

1. The map  $R \rightarrow \widehat{R}$  is a flat local map, and the closed fiber  $K$  is Cohen-Macaulay. By a class theorem,  $\widehat{R}$  is Cohen-Macaulay if and only if  $R$  is Cohen-Macaulay.  $\square$

2. By induction on the number of variables, it suffices to prove the result when  $n = 1$ , i.e., that  $R[[x]]$  is Cohen-Macaulay, and it will suffice to show that for every maximal ideal  $\mathcal{M}$  of this ring, that  $R[[x]]_{\mathcal{M}}$  is Cohen-Macaulay. Note that  $\mathcal{M}$  contains  $x$ , since otherwise  $R\mathcal{M} + \mathcal{M}$  is the unit ideal, i.e., contains 1, and we would have  $1 = rx + u$  with  $r \in R$ , and  $u \in \mathcal{M}$ . But  $u = 1 - rx$  cannot be in  $\mathcal{M}$ , since it has inverse  $1 + rx + r^2x^2 + \cdots + r^tx^t + \cdots$ . Once we kill  $x$ , which is a nonzerodivisor, the dimension and depth both drop by 1, and  $\mathcal{M}/xR$  must be a maximal ideal  $m$  in  $R[[x]]/xR \cong R$ . Then  $R[[x]]_{\mathcal{M}}/(x) \cong R_m$ , which is Cohen-Macaulay, and contains a system of parameters  $f_1, \dots, f_d$  that is a regular sequence. It follows that  $x, f_1, \dots, f_d$  is system of parameters that is a regular sequence in  $R[[x]]$ .  $\square$

3. (a) Identify  $M'$  with its image in  $M$ . If the depth of  $M'$  or  $M''$  is 0, there is nothing to prove. If both are positive,  $I$  is not contained in any associated prime of  $M$  or  $M'$ . Hence, it is not contained in the union of all these associated primes, and we can choose an element  $x$  of  $I$  that is not a zerodivisor on  $M'$  or on  $M''$ . Then if  $x$  kills an element  $m \in M$ , it must kill the image of  $m$  in  $M''$ , which implies that the image of  $m$  in  $M''$  is 0. But then  $m \in M'$ , a contradiction. Thus,  $x$  is a nonzerodivisor on all three modules, and the isomorphic sequence of submodules  $0 \rightarrow xM' \rightarrow xM \rightarrow xM'' \rightarrow 0$  is exact. It follows that  $0 \rightarrow M'/xM' \rightarrow M/xM \rightarrow M''/xM'' \rightarrow 0$  is exact as well, and the result now follows by induction on  $\text{depth}_I M'$ .  $\square$

(b) If  $\text{depth}_I(M'') = 0$  choose  $u \in M$  whose image in  $M''$  is killed by  $I$ . Then choose any nonzerodivisor  $f \in I$  on  $M$ . Then  $f$  is a nonzerodivisor on  $M' \subseteq M$ , and  $fu \in M'$ , since  $I$  kills the image of  $u$  in  $M'' \cong M/M'$ . However,  $fu \notin fM'$  or else  $u \in M'$ . But  $I(fu) = f(Iu) \subseteq fM'$ , so that the image of  $fu$  in  $M'/fM'$  is a nonzero element killed by  $I$ . It follows that  $f$  is a maximal regular sequence  $M'$ . We complete the proof by induction on  $d = \text{depth}_I M''$ . If  $d > 0$  then all three depths are positive. We can choose  $x \in I$  avoiding all three sets of associated primes. It then follows as in part (a) that  $0 \rightarrow M'/xM' \rightarrow M/xM \rightarrow M''/xM'' \rightarrow 0$  is exact, with all three depths decreased by 1, and the result is immediate by induction on  $\text{depth}_I(M')$ .  $\square$

4. We have  $\text{depth}_m R = n$ . By Problem 3, each time we take a graded module of syzygies  $M_1$  of  $M$ , if  $\text{depth}_m M < n$  then  $\text{depth}_m M_1 = \text{depth}_m M + 1$ . The result is immediate from the given characterization of free modules.  $\square$

5. (a) Let  $f = r/s$ ,  $r \in R$ ,  $s \in R - \{0\}$ , be invariant. Let  $t = \prod_{g \in G - \{e\}} g(s)$ , so that  $st$  is the product of elements in an orbit and is in  $R^G$ . Then  $f = rt/st$ , and since  $f, st$  are fixed by  $G$ , so is  $rt = f(st)$ . Hence  $rt \in R^G$  and  $f \in \text{frac}(R^G)$ .  $\square$

(b) Part (a) takes care of the case where  $K$  is finite. If  $K$  is infinite,  $R^G = K$ : a polynomial is fixed by  $G$  iff all the monomials in it are fixed by  $G$ , and  $G$  fixes no monomial except 1. Hence,  $\text{frac}(R^G) = K$ . But  $y/x \in \mathcal{F}^G$ .

(c)  $R^G = R \cap \mathcal{F}^G$ , both of which are integrally closed, and so  $R^G$  is as well.  $\square$

(d) The map  $\rho : r \mapsto (1/h) \sum_{g \in G} g(r)$  sends  $R \rightarrow R^G$ : the sum of the elements in the orbit of  $R$  is clearly mapped to itself by every  $g_0 \in G$ . If  $a \in R^G$ ,  $\rho(ar) = a\rho(r)$  since  $g(ar) = g(a)g(r) = ag(r)$  for all  $g \in G$ , and the map clearly preserves sums. Every  $a \in R^G$  maps to  $(1/h)(ha) = a$ , and so  $\rho$  is the required splitting.  $\square$

(e) If  $S = R \oplus W$ , we have that  $S_m = R_m \oplus W_m$  for every maximal ideal of  $R$ . Hence, we may assume that  $(R, m)$  is local. Note that if  $S$  is a product of  $R$ -algebras, say  $S = S_1 \times \cdots \times S_k$ , where  $e_i$  denotes the idempotent with  $i$ th coordinate 1 and other coordinates 0, then  $1 = e_1 \oplus \cdots \oplus e_k$ . Moreover, we may also write  $S = S_1 \oplus \cdots \oplus S_k$ . Let  $\theta : S \rightarrow R$  be the  $R$ -linear retraction. Then  $\sum_{i=1}^k \theta(e_i) = 1$ , and so at least one of  $\theta(e_i) = u \notin m$ , and  $u$  is a unit of  $R$ . The restriction of  $\theta$  to  $S_i = e_i S$  gives an  $R$ -linear map of  $S_i$  to  $R$  that sends  $e_i$  to  $u$ , and so multiplying by  $u^{-1}$  we obtain an  $R$ -linear retraction of  $S_i$  to  $R$ . Since  $S$  is Cohen-Macaulay, so is every  $S_j$ . Therefore, we may assume without loss of generality that  $S$  is not a product.

Note that  $S/mS$  is module-finite over  $R/mR$  and therefore 0-dimensional. Thus, the primes of  $S$  lying over  $m$  are maximal. Also, if  $Q$  is maximal in  $S$ ,  $R/(Q \cap R) \rightarrow S/Q$  is an injection into a field and is module-finite. Thus,  $R/(Q \cap R)$  is a field, and so  $Q \cap R = m$ . If  $m$  consists entirely of zerodivisors on  $S$ , it is contained in the union of the associated primes of  $S$ , and so is contained in one of them. Since  $S$  is Cohen-Macaulay, this associated prime  $Q$  must be a minimal prime of  $S$ . Thus,  $Q$  is a minimal prime of  $S$  that lies over  $m$ , and so it is also a maximal ideal of  $S$ . This means that  $\{Q\}$  is both open and closed: every maximal ideal is a closed point, and  $\text{Spec}(S) - \{Q\}$  is the union of the finitely many closed sets  $V(P_i)$  as  $P_i$  runs through the minimal primes of  $S$  distinct from  $Q$ . Thus,  $S$  has the form  $S_1 \times A$  where  $A$  is zero-dimensional. Since  $S$  is not a product, this can only happen if  $S = A$ , and then  $R$  is zero-dimensional and, hence, Cohen-Macaulay.

We have now completed the proof in the case where the depth of  $S$  on  $m$  is 0. Otherwise, we can choose  $x \in m$  that is a nonzerodivisor in  $S$ . Then  $S/xS$  is Cohen-Macaulay,  $R/xR$  splits from  $S/xS$ , and it follows by induction that  $R/xR$  is Cohen-Macaulay. Since  $R$  is local and  $x \in m$  is not a zerodivisor, it follows that  $R$  is Cohen-Macaulay.  $\square$

6. It is easy to see that an elementary row or column operation does not affect the ideal generated by the  $t \times t$  minors of the matrix. With  $x = x_{mn}$  invertible, we may subtract  $x_{in}/x$  times the bottom row from the  $i$ th row,  $1 \leq i \leq m-1$ . Then  $x_{ij}$  changes to  $y_{ij} = x_{ij} - x_{in}x_{nj}/x$ ,  $1 \leq i \leq m-1$ ,  $1 \leq j \leq n-1$ , while the bottom row is unchanged and the last column becomes 0 except for the bottom entry  $x$ . We may then subtract  $x_{mj}/x$  times the last column from the  $j$ th column,  $1 \leq j \leq n-1$ , and multiply the last row by  $1/x$ . The matrix has become  $\begin{pmatrix} Y & 0 \\ 0 & 1 \end{pmatrix}$  in block form, where  $Y = (y_{ij})$  is  $(m-1) \times (n-1)$ .

The  $t \times t$  minors that involve 1 correspond bijectively to the  $t-1$  size minors of  $Y$ , and each, up to sign, equals one of them. Those that do not involve 1 are obviously in  $I_{t-1}(Y)$ : expand by minors with respect one row or column. Hence,  $I_{t-1}(Y)K[X]_x = I_t(X)K[X]_x$ . The  $y_{ij}$  together with the variables  $x_{mj}$ ,  $1 \leq j \leq n$ ,  $x_{in}$ ,  $1 \leq i \leq n-1$  are algebraically independent over  $K$  and together with  $1/x = 1/x_{mn}$  generate  $K[X]_x$ . So  $K[X]_x$  is  $S_x$  where  $S$  is the polynomial ring in the  $x_{nj}$  and  $x_{in}$  over  $K[Y]$ . The claim follows.  $\square$

7. We show the  $2 \times 2$  minors are a Gröbner basis using the Buchberger criterion. Thus,

the initial ideal is generated by products of pairs of elements on the “back” diagonals: the *back diagonal* consists of the two elements not on the main diagonal. Since the ideal is graded and  $x_{mn}$  is not on a back diagonal, it is not a zerodivisor on the initial ideal, and so is not a zerodivisor on  $I_2(X)$ . With notation as in 5., one wants to show that  $I_1(Y)$  is prime, which is clear: it is generated by a subset of the variables. It remains to apply the Buchberger criterion. If the two minors involve 4 columns or 4 rows, the back diagonals do not overlap, and so the initial terms are relatively prime. If the minors lie in a  $2 \times 3$  (resp.,  $3 \times 2$ ) submatrix, the check is the same as in 6. of Problem Set #1: if  $x$  is the other variable in the column (resp., row) of the common element of the back diagonals, one gets  $\pm x$  times the third  $2 \times 2$  minor. Let  $\Delta_{ab,ij} = x_{ai}x_{bj} - x_{aj}x_{bi}$ . We may assume the 2 minors lie in rows indexed  $a < b < c$  and columns indexed  $i < j < k$ , all needed, and that their back diagonals meet in one element. There are five cases not already covered: the pairs of back diagonals (each written as a product) are: (1)  $x_{ci}x_{bj}, x_{ci}x_{ak}$ , (2)  $x_{ci}x_{aj}, x_{ci}x_{bk}$ , (3)  $x_{bj}x_{ci}, x_{bj}x_{ak}$ , (4)  $x_{ak}x_{bj}, x_{ak}x_{ci}$ , and (5)  $x_{ak}x_{bi}, x_{ak}x_{cj}$ . The 5 checks, all similar, are:

$$\begin{aligned} (1) \quad & x_{ak}\Delta_{bc,ij} - x_{bj}\Delta_{ac,ik} = -x_{bi}\Delta_{ac,jk} - x_{ck}\Delta_{ab,ij}. \\ (2) \quad & x_{bk}\Delta_{ac,ij} - x_{aj}\Delta_{bc,ik} = -x_{ai}\Delta_{bc,jk} + x_{ck}\Delta_{ab,ij}. \\ (3) \quad & x_{ak}\Delta_{bc,ij} - x_{ci}\Delta_{ab,jk} = x_{aj}\Delta_{bc,ik} - x_{bi}\Delta_{ac,jk}. \\ (4) \quad & x_{ci}\Delta_{ab,jk} - x_{bj}\Delta_{ac,ik} = -x_{aj}\Delta_{bc,ik} - x_{ck}\Delta_{ab,ij}. \\ (5) \quad & x_{cj}\Delta_{ab,ik} - x_{bi}\Delta_{ac,jk} = -x_{ai}\Delta_{bc,jk} + x_{ck}\Delta_{ab,ij}. \end{aligned}$$

The initial terms of righthand products don't cancel, so these are standard expressions.  $\square$

8. (a) Suppose one has an infinite strictly decreasing sequence of finite sets. The largest elements are non-increasing and so must be eventually stable. Call the stable value  $a_1$ . Recursively, suppose that for  $i \leq k$  the  $i$ th largest elements are eventually stable with stable value  $a_i$ . From the point where all are stable on, the  $k + 1$ st largest elements are non-increasing and so eventually stable as well, say with stable value  $a_{k+1}$ . The recursion yields an infinite strictly decreasing sequence  $a_1 > \dots > a_k > \dots$ , a contradiction.  $\square$

(b) At each step in the process, the set of monomials in the current remainder decreases in the sense of part (a), and so the process must terminate.  $\square$