

Reading: Dummit–Foote Ch. 10.5 & pp 911-913.

## Summary of definitions and main results

**Definitions we've covered:** monomorphism, epimorphism, isomorphism, covariant and contravariant functors, forgetful functor, free functor, dual space functor, functors  $\text{Hom}_R(D, -)$  and  $\text{Hom}_R(-, D)$ , exact, exact sequence, short exact sequence, extension of  $\mathbb{C}$  by  $A$ , presentation, split short exact sequence.

**Main results:** in the category  $R\text{-mod}$  monomorphisms are precisely the injections, free functor  $F : \underline{\text{Set}} \rightarrow R\text{-Mod}$  is a covariant functor,  $\text{Hom}_R(D, -)$  is a covariant functor, Short Five Lemma, Splitting Lemma.

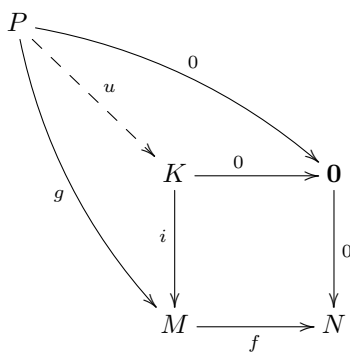
## Warm-Up Questions

The “warm-up” questions do not need to be submitted (and won't be graded).

- In this question, we will verify how the universal property defining free modules will fail for modules that are not free. Let  $R = \mathbb{Z}$ .
  - Consider the abelian group  $\mathbb{Z}/m\mathbb{Z}$  and the subset  $A = \{1 \pmod{m}\}$ . Show that  $\mathbb{Z}/m\mathbb{Z}$  fails to satisfy the universal property for being the free module on the basis  $A$ .  
*Hint:* Consider  $M = \mathbb{Z}$  and any nonzero set map  $A \rightarrow M$ .
  - Consider the abelian group  $\mathbb{Z}$  and the subset  $A = \{2\}$ . Show that  $\mathbb{Z}$  fails to satisfy the universal property for being the free module on the basis  $A$ .  
*Hint:* Consider  $M = \mathbb{Z}$  and the set map taking  $2 \in A$  to  $1 \in M$ .
  - Consider the abelian group  $\mathbb{Z} \oplus \mathbb{Z}$  and the subset  $A = \{(1, 0)\}$ . Show that  $\mathbb{Z} \oplus \mathbb{Z}$  fails to satisfy the universal property for being the free module on the basis  $A$ .
- Prove that in the category of  $R$ -modules, a morphism is epic if and only if it is a surjective map.
  - Prove that in the category of rings, the map  $\mathbb{Z} \rightarrow \mathbb{Q}$  is an epic morphism that is not surjective.
- A *zero object*  $\mathbf{0}$  in a category is an object with the following property: For any object  $M$ , there is a unique morphism from  $M$  to  $\mathbf{0}$ , and a unique morphism from  $\mathbf{0}$  to  $M$ . Show that if a category has a zero object, then it is unique up to unique isomorphism.
  - Let  $\mathcal{C}$  be the category of  $R$ -modules, and show that the zero module  $\{0\}$  is a zero object. This definition allows us to define the *zero map*  $0$  between  $R$ -modules  $M$  and  $N$ : it is the composition of the unique map  $M \rightarrow \mathbf{0}$  with the unique map  $\mathbf{0} \rightarrow N$ .
  - Let  $\mathcal{C}$  be the category  $R$ -modules. Verify that the kernel of an  $R$ -module map satisfies the following universal property. If  $f : M \rightarrow N$  is a morphism in  $\mathcal{C}$ , then define the *kernel*  $i : K \rightarrow M$  of  $f$  to be the map  $i$  such that  $f \circ i$  is the zero morphism  $0$

$$\begin{array}{ccc}
 K & \xrightarrow{0} & \mathbf{0} \\
 \downarrow i & & \downarrow 0 \\
 M & \xrightarrow{f} & N
 \end{array}$$

and satisfying the following: whenever there is a map of  $R$ -modules  $g : P \rightarrow M$  such that  $f \circ g = 0$ , there is a unique map  $u : P \rightarrow K$  such that  $i \circ u = g$ . In other words, there is a unique map  $u$  that makes the following diagram commute.



- (d) Explain why this universal property determines the map  $i : K \rightarrow M$  up to unique isomorphism. Conclude that this universal property can be taken as the definition of the kernel of  $f$ .
- Let  $\mathcal{C}$  be a category containing objects  $A$  and  $B$ , and let  $F$  be a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$ . Show that if  $A$  and  $B$  are isomorphic objects of  $\mathcal{C}$ , then  $F(A)$  and  $F(B)$  will be isomorphic objects of  $\mathcal{D}$ .
  - Given a group  $G$ , define a category  $\mathcal{G}$  with a single object  $\star$  and morphisms  $\text{Hom}_{\mathcal{G}}(\star, \star) = \{g \mid g \in G\}$ . The composition law is given by the group operation. Show that a function between groups  $G \rightarrow H$  is a group homomorphism if and only if the corresponding map between categories  $\mathcal{G} \rightarrow \mathcal{H}$  is a functor.
  - Let  $\mathbf{fSet}$  denote the category of finite sets and all functions between sets. Let  $\mathcal{P} : \mathbf{fSet} \rightarrow \mathbf{fSet}$  be the function that takes a finite set  $A$  to its *power set*  $\mathcal{P}(A)$ , the set of all subsets of  $A$ . If  $f : A \rightarrow B$  is a function of finite sets, let  $\mathcal{P}(f) : \mathcal{P}(A) \rightarrow \mathcal{P}(B)$  be the function that takes a subset  $U \subseteq A$  to the subset  $f(U) \subseteq B$ .
    - Show that  $\mathcal{P}$  is a covariant functor.
    - What if we had instead defined  $\mathcal{P}(f) : \mathcal{P}(B) \rightarrow \mathcal{P}(A)$  to take a subset  $U \subseteq B$  to its preimage  $f^{-1}(U) \subseteq A$  under  $f$ ?
  - Let  $0$  denote the trivial abelian group. Give an example of a functor  $F : \mathbf{Ab} \rightarrow \mathbf{Ab}$  such that  $F(0) = 0$ , and a functor  $F : \mathbf{Ab} \rightarrow \mathbf{Ab}$  such that  $F(0) \neq 0$ .
  - Write down short exact sequences giving presentations of the following  $R$ -modules  $M$ . Give a list of generators and relations for  $M$ .
 

(a) $R^n$	(c) $R = \mathbb{Q}, M = \mathbb{Q}[x]/\langle x^2 + 1 \rangle$
(b) $R = \mathbb{Z}, M = \mathbb{Z}/10\mathbb{Z} \oplus \mathbb{Z}/5\mathbb{Z}$	(d) $R = \mathbb{C}[x, y], M = \langle x, y \rangle$
  - Find two non-isomorphic extensions of  $\mathbb{Z}$ -modules  $\mathbb{Z}/n\mathbb{Z}$  by  $\mathbb{Z}$ .
    - Find two non-isomorphic extensions of  $\mathbb{Z}$ -modules  $\mathbb{Z}/n\mathbb{Z}$  by  $\mathbb{Z}/n\mathbb{Z}$ .
    - How many extensions of  $\mathbb{Z}$  by  $\mathbb{Z}/n\mathbb{Z}$  can you find?
    - Show that if  $0 \rightarrow U \rightarrow W \rightarrow V \rightarrow 0$  is a short exact sequence of vector spaces, then  $W \cong V \oplus U$ .
  - Use the Splitting Lemma to show that if  $m$  and  $n$  are coprime, the following short exact sequence splits:
 
$$0 \rightarrow \mathbb{Z}/m\mathbb{Z} \xrightarrow{\psi} \mathbb{Z}/mn\mathbb{Z} \xrightarrow{\phi} \mathbb{Z}/n\mathbb{Z} \rightarrow 0.$$

What if  $m$  and  $n$  are not coprime?

## Assignment Questions

- We proved in class that the map  $\text{Hom}_R(D, -) : R\text{-Mod} \rightarrow \text{Ab}$  is a covariant functor.
  - We have another name for the functor of abelian groups  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}, -)$ . What is it?
  - To which groups does the functor  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z}, -)$  map the  $\mathbb{Z}$ -modules  $\mathbb{Z}$ ,  $\mathbb{Z}/n\mathbb{Z}$ ,  $(\mathbb{Z}/n\mathbb{Z})^p$ ,  $\mathbb{Z}/n^p\mathbb{Z}$ , and  $\mathbb{Z}/m\mathbb{Z}$  (for  $m, n$  coprime)? Express your answers in terms of the classification of finitely generated abelian groups. (Since you already proved this result on Homework #1, you may state your solution without proof).
  - Describe the sequence of abelian groups and the maps obtained by applying  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}/2\mathbb{Z}, -)$  to the following short exact sequences:

$$0 \longrightarrow \mathbb{Z}/2\mathbb{Z} \xrightarrow{2} \mathbb{Z}/4\mathbb{Z} \xrightarrow{\varphi} \mathbb{Z}/2\mathbb{Z} \longrightarrow 0.$$

$$0 \longrightarrow \mathbb{Z}/2\mathbb{Z} \xrightarrow{\psi} \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} \xrightarrow{\varphi} \mathbb{Z}/2\mathbb{Z} \longrightarrow 0.$$

$$0 \longrightarrow \mathbb{Z} \xrightarrow{2} \mathbb{Z} \xrightarrow{\varphi} \mathbb{Z}/2\mathbb{Z} \longrightarrow 0.$$

In particular, state which resulting sequence is exact.

- (Short Five Lemma).** Consider a homomorphism of short exact sequences of  $R$ -modules:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & A & \xrightarrow{\psi} & B & \xrightarrow{\varphi} & C & \longrightarrow & 0 \\ & & \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma & & \\ 0 & \longrightarrow & A' & \xrightarrow{\psi'} & B' & \xrightarrow{\varphi'} & C' & \longrightarrow & 0 \end{array}$$

Prove the remaining step in the Short Five Lemma: If  $\alpha$  and  $\gamma$  both surject, then  $\beta$  must also surject.

- (The Splitting Lemma).** Let  $R$  be a ring, and consider the short exact sequence of  $R$ -modules:

$$0 \longrightarrow A \xrightarrow{\psi} B \xrightarrow{\varphi} C \longrightarrow 0.$$

Prove that the following are equivalent.

- The sequence *splits*, that is,  $B$  is isomorphic to  $A \oplus C$  such that  $\psi$  corresponds to the natural inclusion of  $A$ , and  $\varphi$  corresponds to the natural projection onto  $C$ .
- There is a map  $\varphi' : C \rightarrow B$  such that  $\varphi \circ \varphi'$  is the identity on  $C$ .

$$0 \longrightarrow A \xrightarrow{\psi} B \begin{array}{c} \xrightarrow{\varphi} \\ \xleftarrow{\varphi'} \end{array} C \longrightarrow 0$$

- There is a map  $\psi' : B \rightarrow A$  such that  $\psi' \circ \psi$  is the identity on  $A$ .

$$0 \longrightarrow A \begin{array}{c} \xrightarrow{\psi} \\ \xleftarrow{\psi'} \end{array} B \xrightarrow{\varphi} C \longrightarrow 0$$

The maps  $\varphi'$  and  $\psi'$  are called *splitting homomorphisms*.

- Define a ring  $R$  to be (*left*) *Noetherian* if  $R$  is Noetherian as a left module over itself, that is, every  $R$ -submodule of  $R$  is finitely generated. In this question we will show this definition is equivalent to the following alternate definition of a Noetherian ring:  $R$  is (*left*) *Noetherian* if **every** finitely generated left  $R$ -module is Noetherian.

- (a) Let  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  be a short exact sequence of  $R$ -modules. Show that if  $A$  and  $C$  are finitely generated  $R$ -modules, then  $B$  is finitely generated.
- (b) Suppose  $R$  is Noetherian as a left  $R$ -module. Let  $M$  be a submodule of  $R^n$ . Consider the short exact sequence of  $R$ -modules

$$0 \longrightarrow \{0\} \times R^{n-1} \longrightarrow R^n \xrightarrow{\pi_1} R \longrightarrow 0,$$

(Here,  $\pi_1$  is the projection onto the first factor of  $R^n$ .) Show that we obtain a short exact sequence

$$0 \longrightarrow M \cap (\{0\} \times R^{n-1}) \longrightarrow M \longrightarrow \pi_1(M) \longrightarrow 0.$$

- (c) Using parts (a) and (b) and induction on  $n$ , prove that  $R^n$  is a Noetherian  $R$ -module.
- (d) Prove that an  $R$ -module  $N$  is finitely generated if and only if it is quotient of a finite rank free  $R$ -module  $R^n$ .
- (e) Prove that a quotient of a Noetherian  $R$ -module is Noetherian.
- (f) Conclude that any finitely generated  $R$ -module is Noetherian.

5. **Bonus (Optional).** Let  $N$  and  $M_i$  be  $R$ -modules for  $i$  in an index set  $I$ .

- (a) Prove the following isomorphisms of abelian groups:

$$(i) \quad \text{Hom}_R \left( N, \prod_{i \in I} M_i \right) \cong \prod_{i \in I} \text{Hom}_R(N, M_i) \quad (ii) \quad \text{Hom}_R \left( \bigoplus_{i \in I} M_i, N \right) \cong \prod_{i \in I} \text{Hom}_R(M_i, N)$$

- (b) Use these identifications to define (and verify) universal properties for the direct sum and direct product.