

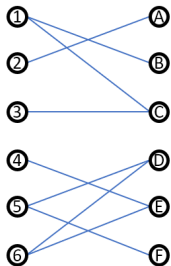
# Counting Cliques Using Polynomials

Joint With Alexander Barvinok

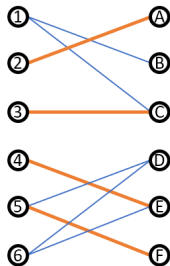
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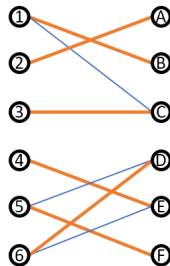
# Bipartite Matchings



(a) A Bipartite Graph  $G$



(b) A Matching of  $G$



(c) A Perfect Matching of  $G$

# Bipartite Matching as a Polynomial

We can create the *biadjacency matrix* of a bipartite graph by having the  $(i,j)$  entry be a 1 if there is an edge between  $i$  (on the left) and  $j$  (on the right), and 0 otherwise.

## Permanent

Given a real (or complex) matrix  $A = (a_{ij})$  of dimension  $n \times n$ , we define the permanent:

$$\text{Per}(A) = \sum_{\sigma \in S_n} \prod_{i=1}^n a_{i\sigma(i)}.$$

If  $A$  is a biadjacency matrix with  $a_{ij} \in \{0, 1\}$ , then  $\text{Per}(A)$  counts the number of perfect matchings in the bipartite graph  $G$  with biadjacency matrix  $A$ . This is a known  $\#P$  problem in complexity theory.

# The Interpolation Method

## Lemma (Barvinok)

Let  $g(z)$  be a complex polynomial of degree  $d$  and suppose  $g(z) \neq 0$  for all  $|z| \leq \beta$  where  $\beta > 1$ . Choose a branch of  $f(z) = \ln g(z)$  for  $|z| \leq 1$ , and consider the  $n^{\text{th}}$  order Taylor polynomial of  $f$ :

$$p_n(z) = f(0) + \sum_{k=1}^n \left( \left. \frac{d^k}{dz^k} f(z) \right|_{z=0} \right) \frac{z^k}{k!}.$$

Then,

$$|f(z) - p_n(z)| \leq \frac{d}{(n+1)\beta^n(\beta-1)} \text{ for all } |z| \leq 1.$$

# The Interpolation Method

- The “interpolation method” is then applying this lemma to a sufficient polynomial representing our combinatorial problem. For the permanent/bipartite matching problem, we use the function  $g(z) = \text{Per}(J + z(A - J))$  where  $J$  is the all 1s matrix.
- We will see another function below when we study the problem of counting cliques in a graph.
- Note: the Taylor polynomial from the previous slide depends on the absence of zeros in our polynomial  $g(z)$  in a region around the origin (in the complex plane). This is to ensure that  $\text{ln}g(z)$  is well defined!

# Permanent Approximation Result

## Theorem (Barvinok)

Fix  $0 < \delta < 0.5$ . Then, there is  $\gamma = \gamma(\delta) > 0$  such that for any  $\epsilon > 0$ , and positive integer  $n$ , there is a polynomial  $p = p_{n,\delta,\epsilon}$  in the entries of an  $n \times n$  complex matrix  $A$  satisfying

$$\deg p \leq \gamma(\ln n - \ln \epsilon)$$

and

$$|\ln \text{Per}(A) - p(A)| \leq \epsilon$$

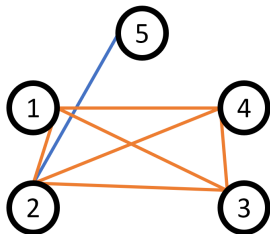
provided

$$|1 - a_{ij}| \leq \delta.$$

The proof relies on the interpolation method which “smooths out” the computation allowing approximation.

# Cliques in Graphs

We consider the following graph and its (regular) adjacency matrix  $A$ .



$$A = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 & 5 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \\ 5 \end{matrix} & \begin{pmatrix} 0 & 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 & 1 \\ 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{pmatrix} \end{matrix}$$

Notice the clique (complete graph) of size 4 on the vertices 1,2,3 and 4.

# Dense Subsets of a Graph

Let  $G = (V, E)$  be an undirected simple graph. For a non-empty subset  $S \subseteq V$  of vertices, define the density  $\sigma(S)$  as

$$\sigma(S) = \frac{| \binom{S}{2} \cap E |}{\binom{|S|}{2}}$$

## Partition Function of Cliques

We define the partition function of cliques as:

$$\text{den}_m(G; \gamma) = \binom{n}{m}^{-1} \sum_{S \subseteq V, |S|=m} \exp\{\gamma m \sigma(S)\}.$$

The exponential tilting of  $\sigma(S)$  puts greater emphasis on sets of higher density. As  $\gamma$  grows this partition function approximates the density of the densest subset.



# Definition

## A “Partition Function” for Cliques

Let  $Z = (z_{ij})_{i,j=1}^n$  be an  $n \times n$  real or complex matrix. Then for an integer  $1 < m \leq n$ , we define the polynomial

$$P_m(Z) = \sum_{\substack{S \subseteq [n] \\ |S|=m}} \exp \left\{ \prod_{\substack{i,j \in S \\ i \neq j}} z_{ij} \right\}.$$

Note that  $P_m(Z_0) = \exp \{-\gamma m/2\} \binom{n}{m} \text{den}_m(G; \gamma)$  if  $Z_0 = (z_{ij})$  satisfies:

$$z_{ij} = \begin{cases} \gamma/(m-1) & \text{if } \{i,j\} \in E \\ -\gamma/(m-1) & \text{if } \{i,j\} \notin E. \end{cases}$$

We approximate  $P_m(Z_0)$  using the interpolation method by showing  $P_m(Z) \neq 0$  in a suitable region.

# Polynomial Version of $P_m(Z)$

## Polynomial Version

For  $W$  an  $n \times n$  complex matrix with zero diagonal, write:

$$p_m(W) = \binom{n}{m}^{-1} \sum_{\substack{S \subseteq [n] \\ |S|=m}} \prod_{\substack{i,j \in S \\ i \neq j}} (1 + w_{ij})$$

so that for  $z_{ij} = \ln(1 + w_{ij})$ ,  $\binom{n}{m} p_m(W)$  coincides with  $P_m(Z)$ .

From  $p_m(W)$ , we can define  $h(z)$ :

$$h(z) = \binom{n}{m}^{-1} \sum_{\substack{S \subseteq [n] \\ |S|=m}} \prod_{\substack{\{i,j\} \subset S \\ i \neq j}} (1 + zw_{ij}).$$

We note that  $h(1) = p_m(W)$ .

# Non-Vanishing Theorem

## Theorem (Barvinok,ADP)

For any  $0 < \delta < 1$  there exist  $\eta = \eta(\delta)$  and  $\omega = \omega(\delta) > 1$  such that if  $n \geq \omega m$  then  $P_m(Z) \neq 0$  for any  $n \times n$  symmetric complex matrix  $Z$  such that

$$|\operatorname{Re}(z_{ij})| \leq \frac{\delta}{(m-1)} \text{ and } |\operatorname{Im}(z_{ij})| \leq \frac{\eta}{(m-1)}.$$

- The interpolation trick applied to  $h(z)$  then allows us to (for a fixed  $0 < \gamma < 1$ ) efficiently approximate  $\operatorname{den}_m(G; \gamma)$  within relative error  $\epsilon$ . In particular, we have an algorithm running in quasi-polynomial  $n^{O(\ln m)}$  complexity to compute  $P_m(Z_0)$  for any  $0 < \gamma < 1$  fixed in advance.

- ① A.Barvinok, *Combinatorics and Complexity of Partition Functions*, Springer, 2017
- ② A.Barvinok, A.Della Pella, *Testing for Dense Subsets in a Graph via the Partition Function*

# Thank You

Many thanks to the organizers for setting up the Mathematics Continued Conference, and to you for your attention. Questions?