

1. Given a strictly ascending chain of primes  $P_0 \subset \cdots \subset P_n$  in  $R$ , one has the strictly ascending chain  $P_0R[x] \subseteq \cdots \subseteq P_nR[x] \subseteq P_nR[x] + xR[x]$  in  $R[x]$ . This proves that  $\dim(R[x]) \geq \dim(R) + 1$ . For the other inequality we must show that given a strictly ascending chain  $Q_0 \subset \cdots \subset Q_{2n+1}$  in  $S$ , there is a chain of length  $n$  in  $R$ . Let  $P_i$  be the contraction of  $Q_i$ . Then  $P_0 \subseteq \cdots \subseteq P_{2n+1}$ . The sequence of  $2n + 2$  primes breaks up into segments of consecutive elements that are equal. If each segment contains at most two elements, then we get at least  $n + 1$  distinct contractions, and these form a strictly ascending chain of length  $n$ , as required. Therefore, it suffices to show that each segment contains at most two elements, i.e., that a *chain* of primes in  $R[x]$  all of whose elements lie over  $P$  in  $R$  contains at most two primes. The primes lying over  $P$  correspond, by an order-preserving map, to the primes of  $(R-P)^{-1}R[x]/PR[x] \cong (R-P)^{-1}(R/P)[x] \cong \kappa[x]$ , where  $\kappa = (R-P)^{-1}(R/P)$  is isomorphic to the fraction field of  $R/P$ . Since this is a PID, every nonzero prime is maximal, and chains in  $\kappa[x]$  have length at most 1.

2. We have that  $J = \text{Ker}(R \rightarrow R_P)$ , and so  $J$  is in the contraction of every ideal, even the 0 ideal, of  $R_P$ . Thus,  $J$  is in the intersection. If  $r$  is in the intersection, then  $r/1 \in \bigcap_n (PR_P)^n$ , which is 0, since  $R_P$  is local, by a class result. It follows that  $r \in J$ .  $\square$

3. Clearly, it suffices to show that  $\text{Ass}(M/N) \subseteq \text{Ass}(M)$  to establish the other inclusion. Let  $I = (f_1, \dots, f_n)R$ . Then we have a map  $M \rightarrow M^{\oplus n}$  such that  $u \mapsto (f_1u, \dots, f_nu) \in M^{\oplus n}$ . The kernel of this map is evidently  $N$ . It follows that the map induces an injection  $M/N \hookrightarrow M^{\oplus n}$ . Hence,  $\text{Ass}(M/N) \subseteq \text{Ass}(M^{\oplus n})$ . Since the latter has a finite filtration in which every factor is  $M$ , we have  $\text{Ass}(M^{\oplus n}) \subseteq \text{Ass}(M)$ , as required.  $\square$

4. (a) Following the suggestion and notation in the problem, we see that if  $r$  is homogeneous and kills one component of the element and not another, multiplying by  $r$  produces a nonzero element with at least one fewer component. Hence, when the number of components is minimum, all components have the same annihilator. Since the annihilator of a homogeneous element is clearly graded, all the components have the same graded annihilator  $P$ . We now claim that  $P$  is the annihilator of  $v$ . Suppose that  $rv = 0$ . Totally order  $\mathbb{N}^h$  or  $\mathbb{Z}^h$  so that  $(a_1, \dots, a_h) < (b_1, \dots, b_h)$  if  $a_i < b_i$  for the smallest  $i$  such that  $a_i \neq b_i$ . Let  $r = r_1 + \cdots + r_k$  be the decomposition of  $r$  into components, where  $r_1$  lies in the graded piece with the smallest index. Assume that  $v_1$  is in the graded piece of  $v$  with the smallest index. Then  $r_1v_1$  is in a graded piece of  $M$  with a smaller index than any other  $r_iv_j$ , and so cannot be canceled. Hence,  $r_1v_1 = 0$ . But then  $r_1$  kills all the  $v_j$ , and so  $r_1v = 0$ . This implies that  $(r_2 + \cdots + r_k)v = 0$ . It follows by induction on  $k$  that all the  $r_j$  kill  $v$ , and so  $\text{Ann}_R v$  is graded, as required.  $\square$

(b) A graded prime will be generated by monomials. Since the only irreducible monomials are variables, the prime must contain a variable that is a factor of each monomial in it, and so is generated by a subset of the variables.

Next note that if an ideal  $J$  is generated by monomials in a subset  $S$  of the variables and contains a power of each of these variables, then it is primary to the prime  $P$  generated by  $S$ . Any associated prime of  $J$  must contain  $P$ , and must be generated by a subset of

the variables. But it is clear that the variables not in  $S$  are not zerodivisors on  $J$ . Hence,  $P$  is the only associated prime of  $J$ , which implies that  $J$  is  $P$ -primary.

Given a primary decomposition for a monomial ideal  $I$ , it suffices to replace each primary component for a given associated prime  $P$  by one contained in it which is generated by monomials. We follow the idea in Problem 2, slightly modified. Given such a primary ideal  $Q$  for, say, the prime  $P = (x_1, \dots, x_h)$  (we may assume this form after renumbering the variables), we note that since the remaining variables are not zerodivisors on  $Q$ , for all large  $N$  we have that  $Q$  contains the monomial ideal  $Q' = (I + P^N)R_y \cap R$ , where  $y = x_{h+1} \cdots x_n$ . Thus, it suffices to show that  $Q'$  is  $P$ -primary. Note that  $Q$  may be obtained by taking each monomial in  $I + P^n$  and replacing it by the monomial obtained by omitting those factors involving  $x_{h+1}, \dots, x_n$ . Therefore,  $Q'$  is generated by monomials in  $K[x_1, \dots, x_h]$ , and contains a power of every  $x_j$  for  $j \leq h$ , which implies that it is  $P$ -primary.  $\square$

Alternate: a finite intersection of monomial ideals is generated by the least common multiples, which are monomials, of all selections of generators, one from each ideal. Suppose the given ideal is  $(\mu_1, \dots, \mu_k)$  where  $\mu_i = x_1^{a_{i,1}} \cdots x_n^{a_{i,n}}$  (some of the exponents may be 0). If  $J$  is generated by the  $k - 1$  monomials other than  $\mu_i$ , then  $I = J + (x_i^{a_{i,1}} \cdots x_n^{a_{i,n}}) = \bigcap_{j=1}^n (J + (x_j^{a_{i,j}}))$ . Iterating, we obtain the following. For each sequence of integers  $\beta = b_1, \dots, b_k$  where  $1 \leq b_j \leq n$ , let  $Q_\beta = (x_{b_i}^{a_{i,b_i}} : 1 \leq i \leq k)$ . Then  $Q_\beta$  is generated by a set of powers of variables, and is primary. One has  $I = \bigcap_\beta Q_\beta$ . One can combine ideals with same radical as usual, by intersecting them, and omit any terms not needed to obtain an irredundant primary decomposition by monomial ideals.  $\square$

**5.** The intersection of two monomial ideals is the monomial ideal generated by least common multiples of monomial generators for the two ideals. From this it follows that

$$\begin{aligned} & (wxyz^2, x^2, y^3, xy^2z) = \\ & (w, x^2, y^3, xy^2z) \cap (x, x^2, y^3, xy^2z) \cap (y, x^2, y^3, xy^2z) \cap (z^2, x^2, y^3, xy^2z) = \\ & (w, x^2, y^3, xy^2z) \cap (x, y^3) \cap (x^2, y) \cap (z^2, x^2, y^3, xy^2z) = \\ & (w, x^2, y^3, xy^2z) \cap (x^2, y^3, xy) \cap (z^2, x^2, y^3, xy^2z). \text{ Except for the first, these are primary by the discussion in the solution to Problem 4(b). The first may be written as} \\ & (w, x^2, y^3, x) \cap (w, x^2, y^3, y^2) \cap ((w, x^2, y^3, z) = \\ & (w, x, y^3) \cap (w, x^2, y^2) \cap (w, x^2, y^3, z). \end{aligned}$$

The first two may be intersected to give the ideal  $(w, x^2, y^3, xy^2)$ . Hence,

$$I = (w, x^2, y^3, xy^2) \cap (w, x^2, y^3, z) \cap (x^2, y^3, xy) \cap (z^2, x^2, y^3, xy^2z).$$

The second term can be omitted, but no other. Therefore  $I = (w, x^2, y^3, xy^2) \cap (x^2, y^3, xy) \cap (z^2, x^2, y^3, xy^2z)$

is an irredundant primary decomposition. Hence, the associated primes are  $(x, y)$ , which is minimal, and that primary component is unique, as well as  $(x, y, w)$  and  $(x, y, z)$ , which are embedded, and their primary components are not unique.

**6.** If we make the linear change of coordinates  $u = x + yi$ ,  $v = x - yi$ ,  $\mathbb{C}[x, y]/(x^2 + y^2 - 1) \cong \mathbb{C}[u, v]/(uv - 1) \cong \mathbb{C}[u, 1/u]$ , as claimed. Since this is a PID, it is a Dedekind domain, and  $S$  is a Dedekind domain by the preceding problem. In  $T$ ,  $x = (u + v)/2 = (u^2 - 2u + 1)/2u$  and  $y = (u - v)/2i = -i(u - v)/2 = -i(u^2 - 1)/2u$ . The ideal they generate is the same as the ideal generated by  $(u - 1)^2$  and  $u^2 - 1$  ( $2u$  and  $-i/2$  are units), and since the GCD

is  $u - 1$ , this is the ideal generated by  $u - 1$ . If this ideal is principal in  $S$ , the generator, viewed in  $\mathbb{C}[u, 1/u]$ , must be a unit times  $u - 1$  in  $\mathbb{C}[u, 1/u]$ . Since the units of  $\mathbb{C}[u, 1/u]$  are the elements  $cu^n$ , where  $c \in \mathbb{C} - \{0\}$ , the issue is whether there exist  $c \in \mathbb{C} - \{0\}$  and  $n \in \mathbb{Z}$  such that  $(*) \quad cu^n(u - 1) \in \mathbb{R}[x, y]$ . There are  $\mathbb{C}$ -homomorphisms  $\theta$  and  $\theta'$  of  $T \rightarrow \mathbb{C}$  such that  $\theta(x) = \theta'(x) = 0$  and  $\theta(y) = 1$  (resp.,  $\theta'(y) = -1$ ). Both map  $\mathbb{R}[x, y]$  into  $\mathbb{R}$ . Note that  $\theta(u) = i$  and  $\theta'(u) = -i$ . Applying these to  $(*)$ , we find that  $ci^n(i - 1) \in \mathbb{R}$  and that  $c(-i)^n(-i - 1) \in \mathbb{R}$ . Taking the ratio, we have that  $(-1)^n(-i - 1)/(i - 1) \in \mathbb{R}$ , which is false. Thus,  $m$  is not principal.  $\square$

Since  $T = S + Si \cong S \oplus S$ ,  $m \oplus m \cong m \otimes_S T \cong mT \cong T$  (since  $mT$  is principal)  $\cong S \oplus S$ . Finally,  $m^2 = ((x - 1)^2, (x - 1)y, y^2) \subseteq (x - 1)$  since  $y^2 = 1 - x^2 = -(x - 1)(x + 1)$ , and  $x - 1 = (-1/2)(x^2 - 2x + 1 + y^2)$  (since  $x^2 + y^2 = 1$ ), so that  $m^2 = (x - 1)S$ .

**EC9.** (a) Choose a maximal associated prime  $Q = P_{j_1}$  of  $M$  and let  $M_1 = \text{Ann}_M Q$ . Then  $M_1$  is killed by  $Q$  and may be regarded as a module over  $R/Q$ . It cannot have torsion elements: these would have strictly larger annihilator than  $Q$  in  $R$ , and would have multiples with a prime annihilator  $Q'$  strictly larger than  $Q$ . We next claim  $\text{Ass}(M/M_1) \subseteq \text{Ass}(M)$ . Let  $Q = (f_1, \dots, f_h)$ . There is a map  $M \rightarrow M^{\oplus h}$  sending  $m \mapsto (f_1 m, \dots, f_h m)$ . The kernel of this map is  $M_1$ , which yields an injection  $M/M_1 \hookrightarrow M^{\oplus h}$ . Hence,  $\text{Ass}(M/M_1) \subseteq \text{Ass}(M^{\oplus h}) = \text{Ass}(M)$ . We can now continue recursively to construct  $M_2/M_1 \subseteq M/M_1$ ,  $M_3/M_2 \subseteq M/M_2$ , in the same way. The process must terminate (i.e., eventually  $M/M_s$  is 0), since  $M$  has ACC. This produces a finite filtration with the required property.  $\square$

(b) If  $P \in \text{Ass}(M)$  we have  $R/P \hookrightarrow M$ , and we may tensor with  $S$  to obtain  $(R/P) \otimes_R S \cong S/PS \hookrightarrow S \otimes_R M$  which shows that  $\text{Ass}(S/PS)$  (over  $S$ ) is contained in  $\text{Ass}(S \otimes_R M)$  (over  $S$ ). For the other direction, note that  $S \otimes_R M$  has a filtration by the submodules  $S \otimes M_i$  with factors  $S \otimes (M_{i+1}/M_i)$ , whence  $\text{Ass}(S \otimes_R M)$  is contained in the union over  $i$  of the  $\text{Ass}(S \otimes M_{i+1}/M_i)$ . Next note that if  $T$  is torsion-free and finitely generated over  $D = R/P$ , then  $T$  embeds in  $D^h$ . To see this, take a maximal submodule  $N$  of  $T$  that is  $D$ -free, say  $N \cong T^{\oplus a}$ . Then  $T/N$  must be  $T$ -torsion, for otherwise, if  $u \in T$  represents an element that is not torsion,  $N \oplus Tu$  is larger  $D$ -free submodule. Thus, each generator of  $T$  is multiplied into  $N$  by a nonzero element of  $D$ : the product  $d$  of these multipliers is such that  $dT \subseteq N \cong D^{\oplus a}$ . But  $T \cong dT$ , and so we have  $T \hookrightarrow D^{\oplus a}$ . This yields  $S \otimes_R T \hookrightarrow (S \otimes_R D)^{\oplus a}$ , and so every associated prime of  $S \otimes_R T$  is an associated prime of  $S \otimes_R D \cong S \otimes_R (R/P) = S/PS$ , for  $P \in \text{Ass}(M)$ , as required.  $\square$

**EC10.** (a). Let  $I$  be an ideal of  $R$ . If  $I$  is not 0, choose  $f$  nonzero in  $R$ . It will suffice to show that  $I/fR$  in  $R/fR$  is finitely generated:  $f$  together with liftings of the generators will generate  $I$ . Thus, we can reduce to the case where  $R$  has only finitely many maximal ideals. For each maximal ideal  $m_i$ , choose a finite set of generators of the form  $g_{ij}/1$  for  $IR_{m_i}$ . Let  $J$  be the ideal generated all the  $g_{ij}$ . Then  $(I/J)R_m = 0$ , for all of the maximal ideals of  $R$ , and so  $I = J$ .  $\square$

(b) Let  $R$  be the ring of locally constant functions to a field on an infinite, compact, totally disconnected, Hausdorff space  $X$ . E.g., we may consider the locally constant real-valued functions on the set  $\{1, 1/2, 1/3, \dots, 1/n, \dots\} \cup \{0\}$ . The prime ideals of this ring correspond to functions vanishing at one of these points: all primes are maximal and minimal. Every localization is a field, but this ring is not Noetherian since it has infinitely

many minimal primes.  $\square$

(c) We first show that every prime ideal of  $S = W^{-1}R$  is contained in one of the  $P_nS$ . Suppose  $Q$  were a prime of  $R$  not contained in the union of the  $P_n$  that expands to a (proper) prime ideal of  $S$ . Let  $f \neq 0$  be an element of  $Q$ . The variables contained in  $f$  are in only finitely, say at most  $S_1, \dots, S_h$ . For every  $i \leq h$ , choose  $f_i \in Q$  that not in  $P_i$ . Among the variables choose distinct  $y_1, \dots, y_h$  not in any occurring in  $g$ , in any of the  $f_i$ , and not in any of the sets  $S_1, \dots, S_h$ . Then  $g = f + y_1f_1 + \dots + y_hf_h$  has the property that when one expands, no terms cancel. There is a term from  $y_i f_i$  not involving any variable from  $S_i$ ,  $1 \leq i \leq h$ , and the terms of  $f$  are not in any  $P_n$  for any  $n \geq h + 1$ . It follows that  $g \in W$ , a contradiction, for then  $QS = S$ . Hence, the maximal ideals of  $W^{-1}R = S$  are simply the ideals  $P_nS$ . Each nonzero element of  $S$  is in only finitely many maximal ideals: if write the element as  $r/w$ , where  $r \neq 0$  and  $w \in W$ , any maximal ideal of  $S$  that contains  $r/w$  contains  $r$ , and  $r$  is, at worst, in those  $P_nS$  such that  $P_n$  contains a variable that occurs in  $r$ . By part (a), to show that  $S$  is Noetherian suffices to show that the localization of  $S$  at every  $P_nS$  is Noetherian, and this is the same as  $R_{P_n}$ . Let  $x_1, \dots, x_n$  be the variables in  $P_n$  and call the other variables  $y_1, y_2, y_3, \dots$ . Let  $L$  be the field  $K(y_i : i \geq 1)$ . The elements occurring in denominators in  $L$  are inverted in  $R_{P_n}$ . Thus, if  $B_n = L[x_1, \dots, x_n]$ ,  $R_{P_n} \cong (B_n)_m$ , where  $m = (x_1, \dots, x_n)B$ , and this is Noetherian since  $B$