

1. Since the test ideal  $\tau(R)$  has height two, it cannot be contained in the union of  $P$  and the minimal primes of  $R$ . Hence, we can choose a test element  $c \in R^\circ$  that is not in  $P$ . If  $u \in N^*M$ , we have that  $cu^q \in N_M^{[q]}$  for all  $q \gg 0$ . This is preserved when we apply  $S \otimes_R -$ , and the image of  $c$  is not 0.  $\square$

2. (a) Every element of  $I^*$  maps into  $(IW^{-1}R)^*$ , from which  $I^*W^{-1}R \subseteq (IW^{-1}R)^*$ . To show  $\supseteq$ , let  $r/w \in (IW^{-1}R)^*$ . Then  $r \in (IW^{-1}R)^*$  as well, so that for all  $q \gg 0$ ,  $(c/1)(r^q/1) \in (IW^{-1}R)^{[q]} = I^{[q]}W^{-1}R$ . By the Proposition on p. 2 of the Lecture Notes from September 17,  $W^{-1}R^\circ$  maps onto  $(W^{-1}R)^\circ$ , and so we may assume that  $c \in R^\circ$ . Then for all  $q \gg 0$  there exists  $w_q \in W$  such that  $w_q cr^q \in I^{[q]}$ . Since  $w$  is not in any associated prime of  $I^{[q]}$ , it is a nonzerodivisor on  $I^{[q]}$ . Then  $cr^q \in I^{[q]}$ , and  $r \in I^*$ .  $\square$

(b) For every  $q$ ,  $x_1^q, \dots, x_n^q$  is a regular sequence in the Cohen-Macaulay ring  $R$ , and so  $R/I^{[q]}$  is Cohen-Macaulay, which implies that all associated primes of  $I^{[q]}$  are minimal primes of  $I^{[q]}$  and, hence, of  $I$ . By part (a), we can localize at  $W$ , the complement of the union of the minimal primes of  $I$ , and  $IW^{-1}R$  will be tightly closed, while  $PW^{-1}R$  is a maximal ideal of  $W^{-1}R$ . Thus,  $I$  remains tightly closed when we localize at  $PW^{-1}R$ , by the Lemma on p. 2 of the Lecture Notes from September 17. We have a reduced Cohen-Macaulay local ring in which a system of parameters generates a tightly closed ideal, and the ring is F-rational by the Theorem on p. 9 of the Lecture Notes from October 8.  $\square$

(c)  $R$  is F-rational and so it is certainly Cohen-Macaulay and reduced. Choose part of a system of parameters for  $R$  that is also a system of parameters for  $R_P$ . The ideal these elements generate in  $R$  is tightly closed, and, hence, so is the ideal they generate in  $R_P$ .  $\square$

3. Let  $P$  be maximal in  $\text{Ass}(M)$  and let  $N = \text{Ann}_M P$ . Then  $N \neq 0$ , and it will suffice to show that  $(*) \text{Ass}(M) = \text{Ass}(N) \cup \text{Ass}(M/N)$ . In fact,  $\text{Ass}(N) \subseteq \text{Ass}(M)$  and any prime in  $\text{Ass}(N)$  must contain  $P$ . It follows that  $\text{Ass}(N) = \{P\}$ , since  $P$  is maximal in  $\text{Ass}(M)$ , and that  $N$  is a torsion-free module over  $R/P$ . If  $(*)$  holds, then by Noetherian induction on  $M$ ,  $M/N$  will have a filtration as required whose inverse image in  $M$ , together with  $N$ , will give the required filtration of  $M$ . To prove  $(*)$  note that we always have  $\subseteq$  and that  $\text{Ass}(N) \subseteq \text{Ass}(M)$ . We need only show that  $\text{Ass}(M/N) \subseteq \text{Ass}(M)$ . Suppose  $Q \in \text{Ass}(M/N)$ . If  $P$  is not contained in  $Q$ , then  $N_Q = 0$ , and so  $M_Q = (M/N)_Q$ . Thus,  $QR_Q \in \text{Ass}(M_Q)$  over  $R_Q$ , and so  $Q \in \text{Ass}(M)$ , as required. Now suppose that  $P \subset Q$  strictly, and that  $Q$  is the annihilator of  $u \in M - N$ . Since  $u \notin N$ , we can choose  $r \in P$  such that  $ru \neq 0$ . But then  $Qru = rQu \subseteq rN = 0$ , and so  $Q$  or a larger prime is in  $\text{Ass}(M)$ , contradicting the maximality of  $P$ .  $\square$

(b) If  $P \in \text{Ass}_R(M)$ , then  $R/P \hookrightarrow M$ , and so  $S/PS = S \otimes_R R/P$  injects into  $S \otimes_R M$ . Thus,  $\text{Ass}_S(S/PS) \subseteq \text{Ass}_S(S \otimes_R M)$  for every  $P \in \text{Ass}_R(M)$ . This proves  $\supseteq$ . For the other direction, suppose that we have a finite filtration of  $M$  such that every factor  $N_i$  is a torsion-free module over  $R/P_i$  for some  $P_i \in \text{Ass}_R(M)$ . Then we have a filtration of  $S \otimes_R M$  by the modules  $S \otimes N_i$ . It suffices to show that if  $N_i$  is nonzero torsion-free over  $R/P_i$ , then  $\text{Ass}_S(S \otimes_R N_i) \subseteq \text{Ass}_S(S/P_i S)$ . But if  $N_i$  is torsion-free over  $R/P_i$ , it embeds in a free module  $(R/P_i)^{\oplus h}$  by the Lemma on the first page of the Lecture Notes

from October 12. Therefore,  $S \otimes_R N_i$  embeds in  $S \otimes_R (R/P)^{\oplus h}$ , which is a direct sum of copies of  $S/P_iS$ . Hence,  $\text{Ass}_S(S \otimes_R N_i) \subseteq \text{Ass}_S(S/P_iS)$ .  $\square$

4. Evidently  $\tau(R) \subseteq I :_R I^*$  for every ideal  $I$ . In the local case, if  $I_t$  is a sequence of  $m$ -primary irreducible ideals cofinal with the powers of  $m$ , then  $\tau(R) = \bigcap_t (I_t :_R I_t^*)$ . To see this, suppose  $c$  is in the intersection but not in  $\tau(R)$ . Then there exist finitely generated modules  $N \subseteq M$  such that  $u \in N^*$  but  $cu \notin N$ . Replace  $N$  by  $N'$  maximal such that  $N \subseteq N' \subseteq M$  and  $cu \notin N'$ . Then replace,  $u, M, N'$  by  $v, M/N', 0$ , where  $v$  is the image of  $u$  in  $M/N'$ . Every nonzero submodule of  $M$  contains  $Rcv = Kcv$ , and so  $M$  is a finite length essential extension of  $K$  and is killed by some  $I_t$ : then  $M \hookrightarrow R/I_t$  with  $cv$  corresponding to the socle element. Then  $v$  corresponds to an element  $r$  of  $I_t^*/I_t$  with  $cr \notin I_t$ , a contradiction, since  $cI_t^* \subseteq I_t$  by hypothesis. If  $x_1, \dots, x_n$  is generated by test elements in the Gorenstein ring  $(R, m, K)$ , let  $I_t = (x_1^t, \dots, x_n^t)$ . We only need that  $I :_R I^* = I_t :_R I_t^*$  for all  $t$ . Let  $u \in I_t^*$ . Then  $x_i u \in I_t$  for all  $i$ , and  $u \in I_t :_R I = I_t + y^{t-1}R$ , where  $y = x_1 \cdots x_n$ . But if  $u = f + y^{t-1}r$ , then  $r \in I_t^* :_R y^{t-1} = J$ : we'll show that  $J \subseteq I^*$ . Since  $y^{t-1}r \in I_t^*$ , for some  $d \in R^\circ$  and all  $q \gg 0$ ,  $dy^{q(t-1)}r^q \in (I_t)^{[q]} = I_q \Rightarrow dr^q \in I_{tq} :_R y^{tq-q} = I^{[q]}$ . Thus,  $r \in I^*$ , and  $I_t^* = I_t + y^{t-1}I^*$ . But then  $cI_t^* \subseteq I_t$  iff  $cy^{t-1}I^* \subseteq I_t$ , and this holds iff  $cI^* \subseteq I_t :_R y^{t-1} = I$ . Thus,  $I_t^* :_R I_t = I^* :_R I$ , as required.  $\square$

5. (a) With  $R$  F-finite or complete, it follows from part (6) of the splitting criterion at the top of p. 3 of the Lecture Notes from October 24 that the map is split iff  $uS \notin (x_1, \dots, x_n)S$ , where  $S$  is  $R$  viewed as an  $R$ -algebra via  $F : R \rightarrow R$ . But this means precisely that  $u^p \notin (x_1^p, \dots, x_n^p)R$ .

(b) Use  $x, y$  as a system of parameters. The socle mod  $(x, y)$  is represented by  $z^2$ . Note that  $R$  is free over  $A = K[[x, y]]$  on the basis  $1, z, z^2$ , and so every element can be uniquely represented as  $a_0 + a_1z + a_2z^2$  with the  $a_i \in A$ . The ring is F-split if and only if  $z^{2p} \notin (x^p, y^p)$ . Let  $p = 3k + r$ , where  $r = 1$  or  $2$  and so  $2p = 6k + 2r$ . Then  $z^{2p} = z^{6k}z^{2r} = \pm(x^3 + y^3)^{2k}z^2$  if  $r = 1$  and  $\pm(x^3 + y^3)^{2k+1}z$  if  $r = 2$ . This is in  $(x^p, y^p)$  iff all terms of  $(x^3 + y^3)^{2k}$  (resp.,  $(x^3 + y^3)^{2k+1}$ ) are: the binomial coefficients do not vanish, since  $2k + 1 < p$ . In case  $r = 1$  there is a term involving  $x^{3k}y^{3k}$  which is not in  $(x^p, y^p)$ . In the second case, every term involves an exponent on  $x^3$  or on  $y^3$  that is at least  $k + 1$ , and  $3k + 3 > p$ . Hence, the ring is F-split if  $p \equiv 1 \pmod{3}$  and is not F-split if  $p \equiv 2 \pmod{3}$ .

6. (a) When  $N$  is already a module over  $W^{-1}R = S$ ,  $S \otimes_R N \cong N$  as  $S$ -modules. Hence,  $\mathcal{F}_S^e(M) \cong \mathcal{F}_S^e(S \otimes_R M) \cong S \otimes_{\mathbb{R}} \mathcal{F}_R^e(M)$  (by property (11) on p. 3 of the Lecture Notes from September 12), and this is simply  $\mathcal{F}_R^e(M)$  because every  $w \in W$  already acts invertibly on this module, since  $w^q$  does.

(b) By the equivalent condition (c) in the Proposition on p. 3 of the Lecture Notes from October 22, it suffices to show that for every prime ideal  $Q$  of  $W^{-1}R$ ,  $0$  is tightly closed in the injective hull of  $W^{-1}R/Q$ . We know that  $Q$  has the form  $PW^{-1}R$  for some prime ideal  $P$  of  $R$  disjoint from  $W$ . Thus it suffices to check that  $0$  is tightly closed in  $E = E(R/P)$  thought of as an  $W^{-1}R$  module. Suppose to the contrary that  $u \neq 0$  is in the tight closure of  $0$ . By the Proposition on p. 2 of the Lecture Notes from September 17, we may assume the element used in establishing that  $u$  is in the tight closure is the image of  $c \in R^\circ$ . Then  $cu^q$  is  $0$  in  $\mathcal{F}_S^e(E)$  for all  $q \gg 0$ . Since  $\mathcal{F}_S^e(E) \cong \mathcal{F}_R^e(E)$ , this shows that  $u$  is in the tight closure of  $0$  in  $E$  working over  $R$ , a contradiction.  $\square$