{G}_\Omega^\circ(\mathcal{O})$ that maps \mathcal{A} onto \mathcal{A}' . Any such element fixes Ω pointwise.*

Proof. We will use Proposition 2.1(ii) of [P2], with \mathcal{O} in place of \mathfrak{o} , and denote \mathcal{G}_Ω° by \mathcal{G} , and its special fiber by $\overline{\mathcal{G}}$, in this proof. Let S and S' be the maximal K -split tori of G corresponding to the apartments \mathcal{A} and \mathcal{A}' respectively and \mathcal{S} and \mathcal{S}' be the \mathcal{O} -tori of \mathcal{G} with generic fibers S and S' respectively. The special fibers $\overline{\mathcal{S}}$ and $\overline{\mathcal{S}'}$ of \mathcal{S} and \mathcal{S}' are maximal split tori of $\overline{\mathcal{G}}$, and hence according to a result of Borel and Tits there is an element \overline{g} of $\overline{\mathcal{G}}(\kappa)$ which conjugates $\overline{\mathcal{S}}$ onto $\overline{\mathcal{S}'}$ [CGP, Thm. C.2.3]. Now [P2, Prop. 2.1(ii)] implies that there exists a $g \in \mathcal{G}(\mathcal{O})$ lying over \overline{g} that conjugates \mathcal{S} onto \mathcal{S}' . This element fixes Ω pointwise and conjugates S onto S' and hence maps \mathcal{A} onto \mathcal{A}' . \square

3.5. Given a point $x \in \mathcal{B}$, for simplicity we will denote $\mathcal{G}_{\{x\}}^\circ$, $\mathcal{H}_{\{x\}}$, $\mathcal{H}_{\{x\}}^\circ$ and $\mathcal{H}_{\{x\}}^\Theta$ by \mathcal{G}_x° , \mathcal{H}_x , \mathcal{H}_x° and \mathcal{H}_x^Θ respectively, and the special fibers of these group schemes will be denoted by $\overline{\mathcal{G}}_x^\circ$, $\overline{\mathcal{H}}_x$, $\overline{\mathcal{H}}_x^\circ$ and $\overline{\mathcal{H}}_x^\Theta$ respectively. The subgroup of $H(K)$ (resp. $G(K)$) consisting of elements that fix x will be denoted by $H(K)^x$ (resp. $G(K)^x$). The subgroup $\mathcal{G}_x^\circ(\mathcal{O}) (\subset G(K)^x)$ is of finite index in $G(K)^x$.

3.6. Parahoric subgroups of $G(K)$. For $x \in \mathcal{B}$, \mathcal{G}_x° and $P_x := \mathcal{G}_x^\circ(\mathcal{O})$ will respectively be called the *Bruhat-Tits parahoric \mathcal{O} -group scheme* and the *parahoric subgroup* of $G(K)$ associated with the point x . Let S be a maximal K -split torus of G such that x lies in the apartment \mathcal{A} of \mathcal{B} corresponding to S . Then the group scheme \mathcal{G}_x° contains a closed split \mathcal{O} -torus \mathcal{S} whose generic fiber is S (3.3). The parahoric subgroups of $G(K)$ are by definition the subgroups P_x for $x \in \mathcal{B}$. For a given parahoric subgroup P_x , the associated Bruhat-Tits parahoric \mathcal{O} -group scheme is \mathcal{G}_x° .

(i) Let P be a parahoric subgroup of $G(K)$, \mathcal{G}° the associated Bruhat-Tits parahoric \mathcal{O} -group scheme, $\overline{\mathcal{G}}^\circ$ the special fiber of \mathcal{G}° , and \mathcal{P} be a subgroup of P of finite index. Then the image of \mathcal{P} in $\overline{\mathcal{G}}^\circ(\kappa)$ is Zariski-dense in the connected group $\overline{\mathcal{G}}^\circ$, so the affine ring of \mathcal{G}° is:

$$\mathcal{O}[\mathcal{G}^\circ] = \{f \in K[G] \mid f(\mathcal{P}) \subset \mathcal{O}\}.$$

Thus the subgroup \mathcal{P} “determines” the group scheme \mathcal{G}° , and hence P is the unique parahoric subgroup of $G(K)$ containing \mathcal{P} as a subgroup of finite index.

(ii) Let P and \mathcal{G}° be as in the preceding paragraph. Let Ω be a nonempty Θ -stable bounded subset of an apartment of $\mathcal{B}(H/K)$ and \mathcal{G}_Ω° be as in 3.1. We assume that Ω is fixed pointwise by P . Then the inclusion of P in $H(K)^\Omega (= \mathcal{H}_\Omega(\mathcal{O}))$ gives a \mathcal{O} -group scheme homomorphism $\mathcal{G}^\circ \rightarrow \mathcal{H}_\Omega^\circ$ (Proposition 2.2). This homomorphism obviously factors through \mathcal{G}_Ω° to give a \mathcal{O} -group scheme homomorphism $\mathcal{G}^\circ \rightarrow \mathcal{G}_\Omega^\circ$ that is the identity on the generic fiber G .

Suppose $x, y \in \mathcal{B}(H/K)$ are fixed by P , and $[xy]$ is the geodesic joining x and y . Then P fixes every point z of $[xy]$. Let $\mathcal{G}_{[xy]}^\circ$ be as in 3.1 (for $\Omega = [xy]$). There are \mathcal{O} -group scheme homomorphisms $\mathcal{G}^\circ \rightarrow \mathcal{G}_{[xy]}^\circ$ and $\mathcal{G}^\circ \rightarrow \mathcal{G}_z^\circ$ that are the identity on the generic fiber G .

3.7. Polysimplicial structure on \mathcal{B} . Let P be a parahoric subgroup of $G(K)$ and \mathcal{G}° be the Bruhat-Tits parahoric \mathcal{O} -group scheme associated with P (3.6). Let $\mathcal{B}(H/K)^P$ denote the set of points of $\mathcal{B}(H/K)$ fixed by P . According to Corollary 2.3, $\mathcal{B}(H/K)^P$ is the union of facets pointwise fixed by P . Let $\overline{\mathcal{F}}_P := \mathcal{B}(H/K)^P \cap \mathcal{B}$. This closed convex subset is by definition the *closed facet* of \mathcal{B} associated with the parahoric subgroup P . The \mathcal{O} -group scheme \mathcal{G}° contains a closed split \mathcal{O} -torus \mathcal{S} whose generic fiber S is a maximal K -split torus of G (3.3). The subgroup $\mathcal{S}(\mathcal{O})$ (of $S(K)$) is the maximal bounded subgroup of $S(K)$ and it is contained in P ($= \mathcal{G}^\circ(\mathcal{O})$), so, according to Corollary 2.3, $\overline{\mathcal{F}}_P$ is contained in the enlarged building $\mathcal{B}(Z_H(S)/K)$ of $Z_H(S)(K)$. This implies that the closed facet $\overline{\mathcal{F}}_P$ is contained in the apartment $\mathcal{A} := \mathcal{B}(Z_H(S)/K)^\ominus (= \mathcal{B}(Z_H(S)/K) \cap \mathcal{B})$ of \mathcal{B} corresponding to the maximal K -split torus S of G .

Let \mathcal{F}_P be the subset of points of $\overline{\mathcal{F}}_P$ that are not fixed by any parahoric subgroup of $G(K)$ larger than P . Then $\mathcal{F}_P = \overline{\mathcal{F}}_P - \bigcup_{Q \supsetneq P} \overline{\mathcal{F}}_Q$. Given another parahoric subgroup Q of $G(K)$, if $\overline{\mathcal{F}}_Q = \overline{\mathcal{F}}_P$, then $Q = P$. (To see this, we choose points $x, y \in \mathcal{B}$ such that $\mathcal{G}_x^\circ(\mathcal{O}) = P$ and $\mathcal{G}_y^\circ(\mathcal{O}) = Q$. Then $y \in \overline{\mathcal{F}}_Q = \overline{\mathcal{F}}_P$. So P fixes y . Now using 3.6 (ii) we see that $P \subset Q$. We similarly see that $Q \subset P$.) Hence if $Q \supsetneq P$, then $\overline{\mathcal{F}}_Q$ is properly contained in $\overline{\mathcal{F}}_P$. By definition, \mathcal{F}_P is the *facet* of \mathcal{B} associated with the parahoric subgroup P of $G(K)$, and as P varies over the set of parahoric subgroups of $G(K)$, these are all the facets of \mathcal{B} . We will show below (Propositions 3.11 and 3.13) that \mathcal{F}_P is convex and bounded.

For a parahoric subgroup Q of $G(K)$ containing P , obviously, $\mathcal{F}_Q \subset \overline{\mathcal{F}}_Q \subset \overline{\mathcal{F}}_P$, thus $\mathcal{F}_Q \prec \mathcal{F}_P$ and hence \mathcal{F}_P is a maximal facet if and only if P is a minimal parahoric subgroup of $G(K)$. The maximal facets of \mathcal{B} are called the *chambers* of \mathcal{B} . It is easily seen using the observations contained in 2.5 that all the chambers are of equal dimension. We say that a facet \mathcal{F}' of \mathcal{B} is a *face* of a facet \mathcal{F} if $\mathcal{F}' \prec \mathcal{F}$, i.e., if \mathcal{F}' is contained in the closure of \mathcal{F} .

In the following three lemmas (3.8, 3.9 and 3.10), k is any field of characteristic $p \geq 0$. We will use the notation introduced in [CGP, §2.1].

Lemma 3.8. *Let \mathcal{H} be a smooth connected affine algebraic k -group and \mathcal{Q} be a pseudo-parabolic k -subgroup of \mathcal{H} . Let \mathcal{S} be a k -torus of \mathcal{Q} whose image in the maximal pseudo-reductive quotient $\mathcal{M} := \mathcal{Q}/\mathcal{R}_{u,k}(\mathcal{Q})$ of \mathcal{Q} contains the maximal central torus of \mathcal{M} . Then any 1-parameter subgroup $\lambda : \mathrm{GL}_1 \rightarrow \mathcal{H}$ such that $\mathcal{Q} = P_{\mathcal{H}}(\lambda)\mathcal{R}_{u,k}(\mathcal{H})$ has a conjugate under $\mathcal{R}_{u,k}(\mathcal{Q})(k)$ with image in \mathcal{S} .*

Proof. Let $\lambda : \mathrm{GL}_1 \rightarrow \mathcal{H}$ be a 1-parameter subgroup such that $\mathcal{Q} = P_{\mathcal{H}}(\lambda)\mathcal{R}_{u,k}(\mathcal{H})$. The image \mathcal{T} of λ is contained in \mathcal{Q} and it maps into the central torus of \mathcal{M} . Therefore, \mathcal{T} is contained in the solvable subgroup $\mathcal{S}\mathcal{R}_{u,k}(\mathcal{Q})$ of \mathcal{Q} . Note that as \mathcal{S} is commutative, the derived subgroup of $\mathcal{S}\mathcal{R}_{u,k}(\mathcal{Q})$ is contained in $\mathcal{R}_{u,k}(\mathcal{Q})$, so the maximal k -tori of $\mathcal{S}\mathcal{R}_{u,k}(\mathcal{Q})$ are conjugate to each other under $\mathcal{R}_{u,k}(\mathcal{Q})(k)$ [Bo, Thm. 19.2]. Hence, there is a $u \in \mathcal{R}_{u,k}(\mathcal{Q})(k)$ such that $u\mathcal{T}u^{-1} \subset \mathcal{S}$. Then the image of the 1-parameter subgroup $\mu : \mathrm{GL}_1 \rightarrow \mathcal{S}$, defined as $\mu(t) = u\lambda(t)u^{-1}$, is contained in \mathcal{S} . \square

Lemma 3.9. *Let \mathcal{H} be a smooth connected affine algebraic k -group given with an action by a finite group Θ and \mathcal{U} be a smooth connected Θ -stable unipotent normal k -subgroup of \mathcal{H} . We assume that p does not divide the order of Θ . Let $\bar{\mathcal{S}}$ be a Θ -stable k -torus of $\bar{\mathcal{H}} := \mathcal{H}/\mathcal{U}$. Then there exists a Θ -stable k -torus \mathcal{S} in \mathcal{H} that maps isomorphically onto $\bar{\mathcal{S}}$. In particular, there exists a Θ -stable k -torus in \mathcal{H} that maps isomorphically onto the maximal central torus of $\bar{\mathcal{H}}$.*

Proof. Let \mathcal{T} be a k -torus of \mathcal{H} that maps isomorphically onto $\bar{\mathcal{S}}$ ($\subset \bar{\mathcal{H}}$). Considering the Θ -stable solvable subgroup $\mathcal{T}\mathcal{U}$; using conjugacy under $\mathcal{U}(k)$ of maximal k -tori of this solvable group [Bo, Thm. 19.2], we see that for $\theta \in \Theta$, $\theta(\mathcal{T}) = u(\theta)^{-1}\mathcal{T}u(\theta)$ for some $u(\theta) \in \mathcal{U}(k)$. Let $\mathcal{U}(k) =: \mathcal{U}_0 \supset \mathcal{U}_1 \supset \mathcal{U}_2 \cdots \supset \mathcal{U}_n = \{1\}$ be the descending central series of the nilpotent group $\mathcal{U}(k)$. Each subgroup \mathcal{U}_i is Θ -stable and $\mathcal{U}_i/\mathcal{U}_{i+1}$ is a commutative p -group if $p \neq 0$, and a \mathbb{Q} -vector space if $p = 0$. Now let $i \leq n$, be the largest integer such that there exists a k -torus \mathcal{S} in $\mathcal{T}\mathcal{U}$ that maps onto $\bar{\mathcal{S}}$, and for every $\theta \in \Theta$, there is a $u(\theta) \in \mathcal{U}_i$ such that $\theta(\mathcal{S}) = u(\theta)^{-1}\mathcal{S}u(\theta)$. Let \mathcal{N}_i be the normalizer of \mathcal{S} in \mathcal{U}_i . Then, for $\theta \in \Theta$, $\theta(\mathcal{N}_i) = u(\theta)^{-1}\mathcal{N}_i u(\theta)$ and hence as $\mathcal{U}_i/\mathcal{U}_{i+1}$ is commutative, we see that $\theta(\mathcal{N}_i\mathcal{U}_{i+1}) = \mathcal{N}_i\mathcal{U}_{i+1}$, i.e., $\mathcal{N}_i\mathcal{U}_{i+1}$ is Θ -stable. It is easy to see that $\theta \mapsto u(\theta) \bmod (\mathcal{N}_i\mathcal{U}_{i+1})$ is a 1-cocycle on Θ with values in $\mathcal{U}_i/\mathcal{N}_i\mathcal{U}_{i+1}$. But $H^1(\Theta, \mathcal{U}_i/\mathcal{N}_i\mathcal{U}_{i+1})$ is trivial since the finite group Θ is of order prime to p if $p \neq 0$, and $\mathcal{U}_i/\mathcal{N}_i\mathcal{U}_{i+1}$ is divisible if $p = 0$. So there exists a $u \in \mathcal{U}_i$ such that for all $\theta \in \Theta$, $u^{-1}u(\theta)\theta(u)$ lies in $\mathcal{N}_i\mathcal{U}_{i+1}$. Now let $\mathcal{S}' = u^{-1}\mathcal{S}u$. Then the normalizer of \mathcal{S}' in \mathcal{U}_i is $u^{-1}\mathcal{N}_i u$ and again as $\mathcal{U}_i/\mathcal{U}_{i+1}$ is commutative, $u^{-1}\mathcal{N}_i u \cdot \mathcal{U}_{i+1} = \mathcal{N}_i\mathcal{U}_{i+1}$. For $\theta \in \Theta$, we choose $u'(\theta) \in \mathcal{U}_{i+1}$ such that $u^{-1}u(\theta)\theta(u) \in u^{-1}\mathcal{N}_i u \cdot u'(\theta)$. Then $\theta(\mathcal{S}') = u'(\theta)^{-1}\mathcal{S}'u'(\theta)$ for all $\theta \in \Theta$. This contradicts the maximality of i unless $i = n$. \square

Lemma 3.10. *Let \mathcal{H} be a smooth connected affine algebraic k -group given with an action by a finite group Θ . We assume that p does not divide the order of Θ . Let $\mathcal{G} = (\mathcal{H}^\Theta)^\circ$. Then*

(i) $\mathcal{R}_{u,k}(\mathcal{G}) = (\mathcal{G} \cap \mathcal{R}_{u,k}(\mathcal{H}))^\circ = (\mathcal{R}_{u,k}(\mathcal{H})^\Theta)^\circ$; moreover, $\mathcal{G}/(\mathcal{G} \cap \mathcal{R}_{u,k}(\mathcal{H}))$ is pseudo-reductive, and if k is perfect then $\mathcal{G} \cap \mathcal{R}_{u,k}(\mathcal{H}) = \mathcal{R}_{u,k}(\mathcal{G})$.

(ii) Given a Θ -stable pseudo-parabolic k -subgroup \mathcal{Q} of \mathcal{H} , $\mathcal{P} := \mathcal{G} \cap \mathcal{Q}$ is a pseudo-parabolic k -subgroup of \mathcal{G} , so \mathcal{P} is connected and it equals $(\mathcal{Q}^\Theta)^\circ$.

(iii) Conversely, given a pseudo-parabolic k -subgroup \mathcal{P} of \mathcal{G} , and a maximal k -torus $\mathcal{S} \subset \mathcal{P}$, there is a Θ -stable pseudo-parabolic k -subgroup \mathcal{Q} of \mathcal{H} , \mathcal{Q} containing the centralizer $Z_{\mathcal{H}}(\mathcal{S})$ of \mathcal{S} in \mathcal{H} , such that $\mathcal{P} = \mathcal{G} \cap \mathcal{Q} = (\mathcal{Q}^\Theta)^\circ$.

Proof. The first assertion of (i) immediately follows from [CGP, Prop. A.8.14(2)]. Now we observe that as $\mathcal{R}_{u,k}(\mathcal{G}) = (\mathcal{G} \cap \mathcal{R}_{u,k}(\mathcal{H}))^\circ$, $(\mathcal{G} \cap \mathcal{R}_{u,k}(\mathcal{H}))/\mathcal{R}_{u,k}(\mathcal{G})$ is a finite étale (unipotent) normal subgroup of the pseudo-reductive quotient $\mathcal{G}/\mathcal{R}_{u,k}(\mathcal{G})$ of \mathcal{G} so it is central. Thus the kernel of the quotient map $\pi : \mathcal{G}/\mathcal{R}_{u,k}(\mathcal{G}) \rightarrow \mathcal{G}/(\mathcal{G} \cap \mathcal{R}_{u,k}(\mathcal{H}))$ is an étale unipotent central subgroup. Hence, $\mathcal{G}/(\mathcal{G} \cap \mathcal{R}_{u,k}(\mathcal{H}))$ is pseudo-reductive as $\mathcal{G}/\mathcal{R}_{u,k}(\mathcal{G})$ is. Moreover, if k is perfect then every pseudo-reductive k -group is

reductive and such a group does not contain a nontrivial étale unipotent normal subgroup. This implies that if k is perfect, then $\mathcal{R}_{u,k}(\mathcal{G}) = \mathcal{G} \cap \mathcal{R}_{u,k}(\mathcal{H})$.

Since $\mathcal{R}_{u,k}(\mathcal{G}) \subset \mathcal{G} \cap \mathcal{R}_{u,k}(\mathcal{H}) \subset \mathcal{G} \cap \mathcal{Q}$, to prove (ii), we can replace \mathcal{H} by its pseudo-reductive quotient $\mathcal{H}/\mathcal{R}_{u,k}(\mathcal{H})$ and assume that \mathcal{H} is pseudo-reductive. Then \mathcal{G} is also pseudo-reductive by (i). Let $\mathcal{U} = \mathcal{R}_{u,k}(\mathcal{Q})$ be the k -unipotent radical of \mathcal{Q} ; \mathcal{U} is Θ -stable. Let \mathcal{S} be a Θ -stable k -torus in \mathcal{Q} that maps isomorphically onto the maximal central torus of the pseudo-reductive quotient $\overline{\mathcal{Q}} := \mathcal{Q}/\mathcal{U}$ (Lemma 3.9). By Lemma 3.8, there exists a 1-parameter subgroup $\lambda : \mathrm{GL}_1 \rightarrow \mathcal{S}$ such that $\mathcal{Q} = P_{\mathcal{H}}(\lambda)$. Let $\mu = \sum_{\theta \in \Theta} \theta \cdot \lambda$. Then μ is invariant under Θ and so it is a 1-parameter subgroup of \mathcal{G} . We will now show that $\mathcal{Q} = P_{\mathcal{H}}(\mu)$. Let Φ (resp. Ψ) be the set of weights in the Lie algebra of \mathcal{Q} (resp. $P_{\mathcal{H}}(\mu)$) with respect to the adjoint action of \mathcal{S} . Then since \mathcal{Q} , $P_{\mathcal{H}}(\mu)$ and \mathcal{S} are Θ -stable, the subsets Φ and Ψ (of $X(\mathcal{S})$) are stable under the action of Θ on $X(\mathcal{S})$. Hence, for all $a \in \Phi$, as $\langle a, \lambda \rangle \geq 0$, we conclude that $\langle a, \mu \rangle \geq 0$. Therefore, $\Phi \subset \Psi$. On the other hand, for $b \in \Psi$, $\langle b, \mu \rangle \geq 0$. If $b \in \Psi$ does not belong to Φ , then for $\theta \in \Theta$, $\theta \cdot b \notin \Phi$, so for all $\theta \in \Theta$, $\langle \theta \cdot b, \lambda \rangle < 0$, which implies that $\langle b, \mu \rangle < 0$. This is a contradiction. Therefore, $\Phi = \Psi$ and so $\mathcal{Q} = P_{\mathcal{H}}(\mu)$. Now observe that $(\mathcal{Q}^\Theta)^\circ \subset \mathcal{G} \cap \mathcal{Q} \subset \mathcal{Q}^\Theta$. As \mathcal{Q}^Θ is a smooth subgroup ([E, Prop. 3.4] or [CGP, Prop. A.8.10(2)]), $\mathcal{G} \cap \mathcal{Q}$ is a smooth k -subgroup, and since it contains the pseudo-parabolic k -subgroup $P_{\mathcal{G}}(\mu)$, it is a pseudo-parabolic k -subgroup of \mathcal{G} [CGP, Prop. 3.5.8], hence in particular it is connected. Therefore, $\mathcal{G} \cap \mathcal{Q} = (\mathcal{Q}^\Theta)^\circ$.

Now we will prove (iii). Let $\lambda : \mathrm{GL}_1 \rightarrow \mathcal{S}$ be a 1-parameter subgroup such that $\mathcal{P} = P_{\mathcal{G}}(\lambda)\mathcal{R}_{u,k}(\mathcal{G})$. Then $\mathcal{Q} := P_{\mathcal{H}}(\lambda)\mathcal{R}_{u,k}(\mathcal{H})$ is a pseudo-parabolic k -subgroup of \mathcal{H} that is Θ -stable (since λ is Θ -invariant) and it contains \mathcal{P} as well as $Z_{\mathcal{H}}(\mathcal{S})$. According to (ii), $\mathcal{G} \cap \mathcal{Q} = (\mathcal{Q}^\Theta)^\circ$ is a pseudo-parabolic k -subgroup of \mathcal{G} containing \mathcal{P} . The Lie algebras of \mathcal{P} and $(\mathcal{Q}^\Theta)^\circ$ are clearly equal. This implies that $\mathcal{P} = \mathcal{G} \cap \mathcal{Q} = (\mathcal{Q}^\Theta)^\circ$ and we have proved (iii). \square

Proposition 3.11. *Let P be a parahoric subgroup of $G(K)$ and \mathcal{F}_P and $\overline{\mathcal{F}}_P$ be as in 3.7.*

(i) *Given $x \in \mathcal{F}_P$ and $y \in \overline{\mathcal{F}}_P$, for every point z of the geodesic $[xy]$, except possibly for $z = y$, $\mathcal{G}_z^\circ(\mathcal{O}) = P$.*

(ii) *Let F be a facet of $\mathcal{B}(H/K)$ that meets $\overline{\mathcal{F}}_P$ and is maximal among such facets. Then $\mathcal{G}_F^\circ(\mathcal{O}) = P$. Thus $F \cap \mathcal{B} \subset \mathcal{F}_P$.*

The first assertion of this proposition implies that \mathcal{F}_P is convex. The second assertion implies that \mathcal{F}_P is an open-dense subset of $\overline{\mathcal{F}}_P$, hence the closure of \mathcal{F}_P is $\overline{\mathcal{F}}_P$.

Proof. To prove the first assertion, let $[xy]$ be the geodesic joining x and y . Let F_0, F_1, \dots, F_n be the facets of $\mathcal{B}(H/K)$ containing a segment of positive length of the geodesic $[xy]$ (so each F_i is Θ -stable and is fixed pointwise by P , hence $P \subset \mathcal{G}_{F_i}^\circ(\mathcal{O})$, cf. 3.6(ii)). Then $[xy] \subset \bigcup_i \overline{F}_i$. We assume the facets $\{F_i\}$ indexed so that x lies in \overline{F}_0 , y lies in \overline{F}_n , and for each $i < n$, $\overline{F}_i \cap \overline{F}_{i+1}$ is nonempty. Let $z_0 = x$. For every

positive integer $i (\leq n)$, $\overline{F}_{i-1} \cap \overline{F}_i$ contains a unique point of $[xy]$; we will denote this point by z_i .

To prove the second assertion of the proposition along with the first, we take x to be a point of \mathcal{B} such that $\mathcal{G}_x^\circ(\mathcal{O}) = P$ (so $x \in \mathcal{F}_P$) and take y to be any point of $F \cap \mathcal{B}$. Let $[xy]$, and for $i \leq n$, F_i and z_i be as in the preceding paragraph. Then $F_n = F$.

Since $x \in \overline{F}_0$, there is a \mathcal{O} -group scheme homomorphism $\mathcal{G}_{\overline{F}_0}^\circ \rightarrow \mathcal{G}_x^\circ$ that is the identity on the generic fiber G . Thus, $\mathcal{G}_{\overline{F}_0}^\circ(\mathcal{O}) \subset P$. But $P \subset \mathcal{G}_{\overline{F}_0}^\circ(\mathcal{O})$, so $\mathcal{G}_{z_0}^\circ(\mathcal{O}) = \mathcal{G}_{\overline{F}_0}^\circ(\mathcal{O}) = P$. Let $j (\leq n)$ be a positive integer such that for all $i < j$, $\mathcal{G}_{z_i}^\circ(\mathcal{O}) = \mathcal{G}_{\overline{F}_i}^\circ(\mathcal{O}) = P$. The inclusion of $\{z_j\}$ in $\overline{F}_{j-1} \cap \overline{F}_j$ gives rise to \mathcal{O} -group scheme homomorphisms $\mathcal{H}_{F_{j-1}} \xrightarrow{\sigma_j} \mathcal{H}_{z_j} \xleftarrow{\rho_j} \mathcal{H}_{F_j}$ that are the identity on the generic fiber H . The images of the induced homomorphisms $\overline{\mathcal{H}}_{F_{j-1}}^\circ \xrightarrow{\overline{\sigma}_j} \overline{\mathcal{H}}_{z_j}^\circ \xleftarrow{\overline{\rho}_j} \overline{\mathcal{H}}_{F_j}^\circ$ are pseudo-parabolic κ_s -subgroups of $\overline{\mathcal{H}}_{z_j}^\circ$ ([P2, 1.10(2)]). We conclude by Lie algebra consideration that $\overline{\sigma}_j(\overline{\mathcal{G}}_{F_{j-1}}^\circ) = (\overline{\sigma}_j(\overline{\mathcal{H}}_{F_{j-1}}^\circ))^\circ$ and $\overline{\rho}_j(\overline{\mathcal{G}}_{F_j}^\circ) = (\overline{\rho}_j(\overline{\mathcal{H}}_{F_j}^\circ))^\circ$, and Lemma 3.10(ii) implies that both of these subgroups are pseudo-parabolic subgroups of $\overline{\mathcal{G}}_{z_j}^\circ$. As $\mathcal{G}_{\overline{F}_{j-1}}^\circ(\mathcal{O}) = P$, whereas, $P \subset \mathcal{G}_{F_j}^\circ(\mathcal{O}) \subset \mathcal{G}_{z_j}^\circ(\mathcal{O})$, we see that $\overline{\sigma}_j(\overline{\mathcal{G}}_{F_{j-1}}^\circ)$ is contained in $\overline{\rho}_j(\overline{\mathcal{G}}_{F_j}^\circ)$. Let \overline{Q} and \overline{Q}' respectively be the images of $\overline{\sigma}_j(\overline{\mathcal{G}}_{F_{j-1}}^\circ)$ and $\overline{\rho}_j(\overline{\mathcal{G}}_{F_j}^\circ)$ in the maximal pseudo-reductive quotient $\overline{G}_{z_j}^{\text{pred}} := \overline{\mathcal{G}}_{z_j}^\circ / \mathcal{R}_{u, \kappa_s}(\overline{\mathcal{G}}_{z_j}^\circ)$ of $\overline{\mathcal{G}}_{z_j}^\circ$. Then $\overline{Q} \subset \overline{Q}'$, and both of them are pseudo-parabolic subgroups of $\overline{G}_{z_j}^{\text{pred}}$.

Now let S be a maximal K -split torus of G such that the apartment of \mathcal{B} corresponding to S contains the geodesic $[xy]$ and let $v \in V(S)$ so that $v + x = y$. Then for all sufficiently small positive real number ϵ , $-\epsilon v + z_j \in F_{j-1}$ and $\epsilon v + z_j \in F_j$. Using [P2, 1.10(3)] we infer that the images of the pseudo-parabolic subgroups $\overline{\sigma}_j(\overline{\mathcal{H}}_{F_{j-1}}^\circ)$ and $\overline{\rho}_j(\overline{\mathcal{H}}_{F_j}^\circ)$ (of $\overline{\mathcal{H}}_{z_j}^\circ$) in the maximal pseudo-reductive quotient $\overline{H}_{z_j}^{\text{pred}} := \overline{\mathcal{H}}_{z_j}^\circ / \mathcal{R}_{u, \kappa_s}(\overline{\mathcal{H}}_{z_j}^\circ)$ of $\overline{\mathcal{H}}_{z_j}^\circ$ are opposite pseudo-parabolic subgroups. Therefore, the image \mathcal{H} of $\overline{\sigma}_j(\overline{\mathcal{H}}_{F_{j-1}}^\circ) \cap \overline{\rho}_j(\overline{\mathcal{H}}_{F_j}^\circ)$ in $\overline{H}_{z_j}^{\text{pred}}$ is pseudo-reductive. Proposition A.8.14(2) of [CGP] implies then that $(\mathcal{H}^\circ)^\circ$ is pseudo-reductive. It is obvious that under the natural homomorphism $\pi : \overline{G}_{z_j}^{\text{pred}} \rightarrow \overline{H}_{z_j}^{\text{pred}}$, the image of $\overline{Q} = \overline{Q} \cap \overline{Q}'$ is $(\mathcal{H}^\circ)^\circ$. As the kernel of the homomorphism π is a finite (étale unipotent) subgroup (Lemma 3.10(i)), and $(\mathcal{H}^\circ)^\circ$ is pseudo-reductive, we see that \overline{Q} is a pseudo-reductive subgroup of $\overline{G}_{z_j}^{\text{pred}}$. But since \overline{Q} is a pseudo-parabolic subgroup of the latter, we must have $\overline{Q} = \overline{G}_{z_j}^{\text{pred}}$, and hence, $\overline{Q}' = \overline{G}_{z_j}^{\text{pred}}$. So, $\overline{\sigma}_j(\overline{\mathcal{G}}_{F_{j-1}}^\circ) = \overline{\mathcal{G}}_{z_j}^\circ = \overline{\rho}_j(\overline{\mathcal{G}}_{F_j}^\circ)$.

Since the natural homomorphism $\mathcal{G}_{\overline{F}_{j-1}}^\circ(\mathcal{O}) \rightarrow \overline{\mathcal{G}}_{\overline{F}_{j-1}}^\circ(\kappa)$ is surjective (as \mathcal{O} is henselian and $\mathcal{G}_{\overline{F}_{j-1}}^\circ$ is smooth, [EGA IV₄, 18.5.17]), and $\overline{\sigma}_j(\overline{\mathcal{G}}_{\overline{F}_{j-1}}^\circ) = \overline{\mathcal{G}}_{z_j}^\circ$, the image

of $\mathcal{G}_{F_{j-1}}^\circ(\mathcal{O}) (\subset \mathcal{G}_{z_j}^\circ(\mathcal{O}))$ in $\overline{\mathcal{G}_{z_j}^\circ}(\kappa)$ is Zariski-dense in $\overline{\mathcal{G}_{z_j}^\circ}$. From this we see that

$$\mathcal{O}[\mathcal{G}_{z_j}^\circ] = \{f \in K[G] \mid f(\mathcal{G}_{F_{j-1}}^\circ(\mathcal{O})) \subset \mathcal{O}\} = \mathcal{O}[\mathcal{G}_{F_{j-1}}^\circ],$$

cf. [BrT2, 1.7.2] and 2.1. Therefore, $\sigma_j|_{\mathcal{G}_{F_{j-1}}^\circ} : \mathcal{G}_{F_{j-1}}^\circ \rightarrow \mathcal{G}_{z_j}^\circ$ is a \mathcal{O} -group scheme isomorphism. We similarly see that $\rho_j|_{\mathcal{G}_{F_j}^\circ} : \mathcal{G}_{F_j}^\circ \rightarrow \mathcal{G}_{z_j}^\circ$ is a \mathcal{O} -group scheme isomorphism. Now since $\mathcal{G}_{F_{j-1}}^\circ(\mathcal{O}) = P$, we conclude that $P = \mathcal{G}_{z_j}^\circ(\mathcal{O}) = \mathcal{G}_{F_j}^\circ(\mathcal{O})$. By induction it follows that $P = \mathcal{G}_{z_i}^\circ(\mathcal{O}) = \mathcal{G}_{F_i}^\circ(\mathcal{O})$ for all $i \leq n$. In particular, for all $z \in [xy]$, except possibly for $z = y$, $\mathcal{G}_z^\circ(\mathcal{O}) = P$, and $\mathcal{G}_{F_n}^\circ(\mathcal{O}) = P$. \square

For parahoric subgroups P and Q of $G(K)$, if $\mathcal{F}_P \cap \mathcal{F}_Q$ is nonempty, then for any z in this intersection, $P = \mathcal{G}_z^\circ(\mathcal{O}) = Q$ (Proposition 3.11). Thus every point of \mathcal{B} is contained in a unique facet.

We will use the following simple lemma in the proof of the next proposition.

Lemma 3.12. *Let S be a maximal K -split torus of G , \mathcal{A} the corresponding apartment of \mathcal{B} , and \mathcal{C} be a noncompact closed convex subset of \mathcal{A} . Then for any point $x \in \mathcal{C}$, there is an infinite ray originating at x and contained in \mathcal{C} .*

Proof. Recall that \mathcal{A} is an affine space under the vector space $V(S) = \mathbb{R} \otimes_{\mathbb{Z}} X_*(S)$. We identify \mathcal{A} with $V(S)$ using translations by elements in the latter, with x identified with the origin 0, and use a positive definite inner product on $V(S)$ to get a norm on \mathcal{A} . With this identification, \mathcal{C} is a closed convex subset of $V(S)$ containing 0. Since \mathcal{C} is noncompact, there exist unit vectors $v_i \in V(S)$, $i \geq 1$, and positive real numbers $s_i \rightarrow \infty$ such that $s_i v_i$ lies in \mathcal{C} . After replacing $\{v_i\}$ by a subsequence, we may (and do) assume that the sequence $\{v_i\}$ converges to a unit vector v . We will now show that for every nonnegative real number t , tv lies in \mathcal{C} , this will prove the lemma. To see that tv lies in \mathcal{C} , it suffices to observe that for a given t , the sequence $\{tv_i\}$ converges to tv , and for all sufficiently large i (so that $s_i \geq t$), tv_i lies in \mathcal{C} . \square

Proposition 3.13. *For any parahoric subgroup P of $G(K)$, the associated closed facet $\overline{\mathcal{F}}_P$ of \mathcal{B} , and so also the associated facet $\mathcal{F}_P (\subset \overline{\mathcal{F}}_P)$, is bounded.*

Proof. Let S be a maximal K -split torus of G such that the corresponding apartment of \mathcal{B} contains $\overline{\mathcal{F}}_P$ (3.7). Assume, if possible, that $\overline{\mathcal{F}}_P$ is noncompact and fix a point x of \mathcal{F}_P . Then, according to the preceding lemma, there is an infinite ray $\mathcal{R} := \{tv + x \mid t \in \mathbb{R}_{\geq 0}\}$, for some $v \in V(S)$, originating at x and contained in $\overline{\mathcal{F}}_P$. It is obvious from Proposition 3.11(i) that this ray is actually contained in \mathcal{F}_P . Hence, for every point $z \in \mathcal{R}$, $\mathcal{G}_z^\circ(\mathcal{O}) = P$.

As the central torus of G has been assumed to be K -anisotropic, there is a non-divisible root a of G , with respect to S , such that $\langle a, v \rangle > 0$. Let S_a be the identity component of the kernel of a and G_a (resp. H_a) be the derived subgroup of the centralizer of S_a in G (resp. H). Fix $t \in \mathbb{R}_{\geq 0}$, and let $y = tv + x \in \mathcal{R}$. Let \mathcal{S} be the closed 1-dimensional \mathcal{O} -split torus of \mathcal{G}_y° whose generic fiber is the maximal K -split torus of G_a contained in S and let $\lambda : \mathrm{GL}_1 \rightarrow \mathcal{S} (\hookrightarrow \mathcal{G}_y^\circ \hookrightarrow \mathcal{H}_y)$ be the \mathcal{O} -isomorphism such that $\langle a, \lambda \rangle > 0$. Let $c = \langle a, v \rangle / \langle a, \lambda \rangle$. Then $\langle a, v - c\lambda \rangle = 0$.

Let \mathcal{U}_y be the \mathcal{O} -subgroup scheme of \mathcal{H}_y representing the functor

$$R \rightsquigarrow \{h \in \mathcal{H}_y(R) \mid \lim_{t \rightarrow 0} \lambda(t)h\lambda(t)^{-1} = 1\},$$

cf. [CGP, Lemma 2.1.5]. Using the last assertion of 2.1.8(3), and the first assertion of 2.1.8(4), of [CGP] (with k , which is an arbitrary commutative ring in these assertions, replaced by \mathcal{O} , and G replaced by \mathcal{H}_y), we see that \mathcal{U}_y is a closed smooth unipotent \mathcal{O} -subgroup scheme of \mathcal{H}_y with connected fibers; the generic fiber of \mathcal{U}_y is $U_H(\lambda)$, where $U_H(\lambda)$ is as in [CGP, Lemma 2.1.5] with G replaced by H . We consider the smooth closed \mathcal{O} -subgroup scheme \mathcal{U}_y^\ominus of \mathcal{U}_y . As \mathcal{U}_y^\ominus is clearly normalized by \mathcal{S} , it has connected fibers, and hence it is contained in $(\mathcal{H}_y^\ominus)^\circ = \mathcal{G}_y^\circ$. The generic fiber of \mathcal{U}_y^\ominus is $U_H(\lambda)^\ominus$ that contains the root group $U_a (= U_{G_a}(\lambda))$ of G corresponding to the root a .

As $\bigcup_{z \in \mathcal{R}} \mathcal{U}_z(\mathcal{O}) \supset U_{H_a}(\lambda)(K) \supset U_a(K)$, we see that $\bigcup_{z \in \mathcal{R}} \mathcal{U}_z^\ominus(\mathcal{O}) \supset U_a(K)$. Now since $\mathcal{G}_z^\circ \supset \mathcal{U}_z^\ominus$, we conclude that $\bigcup_{z \in \mathcal{R}} \mathcal{G}_z^\circ(\mathcal{O}) \supset U_a(K)$. But for all $z \in \mathcal{R}$, $\mathcal{G}_z^\circ(\mathcal{O}) = P$, so the parahoric subgroup P contains the unbounded subgroup $U_a(K)$. This is a contradiction. \square

Proposition 3.13 implies that each closed facet of \mathcal{B} is a compact polyhedron. Considering the facets lying on the boundary of a maximal closed facet of \mathcal{B} , we see that \mathcal{B} contains facets of every dimension $\leq K\text{-rank } G$.

3.14. Let P be a parahoric subgroup of $G(K)$ and $\mathcal{F} := \mathcal{F}_P$ be the facet of \mathcal{B} associated to P in 3.7. Then for any $x \in \mathcal{F}$, since $P \subset \mathcal{G}_x^\circ(\mathcal{O}) \subset \mathcal{G}_x^\circ(\mathcal{O}) = P$ (3.6(ii)), $\mathcal{G}_x^\circ(\mathcal{O}) = P$ and hence the natural \mathcal{O} -group scheme homomorphism $\mathcal{G}_x^\circ \rightarrow \mathcal{G}_x^\circ$ is an isomorphism. In particular, for any facet F of $\mathcal{B}(H/K)$ that meets \mathcal{F} , $\mathcal{G}_x^\circ = \mathcal{G}_F^\circ$.

Proposition 3.15. *Let \mathcal{F} be a facet of \mathcal{B} . Then the κ -unipotent radical $\mathcal{R}_{u,\kappa}(\overline{\mathcal{G}}_\mathcal{F}^\circ)$ of $\overline{\mathcal{G}}_\mathcal{F}^\circ$ equals $(\overline{\mathcal{G}}_\mathcal{F}^\circ \cap \mathcal{R}_{u,\kappa}(\overline{\mathcal{H}}_\mathcal{F}^\circ))^\circ$.*

Let \mathcal{F} and \mathcal{F}' be two facets of \mathcal{B} , with $\mathcal{F}' \prec \mathcal{F}$. Then:

(i) *The kernel of the induced homomorphism $\overline{\rho}_{\mathcal{F}',\mathcal{F}}^G : \overline{\mathcal{G}}_\mathcal{F}^\circ \rightarrow \overline{\mathcal{G}}_{\mathcal{F}'}^\circ$ between the special fibers is a smooth unipotent κ -subgroup of $\overline{\mathcal{G}}_\mathcal{F}^\circ$ and the image $\mathfrak{p}(\mathcal{F}'/\mathcal{F})$ is a pseudo-parabolic κ -subgroup of $\overline{\mathcal{G}}_{\mathcal{F}'}^\circ$.*

(ii) *If F and F' are facets of $\mathcal{B}(H/K)$, $F' \prec F$, that meet \mathcal{F} and \mathcal{F}' respectively, then $\mathfrak{p}(\mathcal{F}'/\mathcal{F}) = (\overline{\mathcal{Q}})^\circ$, where $\overline{\mathcal{Q}}$ is the image of $\overline{\rho}_{F',F}^G : \overline{\mathcal{H}}_F^\circ \rightarrow \overline{\mathcal{H}}_{F'}^\circ$.*

(iii) *The inverse image of the subgroup $\mathfrak{p}(\mathcal{F}'/\mathcal{F})(\kappa)$ of $\overline{\mathcal{G}}_{\mathcal{F}'}^\circ(\kappa)$, under the natural surjective homomorphism $\mathcal{G}_{\mathcal{F}'}^\circ(\mathcal{O}) \rightarrow \overline{\mathcal{G}}_{\mathcal{F}'}^\circ(\kappa)$, is $\rho_{\mathcal{F}',\mathcal{F}}^G(\mathcal{G}_\mathcal{F}^\circ(\mathcal{O})) \subset \mathcal{G}_\mathcal{F}^\circ(\mathcal{O})$.*

Given a pseudo-parabolic κ -subgroup $\overline{\mathcal{P}}$ of $\overline{\mathcal{G}}_{\mathcal{F}'}^\circ$, there is a facet \mathcal{F} of \mathcal{B} with $\mathcal{F}' \prec \mathcal{F}$ such that the image of the homomorphism $\overline{\rho}_{\mathcal{F}',\mathcal{F}}^G : \overline{\mathcal{G}}_\mathcal{F}^\circ \rightarrow \overline{\mathcal{G}}_{\mathcal{F}'}^\circ$ equals $\overline{\mathcal{P}}$.

Proof. The first assertion of the proposition follows immediately from Lemma 3.10(i).

To prove (i), we fix $x \in \mathcal{F}'$ and let F' be the facet of $\mathcal{B}(H/K)$ containing x . As the closure of \mathcal{F} contains x , there is a facet F of $\mathcal{B}(H/K)$ that meets \mathcal{F} and

contains x in its closure. Then $F' \subset \overline{F}$, i.e., $F' \prec F$, and F and F' meet \mathcal{F} and \mathcal{F}' respectively. Hence, $\mathcal{G}_{\mathcal{F}}^{\circ} = \mathcal{G}_F^{\circ} = (\mathcal{H}_F^{\circ})^{\circ}$ and $\mathcal{G}_{\mathcal{F}'}^{\circ} = \mathcal{G}_{F'}^{\circ} = (\mathcal{H}_{F'}^{\circ})^{\circ}$ (3.14). Now we will prove assertions (i) and (ii) together. The kernel $\overline{\mathcal{K}}$ of the homomorphism $\overline{\rho}_{F',F} : \overline{\mathcal{H}}_F^{\circ} \rightarrow \overline{\mathcal{H}}_{F'}^{\circ}$ is a smooth unipotent κ -subgroup, and the image $\overline{\mathcal{Q}}$ is a pseudo-parabolic κ -subgroup of $\overline{\mathcal{H}}_{F'}^{\circ}$ [P2, 1.10 (1), (2)]. The pseudo-parabolic subgroup $\overline{\mathcal{Q}}$ is clearly Θ -stable as the facets F and F' are Θ -stable. The kernel of $\overline{\rho}_{\mathcal{F}',\mathcal{F}}^G$ is $\overline{\mathcal{K}} \cap \overline{\mathcal{G}}_{\mathcal{F}}^{\circ}$, and its image is contained in $(\overline{\mathcal{Q}}^{\Theta})^{\circ}$. Therefore, the kernel of $\overline{\rho}_{\mathcal{F}',\mathcal{F}}^G$ contains $(\overline{\mathcal{K}}^{\Theta})^{\circ}$ and is contained in $\overline{\mathcal{K}}^{\Theta}$. As $\overline{\mathcal{K}}^{\Theta}$ is a smooth subgroup of $\overline{\mathcal{K}}$, we see that the kernel of $\overline{\rho}_{\mathcal{F}',\mathcal{F}}^G$ is smooth.

Since the image of the Lie algebra homomorphism $L(\overline{\mathcal{G}}_{\mathcal{F}}^{\circ}) \rightarrow L(\overline{\mathcal{G}}_{\mathcal{F}'}^{\circ})$ induced by $\overline{\rho}_{\mathcal{F}',\mathcal{F}}^G$ is $L(\overline{\mathcal{Q}})^{\Theta}$, the containment $\mathfrak{p}(\mathcal{F}'/\mathcal{F}) = \overline{\rho}_{\mathcal{F}',\mathcal{F}}^G(\overline{\mathcal{G}}_{\mathcal{F}}^{\circ}) \subset (\overline{\mathcal{Q}}^{\Theta})^{\circ}$ is equality. According to Lemma 3.10(ii), $(\overline{\mathcal{Q}}^{\Theta})^{\circ}$ is a pseudo-parabolic κ -subgroup of $\overline{\mathcal{G}}_{\mathcal{F}'}^{\circ}$.

To prove (iii), let $F' \prec F$ be as in the proof of (i) above and $\overline{\mathcal{Q}}$ be the image of $\overline{\rho}_{F',F} : \overline{\mathcal{H}}_F^{\circ} \rightarrow \overline{\mathcal{H}}_{F'}^{\circ}$. Then, as we saw above, $\overline{\mathcal{Q}}$ is a Θ -stable pseudo-parabolic κ -subgroup of $\overline{\mathcal{H}}_{F'}^{\circ}$ and $\mathfrak{p}(\mathcal{F}'/\mathcal{F}) = \overline{\mathcal{P}} := (\overline{\mathcal{Q}}^{\Theta})^{\circ}$. The inverse image of the subgroup $\overline{\mathcal{Q}}(\kappa)$ of $\overline{\mathcal{H}}_{F'}^{\circ}(\kappa)$ under the natural surjective homomorphism $\mathcal{H}_F^{\circ}(\mathcal{O}) \rightarrow \overline{\mathcal{H}}_{F'}^{\circ}(\kappa)$ equals $\rho_{F',F}(\mathcal{H}_F^{\circ}(\mathcal{O})) \subset \mathcal{H}_{F'}^{\circ}(\mathcal{O})$, see [P2, 1.10 (4)]. Let $\mathcal{G}_F = (\mathcal{H}_F^{\circ})^{\Theta}$ and $\mathcal{G}_{F'} = (\mathcal{H}_{F'}^{\circ})^{\Theta}$. We will denote the \mathcal{O} -group scheme homomorphism $\mathcal{G}_F \rightarrow \mathcal{G}_{F'}$ induced by $\rho_{F',F}$ by $\rho_{F',F}^{\Theta}$; the corresponding homomorphism $\overline{\mathcal{G}}_F \rightarrow \overline{\mathcal{G}}_{F'}$ between the special fibers of \mathcal{G}_F and $\mathcal{G}_{F'}$ will be denoted by $\overline{\rho}_{F',F}^{\Theta}$. The neutral components of \mathcal{G}_F and $\mathcal{G}_{F'}$ are $\mathcal{G}_{\mathcal{F}}^{\circ}$ and $\mathcal{G}_{\mathcal{F}'}^{\circ}$ respectively (3.14). Let $\mathcal{G}_F^{\natural} (\supset \mathcal{G}_{\mathcal{F}}^{\circ})$ be the inverse image of $\mathcal{G}_{\mathcal{F}'}^{\circ}$ in \mathcal{G}_F under $\rho_{F',F}^{\Theta}$. Since the homomorphism $\rho_{F',F}$ is the identity on the generic fiber H , we infer that $h \in \mathcal{H}_F^{\circ}(\mathcal{O})$ is fixed under Θ if and only if so is $\rho_{F',F}(h)$, and as the generic fiber of both $\mathcal{G}_{\mathcal{F}}^{\circ}$ and $\mathcal{G}_{\mathcal{F}'}^{\circ}$ is G , the generic fiber of \mathcal{G}_F^{\natural} is also G . It is easily seen now that the inverse image of the subgroup $\mathfrak{p}(\mathcal{F}'/\mathcal{F})(\kappa)$ of $\overline{\mathcal{G}}_{\mathcal{F}'}^{\circ}(\kappa)$, under the natural surjective homomorphism $\mathcal{G}_{\mathcal{F}}^{\circ}(\mathcal{O}) \rightarrow \overline{\mathcal{G}}_{\mathcal{F}'}^{\circ}(\kappa)$, is $\rho_{F',F}^{\Theta}(\mathcal{G}_F^{\natural}(\mathcal{O}))$. We will presently show that the last group equals $\overline{\rho}_{\mathcal{F}',\mathcal{F}}^G(\mathcal{G}_{\mathcal{F}}^{\circ}(\mathcal{O}))$, this will prove (iii).

\mathcal{G}_F^{\natural} is the union of its generic fiber G and its special fiber $\overline{\mathcal{G}}_F^{\natural}$; and the identity component of $\overline{\mathcal{G}}_F^{\natural}$ is clearly $\overline{\mathcal{G}}_{\mathcal{F}}^{\circ}$. We have shown above that the image $\overline{\mathcal{P}}$ of $\overline{\mathcal{G}}_{\mathcal{F}}^{\circ}$ under the homomorphism $\overline{\rho}_{\mathcal{F}',\mathcal{F}}^G$ is a pseudo-parabolic κ -subgroup of $\overline{\mathcal{G}}_{\mathcal{F}'}^{\circ}$ and the kernel of this homomorphism is smooth. Hence, as κ is separably closed, $\overline{\rho}_{\mathcal{F}',\mathcal{F}}^G(\overline{\mathcal{G}}_{\mathcal{F}}^{\circ}(\kappa)) = \overline{\mathcal{P}}(\kappa)$. So, according to [CGP, Thm. C.2.23], there is a pseudo-parabolic κ -subgroup $\overline{\mathcal{P}'}$ of $\overline{\mathcal{G}}_{\mathcal{F}'}^{\circ}$, that contains $\overline{\mathcal{P}}$, such that $\overline{\rho}_{F',F}^{\Theta}(\overline{\mathcal{G}}_F^{\natural}(\kappa)) = \overline{\mathcal{P}'}$. But since κ is infinite, $\overline{\mathcal{P}'}/\overline{\mathcal{P}}(\kappa)$ is infinite unless $\overline{\mathcal{P}'} = \overline{\mathcal{P}}$. So we conclude that $\overline{\mathcal{P}'} = \overline{\mathcal{P}}$, and then $\overline{\rho}_{F',F}^{\Theta}(\overline{\mathcal{G}}_F^{\natural}(\kappa)) = \overline{\mathcal{P}}(\kappa) = \overline{\rho}_{\mathcal{F}',\mathcal{F}}^G(\overline{\mathcal{G}}_{\mathcal{F}}^{\circ}(\kappa))$. Now using this, and the fact that the natural homomorphism $\mathcal{G}_{\mathcal{F}}^{\circ}(\mathcal{O}) \rightarrow \overline{\mathcal{G}}_{\mathcal{F}}^{\circ}(\kappa)$ is surjective (since \mathcal{O} is henselian and

$\mathcal{G}_{\mathcal{F}}^{\circ}$ is smooth, [EGA IV₄, 18.5.17]) and the kernel of this homomorphism equals the kernel of the natural surjective homomorphism $\mathcal{G}_{F'}^{\natural}(\mathcal{O}) \rightarrow \overline{\mathcal{G}}_F^{\natural}(\kappa)$, we see that $\rho_{\mathcal{F}', \mathcal{F}}^G(\mathcal{G}_{\mathcal{F}}^{\circ}(\mathcal{O})) = \rho_{F', F}^{\Theta}(\mathcal{G}_{F'}^{\natural}(\mathcal{O}))$. This proves (iii).

Finally, to prove the last assertion of the proposition, we fix a facet F' of $\mathcal{B}(H/K)$ that meets \mathcal{F}' . Then $\mathcal{G}_{\mathcal{F}'} = \mathcal{G}_{F'}^{\circ}$ (3.14). Using Lemma 3.10(iii) for κ in place of k and $\overline{\mathcal{H}}_{F'}^{\circ}$ in place of \mathcal{H} , we find a Θ -stable pseudo-parabolic κ -subgroup $\overline{\mathcal{Q}}$ of $\overline{\mathcal{H}}_{F'}^{\circ}$ such that $\overline{\mathcal{P}} = (\overline{\mathcal{Q}}^{\Theta})^{\circ}$. Let $(F' \prec) F$ be the facet of $\mathcal{B}(H/K)$ corresponding to the pseudo-parabolic κ -subgroup $\overline{\mathcal{Q}}$ of $\overline{\mathcal{H}}_{F'}^{\circ}$. Then F is stable under Θ -action. As $F' \prec F$, there is a natural Θ -group scheme homomorphism $\rho_{F', F} : \overline{\mathcal{H}}_{F'}^{\circ} \rightarrow \overline{\mathcal{H}}_F^{\circ}$ that restricts to a Θ -group scheme homomorphism $\rho_{F', F}^G : \mathcal{G}_{F'}^{\circ} \rightarrow \mathcal{G}_F^{\circ}$. Let $\overline{\mathcal{Q}}$ be the image of the former. Then according to (ii), the image of the latter is $(\overline{\mathcal{Q}}^{\Theta})^{\circ} = \overline{\mathcal{P}}$. Let $P = \mathcal{G}_F^{\circ}(\mathcal{O}) \subset \mathcal{G}_{F'}^{\circ}(\mathcal{O}) =: Q$, and $\mathcal{F} = \mathcal{F}_P$. Then $P \subset Q$ are parahoric subgroups of $G(K)$, $\mathcal{F}' = \mathcal{F}_Q \subset \overline{\mathcal{F}}_Q \subset \overline{\mathcal{F}}_P = \overline{\mathcal{F}}$, thus $\mathcal{F}' \prec \mathcal{F}$. As F and F' meet \mathcal{F} and \mathcal{F}' respectively, $\mathcal{G}_{\mathcal{F}}^{\circ} = \mathcal{G}_F^{\circ}$ and $\mathcal{G}_{\mathcal{F}'} = \mathcal{G}_{F'}^{\circ}$ (3.14), and hence the image of the homomorphism $\overline{\rho}_{\mathcal{F}', \mathcal{F}}^G : \overline{\mathcal{G}}_{\mathcal{F}'}^{\circ} \rightarrow \overline{\mathcal{G}}_{\mathcal{F}}^{\circ}$ equals $\overline{\mathcal{P}}$. \square

Proposition 3.15 and [CGP, Propositions 2.2.10 and 3.5.1] imply the following. (Recall that the residue field κ of K has been assumed to be separably closed!)

Corollary 3.16. (i) *A facet \mathcal{F} of \mathcal{B} is a chamber (=maximal facet) if and only if $\overline{\mathcal{G}}_{\mathcal{F}}^{\circ}$ does not contain a proper pseudo-parabolic κ -subgroup. Equivalently, \mathcal{F} is a chamber if and only if the pseudo-reductive quotient $\overline{G}_{\mathcal{F}}^{\text{pred}}$ is commutative (this is the case if and only if $\overline{G}_{\mathcal{F}}^{\text{pred}}$ contains a unique maximal κ -torus, or, equivalently, every torus of this pseudo-reductive group is central).*

(ii) *The codimension of a facet \mathcal{F} of \mathcal{B} equals the κ -rank of the derived subgroup of the pseudo-split pseudo-reductive quotient $\overline{G}_{\mathcal{F}}^{\text{pred}} := \overline{\mathcal{G}}_{\mathcal{F}}^{\circ} / \mathcal{R}_{u, \kappa}(\overline{\mathcal{G}}_{\mathcal{F}}^{\circ})$ of $\overline{\mathcal{G}}_{\mathcal{F}}^{\circ}$.*

We will now establish the following analogues of Propositions 3.5–3.7 of [P2].

Proposition 3.17. *Let \mathcal{A} be an apartment of \mathcal{B} , and $\mathcal{C}, \mathcal{C}'$ two chambers in \mathcal{A} . Then there is a gallery joining \mathcal{C} and \mathcal{C}' in \mathcal{A} , i.e., there is a finite sequence*

$$\mathcal{C} = \mathcal{C}_0, \mathcal{C}_1, \dots, \mathcal{C}_m = \mathcal{C}'$$

of chambers in \mathcal{A} such that for i with $1 \leq i \leq m$, \mathcal{C}_{i-1} and \mathcal{C}_i share a face of codimension 1.

Proof. Let \mathcal{A}_2 be the codimension 2-skelton of \mathcal{A} , i.e., the union of all facets in \mathcal{A} of codimension at least 2. Then \mathcal{A}_2 is a closed subset of \mathcal{A} of codimension 2, so $\mathcal{A} - \mathcal{A}_2$ is a connected open subset of the affine space \mathcal{A} . Hence $\mathcal{A} - \mathcal{A}_2$ is arcwise connected. This implies that given points $x \in \mathcal{C}$ and $x' \in \mathcal{C}'$, there is a piecewise linear curve in $\mathcal{A} - \mathcal{A}_2$ joining x and x' . Now the chambers in \mathcal{A} that meet this curve make a gallery joining \mathcal{C} to \mathcal{C}' . \square

As the central torus of G is K -anisotropic, the dimension of any apartment, or any chamber, in \mathcal{B} is equal to the K -rank of G . A *panel* in \mathcal{B} is by definition a facet of codimension 1.

Proposition 3.18. *\mathcal{B} is thick, that is any panel is a face of at least three chambers, and every apartment of \mathcal{B} is thin, that is any panel lying in an apartment is a face of exactly two chambers of the apartment.*

Proof. Let \mathcal{F} be a facet of \mathcal{B} that is not a chamber, and \mathcal{C} be a chamber of which \mathcal{F} is a face. Then there is an \mathcal{O} -group scheme homomorphism $\rho_{\mathcal{F},\mathcal{C}}^G : \mathcal{G}_{\mathcal{C}}^{\circ} \rightarrow \mathcal{G}_{\mathcal{F}}^{\circ}$ (3.2). The image of $\overline{\mathcal{G}}_{\mathcal{C}}^{\circ}$ in $\overline{\mathcal{G}}_{\mathcal{F}}^{\circ}$, under the induced homomorphism of special fibers, is a minimal pseudo-parabolic κ -subgroup of $\overline{\mathcal{G}}_{\mathcal{F}}^{\circ}$, and conversely, any minimal pseudo-parabolic κ -subgroup of the latter determines a chamber of \mathcal{B} with \mathcal{F} as a face (Corollary 3.16). Now as κ is infinite, $\overline{\mathcal{G}}_{\mathcal{F}}^{\circ}$ contains infinitely many minimal pseudo-parabolic κ -subgroups. We conclude that \mathcal{F} is a face of infinitely many chambers.

The second assertion follows at once from the following well-known result in algebraic topology: In any simplicial complex whose geometric realization is a topological manifold without boundary (such as an apartment \mathcal{A} of \mathcal{B}), any simplex of codimension 1 is a face of exactly two chambers (i.e., maximal dimensional simplices). \square

Proposition 3.19. *Let \mathcal{A} be an apartment of \mathcal{B} and S be the maximal K -split torus of G corresponding to this apartment. (Then $\mathcal{A} = \mathcal{B}(Z_H(S)/K)^{\circ}$.) The group $N_G(S)(K)$ acts transitively on the set of chambers of \mathcal{A} .*

Proof. According to Proposition 3.17, given any two chambers in \mathcal{A} , there exists a minimal gallery in \mathcal{A} joining these two chambers. So to prove the proposition by induction on the length of a minimal gallery joining two chambers, it suffices to prove that given two different chambers \mathcal{C} and \mathcal{C}' in \mathcal{A} which share a panel \mathcal{F} , there is an element $n \in N_G(S)(K)$ such that $n \cdot \mathcal{C} = \mathcal{C}'$. Let $\mathcal{G} := \mathcal{G}_{\mathcal{F}}^{\circ}$ be the Bruhat-Tits smooth affine \mathcal{O} -group scheme associated with the panel \mathcal{F} and $\mathcal{S} \subset \mathcal{G}$ be the closed \mathcal{O} -torus with generic fiber S . Let $\overline{\mathcal{G}}$ be the special fiber of \mathcal{G} and $\overline{\mathcal{S}}$ the special fiber of \mathcal{S} . Then $\overline{\mathcal{S}}$ is a maximal torus of $\overline{\mathcal{G}}$. The chambers \mathcal{C} and \mathcal{C}' correspond to minimal pseudo-parabolic subgroups $\overline{\mathcal{P}}$ and $\overline{\mathcal{P}'}$ of $\overline{\mathcal{G}}$ (Corollary 3.16). Both of these minimal pseudo-parabolic κ -subgroups contain $\overline{\mathcal{S}}$ since the chambers \mathcal{C} and \mathcal{C}' lie in \mathcal{A} . But then by Theorems C.2.5 and C.2.3 of [CGP], there is an element $\overline{n} \in \overline{\mathcal{G}}(\kappa)$ that normalizes $\overline{\mathcal{S}}$ and conjugates $\overline{\mathcal{P}}$ onto $\overline{\mathcal{P}'}$. Now from Proposition 2.1(iii) of [P2] we conclude that there is an element $n \in N_{\mathcal{G}}(\mathcal{S})(\mathcal{O})$ lying over \overline{n} . It is clear that n normalizes S and hence it lies in $N_G(S)(K)$; it fixes \mathcal{F} pointwise and $n \cdot \mathcal{C} = \mathcal{C}'$. \square

Now in view of Propositions 2.14, 3.4, 3.17 and 3.18, Theorem 3.11 of [Ro] (cf. also [P2, 1.8]) implies that \mathcal{B} is an affine building if for any maximal K -split torus S of G , $\mathcal{B}(Z_H(S)/K)^{\circ}$ is taken to be the corresponding apartment, and \mathcal{B} is given the polysimplicial structure described in 3.7. Thus we obtain the following:

Theorem 3.20. $\mathcal{B} = \mathcal{B}(H/K)^\ominus$ is an affine building. Its apartments are the affine spaces $\mathcal{B}(Z_H(S)/K)^\ominus$ under $V(S) := \mathbb{R} \otimes_{\mathbb{Z}} X_*(S)$ for maximal K -split tori S of G . Its facets are as in 3.7. The group $G(K)$ acts on \mathcal{B} by polysimplicial isometries.

From Propositions 2.15 and 3.19 we obtain the following.

Proposition 3.21. $G(K)$ acts transitively on the set of ordered pairs $(\mathcal{A}, \mathcal{C})$ consisting of an apartment \mathcal{A} of \mathcal{B} and a chamber \mathcal{C} of \mathcal{A} .

Remark 3.22. (i) As in [P2, 3.16], using the preceding proposition we can obtain Tits systems in suitable subgroups of $G(K)$.

(ii) As in [P2, §5], we can obtain filtration of root groups and a valuation of root datum for G/K .

§4. Tamely-ramified descent

We begin by proving the following proposition:

Proposition 4.1. Let k be a field of characteristic $p \geq 0$. Let \mathcal{H} be a noncommutative pseudo-reductive k -group, θ a k -automorphism of \mathcal{H} of finite order not divisible by p , and $\mathcal{G} := (\mathcal{H}^{(\theta)})^\circ$. Then

- (i) No maximal torus of \mathcal{G} is central in \mathcal{H} .
- (ii) The centralizer in \mathcal{H} of any maximal torus of \mathcal{G} is commutative.
- (iii) Given a maximal k -torus \mathcal{S} of \mathcal{G} , there is a θ -stable maximal k -torus of \mathcal{H} containing \mathcal{S} .
- (iv) If k is separably closed, then \mathcal{H} contains a θ -stable proper pseudo-parabolic k -subgroup.

Proof. We fix an algebraic closure \bar{k} of k . Let \mathcal{H}' be the maximal reductive quotient of $\mathcal{H}_{\bar{k}}$. As \mathcal{H} is noncommutative, \mathcal{H}' is also noncommutative (see [CGP, Prop. 1.2.3]). The automorphism θ induces a \bar{k} -automorphism of \mathcal{H}' which we will denote again by θ . According to a theorem of Steinberg [St, Thm. 7.5], $\mathcal{H}'_{\bar{k}}$ contains a θ -stable Borel subgroup \mathcal{B} , and this Borel subgroup contains a θ -stable maximal torus \mathcal{T} . The natural quotient map $\pi : \mathcal{H}'_{\bar{k}} \rightarrow \mathcal{H}'$ carries \mathcal{T} isomorphically onto a maximal torus of \mathcal{H}' . We endow the root system of \mathcal{H}' with respect to the maximal torus $\mathfrak{T}' := \pi(\mathcal{T}) \cap \mathcal{D}(\mathcal{H}')$ of the derived subgroup $\mathcal{D}(\mathcal{H}')$ of \mathcal{H}' with the ordering determined by the Borel subgroup $\pi(\mathcal{B})$. Let a be the sum of all positive roots. Then as $\pi(\mathcal{B})$ is θ -stable, a is fixed under θ acting on the character group $X(\mathfrak{T}')$ of \mathfrak{T}' . Therefore, $X(\mathfrak{T}')$ admits a nontrivial torsion-free quotient on which θ acts trivially. This implies that \mathcal{T} contains a nontrivial subtorus \mathcal{S} that is fixed pointwise under θ and is mapped by π into $\mathfrak{T}' (\subset \mathcal{D}(\mathcal{H}'))$. The subtorus \mathcal{S} is therefore contained in $\mathcal{G}_{\bar{k}}$. Since the center of the semi-simple group $\mathcal{D}(\mathcal{H}')$ does not contain a nontrivial smooth connected subgroup, we infer that \mathcal{S} is not central in $\mathcal{H}'_{\bar{k}}$. Thus the subgroup $\mathcal{G}_{\bar{k}}$ contains a noncentral torus of $\mathcal{H}'_{\bar{k}}$. Now by conjugacy of maximal tori in $\mathcal{G}_{\bar{k}}$, we see that no maximal torus of this group can be central in $\mathcal{H}'_{\bar{k}}$. This proves (i).

To prove (ii), let \mathcal{S} be a maximal torus of \mathcal{G} . Then the centralizer $Z_{\mathcal{H}}(\mathcal{S})$ of \mathcal{S} in \mathcal{H} is a θ -stable pseudo-reductive subgroup of \mathcal{H} , and $(Z_{\mathcal{H}}(\mathcal{S})^{(\theta)})^\circ = Z_{\mathcal{G}}(\mathcal{S})$. As \mathcal{S} is a maximal torus of $Z_{\mathcal{G}}(\mathcal{S})$ that is central in $Z_{\mathcal{H}}(\mathcal{S})$, if $Z_{\mathcal{H}}(\mathcal{S})$ were noncommutative, we could apply (i) to this subgroup in place of \mathcal{H} to get a contradiction.

To prove (iii), we consider the centralizer $Z_{\mathcal{H}}(\mathcal{S})$ of \mathcal{S} in \mathcal{H} . This centralizer is θ -stable and commutative according to (ii). The unique maximal k -torus of it contains \mathcal{S} and is a θ -stable maximal torus of \mathcal{H} .

To prove (iv), we assume now that k is separably closed and let \mathcal{S} be a maximal torus of \mathcal{G} . Then \mathcal{S} is k -split, and in view of (i), there is a 1-parameter subgroup $\lambda : \mathrm{GL}_1 \rightarrow \mathcal{S}$ whose image is not central in \mathcal{H} . Then $P_{\mathcal{H}}(\lambda)$ is a θ -stable proper pseudo-parabolic k -subgroup of \mathcal{H} . \square

In the following proposition we will use the notation introduced in §§1, 2. As in 2.4, we will assume that H is semi-simple and the central torus of G is K -anisotropic. We will further assume that H is K -isotropic, Θ is a finite *cyclic* group of automorphisms of H , and p does not divide the order of Θ .

Proposition 4.2. *The Bruhat-Tits building $\mathcal{B}(H/K)$ of $H(K)$ contains a Θ -stable chamber.*

Proof. Let F be a Θ -stable facet of $\mathcal{B}(H/K)$ that is maximal among the Θ -stable facets. Let $\mathcal{H} := \mathcal{H}_F^\circ$ be the Bruhat-Tits smooth affine \mathcal{O} -group scheme with generic fiber H , and connected special fiber $\overline{\mathcal{H}}$, corresponding to F . Let $\mathcal{H} := \overline{\mathcal{H}}/\mathcal{R}_{u,\kappa}(\overline{\mathcal{H}})$ be the maximal pseudo-reductive quotient of $\overline{\mathcal{H}}$. In case \mathcal{H} is commutative, $\overline{\mathcal{H}}$ does not contain a proper pseudo-parabolic κ -subgroup and so F is a chamber of $\mathcal{B}(H/K)$. We assume, if possible, that \mathcal{H} is not commutative. As F is stable under the action of Θ , there is a natural action of this finite cyclic group on \mathcal{H} by \mathcal{O} -group scheme automorphisms (2.4). This action induces an action of Θ on $\overline{\mathcal{H}}$, and so also on its pseudo-reductive quotient \mathcal{H} . Now taking θ to be a generator of Θ , and using the preceding proposition for \mathcal{H}/κ , we conclude that \mathcal{H} contains a Θ -stable proper pseudo-parabolic κ -subgroup. The inverse image $\overline{\mathcal{P}}$ in $\overline{\mathcal{H}}$ of any such pseudo-parabolic subgroup of \mathcal{H} is a Θ -stable proper pseudo-parabolic κ -subgroup of $\overline{\mathcal{H}}$. The facet F' corresponding to $\overline{\mathcal{P}}$ is Θ -stable and $F \prec F'$. This contradicts the maximality of F . Hence, \mathcal{H} is commutative and F is a chamber. \square

To prove the next theorem (Theorem 4.4), we will use the following:

Proposition 4.3. *Let \mathfrak{K} be a field complete with respect to a discrete valuation and with separably closed residue field. Let \mathfrak{G} be a connected absolutely simple \mathfrak{K} -group of inner type A that splits over a finite tamely-ramified field extension \mathfrak{L} of \mathfrak{K} . Then \mathfrak{G} is \mathfrak{K} -split.*

Proof. We may (and do) assume that \mathfrak{G} is simply connected. Then \mathfrak{G} is \mathfrak{K} -isomorphic to $\mathrm{SL}_{n,\mathfrak{D}}$, where \mathfrak{D} is a finite dimensional division algebra with center \mathfrak{K} that splits over the finite tamely-ramified field extension \mathfrak{L} of \mathfrak{K} . By Propositions 4 and 12 of [S, Ch. II] the degree of \mathfrak{D} is a power of p , where p is the characteristic of the residue

field of \mathfrak{K} . But a noncommutative division algebra of degree a power of p cannot split over a field extension of degree prime to p . So, $\mathfrak{D} = \mathfrak{K}$, hence $\mathfrak{G} \simeq \mathrm{SL}_n$ is \mathfrak{K} -split. \square

Theorem 4.4. *A semi-simple K -group G that is quasi-split over a finite tamely-ramified field extension of K is already quasi-split over K .*

This theorem has been proved by Philippe Gille in [Gi] by an entirely different method.

Proof. We assume that all field extensions appearing in this proof are contained in a fixed separable closure of K . To prove the theorem, we may (and do) replace G by its simply-connected central cover and assume that G is simply connected. Let S be a maximal K -split torus of G . Then G is quasi-split over a (separable) extension L of K if and only if the derived subgroup $Z_G(S)'$ of the centralizer $Z_G(S)$ of S is quasi-split over L . Moreover, G is quasi-split over K if and only if $Z_G(S)'$ is trivial. Therefore, to prove the theorem we need to show that any semi-simple simply connected K -anisotropic K -group that is quasi-split over a finite tamely-ramified field extension of K is necessarily trivial. Let G be any such group.

There exists a finite indexing set I , and for each $i \in I$, a finite separable field extension K_i of K and an absolutely almost simple simply connected K_i -anisotropic K_i -group G_i such that $G = \prod_{i \in I} \mathrm{R}_{K_i/K}(G_i)$. Now G is quasi-split over a finite separable field extension L of K if and only if for each i , $\mathrm{R}_{K_i/K}(G_i)$ is quasi-split over L . But $\mathrm{R}_{K_i/K}(G_i)$ is quasi-split over L if and only if G_i is quasi-split over the compositum $L_i := K_i L$. For $i \in I$, the finite extension K_i of K is complete and its residue field is separably closed, and if L is a finite tamely-ramified field extension of K , then L_i is a finite tamely-ramified field extension of K_i . So to prove the theorem, we may (and do) replace K by K_i and G by G_i to assume that G is an absolutely almost simple simply connected K -anisotropic K -group that is quasi-split over a finite tamely-ramified field extension of K . We will show that such a group G is trivial.

Let L be a finite tamely-ramified field extension of K of minimal degree over which G is quasi-split. Since the residue field κ of K is separably closed, L is a cyclic extension of K . Let Θ be the Galois group of L/K . Then Θ is a finite cyclic group of order not divisible by $p (= \mathrm{char}(\kappa))$.

As G_L is quasi-split, Bruhat-Tits theory is available for G over L [BrT2, §4]. The Galois group Θ acts on $G(L)$ by continuous automorphisms and so it acts on the Bruhat-Tits building $\mathcal{B}(G/L)$ of $G(L)$ by polysimplicial isometries. Let $H = \mathrm{R}_{L/K}(G_L)$. Then H is quasi-split over K and hence Bruhat-Tits theory is also available for H over K . Let $\mathcal{B}(H/K)$ be the Bruhat-Tits building of $H(K) (= G(L))$. Elements of Θ act by K -automorphisms on H and so on $\mathcal{B}(H/K)$ by polysimplicial isometries; moreover, $G = H^\Theta$. There is a natural Θ -equivariant identification of the building $\mathcal{B}(H/K)$ with the building $\mathcal{B}(G/L)$. (Note that $K\text{-rank } H = L\text{-rank } G_L$, and there is a natural bijective correspondence between the set of maximal K -split

tori of H and the set of maximal L -split tori of G_L , see [CGP, Prop. A.5.15(2)]. This correspondence will be used below.) The results of §3 imply that Bruhat-Tits theory is available for G over K and $\mathcal{B} := \mathcal{B}(H/K)^\Theta (= \mathcal{B}(G/L)^\Theta)$ is the Bruhat-Tits building of $G(K)$.

Since G is K -anisotropic, the building of $G(K)$ consists of a single point, hence Θ fixes a unique point of $\mathcal{B}(G/L)$. Let C be the facet of $\mathcal{B}(G/L)$ that contains this point. Then C is stable under Θ . According to Proposition 4.2, C is a chamber. Let $\mathcal{H} := \mathcal{H}_C^\circ$ be the Bruhat-Tits smooth affine \mathcal{O} -group scheme associated to C with generic fiber H and connected special fiber $\overline{\mathcal{H}}$. As C is a chamber, the maximal pseudo-reductive quotient $\overline{\mathcal{H}}^{\text{pred}}$ of $\overline{\mathcal{H}}$ is commutative [P2, 1.10]. Now using Proposition 2.6 for $\Omega = C = F$ we obtain a Θ -stable maximal K -split torus T of H such that C lies in the apartment $A(T)$ corresponding to T (and the special fiber of the schematic closure of T in \mathcal{H} maps onto the maximal torus of $\overline{\mathcal{H}}^{\text{pred}}$). Let T' be the image of T_L under the natural surjective homomorphism $q : H_L = \mathbf{R}_{L/K}(G_L)_L \rightarrow G_L$. Then T' is a L -torus of G_L and according to [CGP, Prop. A.5.15(2)] it is the unique maximal L -split torus of G_L such that $\mathbf{R}_{L/K}(T') (\subset \mathbf{R}_{L/K}(G_L) = H)$ contains the maximal K -split torus T of H .

We identify $H(K)$ with $G(L)$. Then for $x \in H(K) (\subset H(L))$ and $\theta \in \Theta$, we have $q(\theta(x)) = \theta(x)$. Since $T(K)$ is Θ -stable, for $t \in T(K)$ and $\theta \in \Theta$, $\theta(t)$ lies in $T'(L)$. Now as $T(K)$ is Zariski-dense in T , its image in $T'(L)$ is Zariski-dense in T' . Since this image is stable under the action of $\Theta = \text{Gal}(L/K)$ on $G(L)$, from the Galois criterion [Bo, Ch. AG, Thm. 14.4(3)] we infer that T' descends to a K -torus of G , i.e., there is a K -torus \mathcal{T} of G such that $T' = \mathcal{T}_L$. In the natural identification of $\mathcal{B}(H/K)$ with $\mathcal{B}(G/L)$, the apartment $A(T)$ of the former is Θ -equivariantly identified with the apartment $A(T')$ of the latter. We will view the chamber C as a Θ -stable chamber in $A(T')$.

Let Δ be the basis of the affine root system of the absolutely almost simple, simply connected quasi-split L -group G_L with respect to $T' (= \mathcal{T}_L)$, determined by the Θ -stable chamber C [BrT2, §4]. Then Δ is stable under the action of Θ on the affine root system of G_L with respect to T' . There is a natural Θ -equivariant bijective correspondence between the set of vertices of C and Δ . Since \mathcal{B} , and hence C^Θ , consists of a single point, Θ acts transitively on the set of vertices of C so it acts transitively on Δ . Now from the classification of irreducible affine root systems [BrT1, §1.4.6], we see that G_L is a split group of type A_n for some n . Proposition 4.3 implies that G cannot be of inner type A_n over K . On the other hand, if G is of outer type A_n , then over a quadratic Galois extension $K' (\subset L)$ of K it is of inner type. Now, according to Proposition 4.3, G splits over K' . We conclude that $L = K'$ and hence $\#\Theta = 2$. As Θ acts transitively on Δ and $\#\Delta = n + 1$, we infer that $n + 1 = 2$, i.e., $n = 1$, and then G is of inner type, a contradiction. \square

4.5. Now let k be a field endowed with a nonarchimedean discrete valuation. We assume that the valuation ring of k is Henselian. Let K be the maximal unramified extension of k , and L be a finite tamely-ramified field extension of K with Galois group $\Theta := \text{Gal}(L/K)$. Let G be a connected reductive k -group that is quasi-split over K and $H = \text{R}_{L/K}(G_L)$. Then $G = H^\Theta$, and by Theorem 3.20, the Bruhat-Tits building $\mathcal{B}(G/K)$ of $G(K)$ can be identified with the subspace of points in the Bruhat-Tits building of $G(L)$ ($= H(K)$) that are fixed under Θ (with polysimplicial structure on $\mathcal{B}(G/K)$ as in 3.7). Now by “unramified descent” [P2], *Bruhat-Tits theory is available for G over k and the Bruhat-Tits building of $G(k)$ is $\mathcal{B}(G/K)^{\text{Gal}(K/k)}$.*

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