

1. By induction on  $n$ , it suffices to consider the case where  $n = 1$  and  $t = t_1$ . Since  $K(t^p)$  consists of rational functions such that every exponent occurring in either the numerator or denominator is divisible by  $p$ , it is clear that  $t \notin K(t^p)$ , so that  $t$  is  $p$ -independent of (every finite subset of  $\Lambda$ ). On the other hand, since  $K = K^p[\Lambda]$ ,  $K(t) = K^p(\Lambda \cup \{t\})$ , and so  $\Lambda \cup \{t\}$  is a  $p$ -base.

2.  $\dim_K[(R \otimes_K S)]_n = \sum_{i+j=n} \dim_K(R_i \otimes_K S_j) = \sum_{i+j=n} \dim_K R_i \dim_K S_j$ , since the dimension of the tensor product of two vector spaces over  $K$  is the product of their dimensions. This is the same as the coefficient of  $t^n$  in the product of the power series  $\sum_i (\dim_K R_i) t^i$  and  $\sum_j (\dim_K S_j) t^j$ .

3. The  $n$ th coefficient in the Poincaré series is the number of choices of nonnegative integers  $i, j$  such that  $i + 2j = n$ . Clearly,  $i$  is determined by  $j$ , and the choices for  $j$  are  $0, 1, \dots, \lfloor \frac{n}{2} \rfloor$ , where  $\lfloor r \rfloor$  is the integer part of  $r$ . Hence, the Hilbert function is  $\lfloor \frac{n}{2} \rfloor + 1$ , which is not polynomial, while the Poincaré series is  $1/(1-t)(1-t^2)$ , by the argument in the solution of Problem 4 below.

4. By a class result, if  $F$  is a nonzerodivisor of degree  $k$ ,  $P_R(t) = \frac{1}{1-t^k} P_{R/(F)}(t)$ . By induction on  $d$  we obtain that  $P_R(t) = \prod_{i=1}^d \frac{1}{1-t^{k_i}} P_{R_0}(t)$ , where  $R_0$  is the Artin graded ring  $R/(F_1, \dots, F_d)R$ .  $P_{R_0}(t)$  is a polynomial with nonnegative coefficients, since the coefficients are the dimensions of the graded pieces of  $R_0$ . There are only finitely many nonzero coefficients because  $R_0$  is Artin. (In Problem 3 we may use  $x_1, x_2$  as the system of parameters, and  $R_0 = K$ .)  $\square$

5. Suppose that  $R, S$  are finitely generated  $\mathbb{N}$ -graded  $K$ -algebras with  $R_0 = S_0 = K$ . Let  $f(t) = P_R(t) = a_0 + a_1 t + \dots + a_n t^n + \dots$  and  $P_S(t) = b_0 + b_1 t + \dots + b_n t^n + \dots$ .  $P_T(t) = \sum_{n=0}^{\infty} a_n b_n t^n$ . The Hilbert function is the product of the Hilbert functions. If  $R, S$  are generated in degree one, its degree is therefore  $\dim(R) - 1 + \dim(S) - 1$ , which must be  $\dim(T) - 1$ . Hence,  $\dim(T) = \dim(R) + \dim(S) - 1$ . Now let  $R$  be as above, but arbitrary, and  $S = K[x_1, \dots, x_s]$ .  $P_T(t) = \sum_{n=0}^{\infty} a_n \binom{s+n-1}{s-1} t^n$ . Then  $P_T(t) = \frac{1}{(s-1)!} D^{s-1}(f(t)t^{s-1})$  where  $D^k$  is the  $k$ th derivative with respect to  $t$ . If  $s = 2$ , this is simply  $f(t) + t f'(t)$ .

Since  $D^k(fg) = \sum_{j=0}^k \binom{k}{j} D^j(f) D^{k-j}(g)$ , and  $D^{s-1-j} t^{s-1} = ((s-1)!/j!) t^j$ , we find that  $P_T(t) = \sum_{j=0}^{s-1} \frac{1}{j!} \binom{s-1}{j} t^j D^j(f)$ .

(a)  $f(t) = P_R(t) = (1-t^3) \frac{1}{(1-t)^3} = \frac{1+t+t^2}{(1-t)^2}$ . For  $s = 2$ , the Poincaré series of  $T$  is  $f(t) + t f'(t) = \frac{(1-t^3)}{(1-t)^3} + t \frac{(1-t)^2(1+2t) - (1+t+t^2)(-2)(1-t)}{(1-t^2)^4} \frac{1-t^3}{(1-t)^3} + t \frac{(1-t)(1+2t)+2+2t+2t^2}{(1-t)^3} = \frac{1-t^3+t+t^2-2t^3+2t+2t^2+2t^3}{(1-t)^3} = \frac{1+3t+3t^2-t^3}{(1-t)^3}$ .

(b) Here,  $f(t) = (1-t)^{-r}$ . Hence,  $f^{(j)}(t) = (-r)(-r-1) \dots (-r-(j-1))(-1)^j (1-t)^{-r-j} = r(r+1) \dots (r+j-1)(1-t)^{-(r+j)}$ . Hence,  $P_T(t) = \sum_{j=0}^{s-1} \binom{r+j-1}{j} \binom{s-1}{j} t^j (1-t)^{-(r+j)} = \frac{\sum_{j=0}^{s-1} \binom{r+j-1}{j} \binom{s-1}{j} t^j (1-t)^{s-1-j}}{(1-t)^{r+s-1}}$ . If  $s = 2$  this is  $\frac{1+(r-1)t}{(1-t)^{r+1}}$ .

**6.**  $\text{Hilb}_{S^{(d)}}(n)$  is the number of  $dn$ -forms in  $s$  variables, which is  $\binom{dn+s-1}{s-1}$ . Note that  $S^{(d)}$  is a direct summand of  $S$  as an  $S^{(d)}$ -module: the retraction fixes monomials of degree  $d$  and kills the other monomials. Since  $x_1^d, \dots, x_s^d$  is a regular sequence in  $S$ , it is a regular sequence in  $S^{(d)}$ , and we may apply #4. Hence,  $P(t) = P_{S^{(d)}}(t)$  will have denominator  $(1-t)^s$ . Let  $Q_{s,d}$  be the polynomial in  $t$  such that the coefficient of  $t^i$  is the number of forms of degree  $di$  in  $s$  variables in which all exponents are at most  $d-1$ . The Poincaré series is  $Q_{s,d}/(1-t)^s$ . The highest degree in which there is such a form is  $\lfloor ((d-1)(s)/d) \rfloor = s - \lceil \frac{s}{d} \rceil$ , where  $\lceil r \rceil$  is the least integer  $\geq r$ . Hence,  $\mathfrak{a}(S^{(d)}) = -\lceil \frac{s}{d} \rceil$ .  $Q_{s,d}$  can be calculated more explicitly as follows. The number of  $n$ -forms in which all exponents are degree at most  $d-1$  is the Hilbert function of  $K[x_1, \dots, x_s]/(x_1^d, \dots, x_s^d)$  and this is  $(\Delta_d)^s \binom{n+s-1}{s-1}$  where  $\Delta_d$  sends  $g(n)$  to  $g(n) - g(n-d)$ . The  $s$ th iteration of  $\Delta_d$  applied to  $g$  is  $g(n) - \binom{s}{1}g(n-d) + \binom{s}{2}g(n-2d) + \dots + (-1)^s \binom{s}{s}g(n-sd)$ . Since  $g$  is 0 when evaluated at negative integers, when  $n = di$ , this is  $\sum_{j=0}^i (-1)^j \binom{s}{j} g(d(i-j)) = \sum_{j=0}^i (-1)^j \binom{s}{j} \binom{d(i-j)+s-1}{s-1}$ .

**EXTRA CREDIT 3.** By adjoining  $k_i$ th roots of generators of degree  $k_i$ , we may embed the ring in a ring generated by one forms. Hence, the Hilbert function of any  $\mathbb{N}$ -graded ring  $R$  with  $\dim R = d$  is bounded by a polynomial of degree  $d-1$ . The Fibonacci numbers grow faster than any polynomial. Alternatively, the Poincaré series of a graded ring has a denominator all of whose roots are roots of unity. The given series satisfies  $P = 1 + tP + t^2P$  and so  $P = 1/(1-t-t^2)$ . The roots of the denominator are  $(-1 \pm \sqrt{5})/2$ , and do not have absolute value 1.

**EXTRA CREDIT 4.** Let the degrees of the generators  $f_1, \dots, f_k$  be  $d_1, \dots, d_k$ . Let  $L$  be the least common multiple of the degrees of the generators, and let  $m_i = L/d_i$ . If  $n \geq N = (\sum_{i=1}^k m_i) - (k-1)$ , any monomial in  $f_1, \dots, f_k$  of degree  $n$  must be divisible by  $f_i^{m_i}$  for some  $i$ , which is a monomial in the  $f_1, \dots, f_k$  of degree  $L$ . Hence, for  $n \geq N - L$ , (\*)  $R_{n+L} = R_L R_n$ . Choose  $d \geq N - L$  so that it is a multiple of  $L$ , say  $d = aL$ . Then  $R_{hd} = (R_d)^h$  for all  $h \geq 1$  by induction on  $h$ , since  $R_{(h+1)d} = R_{hd+d} = R_{hd+aL} = R_L R_L \cdots R_L R_{hd}$  with  $a$  copies of  $R_L$ , using  $a$  iterations of (\*), and  $(R_L)^a \subseteq R_{aL} = R_d$ .  $\square$