Reading: Dummit–Foote Ch 10.2, 11.3.

### Summary of definitions and main results

**Definitions we've covered:** Homomorphism of R-modules, isomorphism of R-modules, kernel, image, Hom<sub>R</sub>(M, N), End<sub>R</sub>(M), quotient of R-modules, sum of R-submodules.

**Main results:** *R*-linearity criterion for maps, kernels and images are *R*-submodules, for *R* commutative  $\operatorname{Hom}_R(M, N)$  is an *R*-module,  $\operatorname{End}_R(M)$  is a ring, factor theorem, four isomorphism theorems.

# Warm-Up Questions

The "warm-up" questions do not need to be submitted (and won't be graded).

- 1. Find an example of an R-module M that is isomorphic as R-modules to one of its proper submodules.
- 2. We saw that a R-module structure on M can also be defined by a homomorphism of rings  $R \to \operatorname{End}_{\mathbb{Z}}(M)$ . From this perspective, give an equivalent definition of the R-linear endomorphisms  $\operatorname{End}_R(M) \subseteq \operatorname{End}_{\mathbb{Z}}(M)$ .
- 3. Let M be an R-module, and suppose that  $I \subset R$  is a two-sided ideal that annihilates M. Prove that the action of R on M factors through an action of R/I on M by  $(r \mod I)m := rm$  for  $r \in R$  and  $m \in M$ . This means checking
  - (i) the action is well-defined: if r and s represent the same coset modulo I, then rm = sm for all  $m \in M$ ,
  - (ii) the action satisfies the axioms of an R-module structure.
- 4. (a) Prove the *R*-linearity criterion:  $\phi: M \to N$  is an *R*-module map if and only if

 $\phi(rm+n) = r\phi(m) + \phi(n)$  for all  $m, n \in M$  and  $r \in R$ .

- (b) Prove that the composition of R-module homomorphisms is again an R-module homomorphism.
- (c) Let  $\phi: M \to N$  be an *R*-module homomorphism. Show that ker( $\phi$ ) is an *R*-submodule of *M*, and that im( $\phi$ ) is an *R*-submodule of *N*.
- (d) Show that if a map of R-modules  $\phi: M \to N$  is invertible as a map of sets, then its inverse  $\phi^{-1}$  is also R-linear, and an isomorphism of R-modules  $N \to M$ .
- (e) Show that a homomorphism of *R*-modules  $\phi$  is injective if and only if ker( $\phi$ ) = {0}.
- 5. (a) Let M and N be R-modules. Show that every R-module map  $M \to N$  is also a group homomorphism of the underlying abelian groups M and N.
  - (b) Show that if R is a field, then R-module maps are precisely linear transformations of vector spaces.
  - (c) Show that if  $R = \mathbb{Z}$ , then *R*-module maps are precisely group homomorphisms.
  - (d) Show by example that a homomorphism of the underlying abelian groups M and N need not be a homomorphism of R-modules.
  - (e) Now let M = N. Show that the set  $\operatorname{End}_{\mathbb{Z}}(M)$  and the set  $\operatorname{End}_{\mathbb{R}}(M)$  may not be equal.
- 6. Let R be a ring. Its opposite ring  $R^{\text{op}}$  is a ring with the same elements and addition rule, but multiplication is performed in the opposite order. Specifically, the opposite ring of  $(R, +, \cdot)$  is a ring  $(R^{\text{op}}, +, *)$  where  $a * b := b \cdot a$ .
  - (a) Show that if R is commutative,  $R = R^{\text{op}}$ .
  - (b) Show that a left R-module structure on an abelian group M is equivalent to a right  $R^{\text{op}}$ -module structure on M.

- 7. Let  $\phi: M \to N$  be a map of *R*-modules. Show that  $\phi(\operatorname{Tor}(M)) \subseteq \operatorname{Tor}(N)$ .
- 8. Consider R as a module over itself.
  - (a) Show by example that not every map of R-modules  $R \to R$  is a ring homomorphism.
  - (b) Show by example that not every ring homomorphism is an R-module homomorphism.
  - (c) Suppose that  $\phi$  is both a ring map and a map of *R*-modules. What must  $\phi$  be?
- 9. (a) For *R*-modules *M* and *N*, prove that  $\operatorname{Hom}_R(M, N)$  is an abelian group, and  $\operatorname{End}_R(M)$  is a ring.
  - (b) For a commutative ring R, what is the ring  $\operatorname{End}_R(R)$ ?
  - (c) When R is commutative, show that  $\operatorname{Hom}_R(M, N)$  is an R-module. What if R is not commutative?
  - (d) Let M be a right R-module. Prove that  $\operatorname{Hom}_{\mathbb{Z}}(M, R)$  is a left R-module. What if M is a left R-module?
- 10. (a) Let M be an R-module. For which ring elements  $r \in R$  will the map  $m \mapsto rm$  define an R-module homomorphism on M?
  - (b) Show that if R is commutative then there is a natural map of rings  $R \to \operatorname{End}_R(M)$ .
  - (c) Show by example that the map  $R \to \operatorname{End}_R(M)$  may or may not be injective.
- 11. State and sketch proofs of the four isomorphism theorems for modules (Section 10.2 Theorem 4.)
- 12. Show that the rank-nullity theorem for linear transformations of vector spaces is a consequence of the first isomorphism theorem for modules.
- 13. Let  $\mathbb{Q}[x, y]$  denote polynomials in (commuting) indeterminates x and y over  $\mathbb{Q}$ . Use the isomorphism theorems to prove the following isomorphisms of  $\mathbb{Q}[y]$ -modules.
  - (a)  $\mathbb{Q}[x,y]/(x) \cong \mathbb{Q}[y].$
  - (b) Let p(x,y) be a polynomial in x and y. Then  $\mathbb{Q}[x,y]/(x,p(x,y)) \cong \mathbb{Q}[y]/(p(0,y))$ .
  - (c) Let q(y) be a polynomial in y. Then  $\mathbb{Q}[x,y]/(x-q(y)) \cong \mathbb{Q}[y]$ .
- 14. Let R be a ring. A left ideal I in R is maximal if the only left ideals in R containing I are I and R. Use the fourth isomorphism theorem to show that R/I is simple (it has no proper nontrivial submodules).
- 15. (Group theory review) Consider the abelian group  $\mathbb{Q}/\mathbb{Z}$ .
  - (a) Show that every element of  $\mathbb{Q}/\mathbb{Z}$  is torsion.
  - (b) Show that  $\mathbb{Q}/\mathbb{Z}$  is *divisible*: for every  $a \in \mathbb{Q}/\mathbb{Z}$  and  $n \in \mathbb{Z}$ , there is an element  $b \in \mathbb{Q}/\mathbb{Z}$  with nb = a.
  - (c) Show that  $\mathbb{Q}/\mathbb{Z}$  is not finitely generated.
- 16. (Ring theory review) Classify all ideals of the ring  $\mathbb{Z}$ .
- 17. (Linear algebra review) Let V, W be vector spaces over a field  $\mathbb{F}$  of dimension n and m, respectively.
  - (a) Show that  $T: V \to W$  is a linear transformation if and only if it can be represented by an  $m \times n$  matrix with respect to a choice of basis. Show that matrix multiplication corresponds to composition of functions.
  - (b) Explain the principle of *change of basis*. Show that re-expressing a linear map as a matrix in a different basis corresponds to conjugation of matrices. Show that *similar* matrices represent the same linear map in different bases.
- 18. (Linear algebra review)
  - (a) Let V, W be vector spaces over a field  $\mathbb{F}$  and suppose that V has basis  $B = \{b_1, b_2, \ldots, b_n\}$ . Show that any maps of sets  $\varphi : B \to W$  can be extended to a linear map  $T : V \to W$ , and that the map T is uniquely determined by the map  $\varphi$ .
  - (b) Let U, V, W be vector spaces over a field  $\mathbb{F}$ . Let  $\phi : U \to V$  be an injective linear map, and let  $\psi : V \to W$  be a surjective linear map. Prove that both  $\phi$  and  $\psi$  have one-sided inverses.

### Math 122

## **Assignment Questions**

The following questions should be handed in.

- 1. (Group theory review) Suppose  $m, n \ge 2$  are integers.
  - (a) Prove that there is an injective map of abelian groups  $\mathbb{Z}/m\mathbb{Z} \to \mathbb{Z}/n\mathbb{Z}$  if and only if m|n.
  - (b) Prove that if this map exists, it is unique up to pre-composing with an automorphism of  $\mathbb{Z}/m\mathbb{Z}$ . This means if  $g, g' : \mathbb{Z}/m\mathbb{Z} \to \mathbb{Z}/n\mathbb{Z}$  are injective maps, then  $g' = g \circ f$  for some  $f : \mathbb{Z}/m\mathbb{Z} \to \mathbb{Z}/m\mathbb{Z}$ . Conclude in particular that the image of an injective map is a uniquely determined subset of  $\mathbb{Z}/n\mathbb{Z}$ .
  - (c)  $\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/m\mathbb{Z}, \mathbb{Z}/n\mathbb{Z})$  is an abelian group under pointwise addition of maps. Compute this group (as a product of cyclic groups, in terms of the classification of finitely generated abelian groups).
- 2. Let R be a commutative ring and N an R-module.
  - (a) Prove that there is an isomorphism of left R-modules  $N \cong \operatorname{Hom}_R(R, N)$ .
  - (b) Let n be a positive integer. Compute  $\operatorname{Hom}_R(\mathbb{R}^n, N)$ .
  - (c) In a sentence, explain whether these same arguments work for  $\operatorname{Hom}_R(N, R)$ .
- 3. If R is a commutative ring, then for any positive integer n,  $\operatorname{End}_R(R^n)$  is isomorphic (as a ring) to the ring  $M_{n \times n}(R)$  of  $n \times n$  matrices with entries in R. Find and prove the appropriate generalized statement if R is any (not necessarily commutative) ring. (Your proof should specialize to proving an isomorphism of rings  $\operatorname{End}_R(R^n) \cong M_{n \times n}(R)$  in the case that R is commutative.) Hint: Warm-Up Problem #6.
- 4. For *R*-modules M, N, P, there is a composition map  $\operatorname{Hom}_R(M, N) \times \operatorname{Hom}_R(N, P) \longrightarrow \operatorname{Hom}_R(M, P)$  given by  $(f, g) \longmapsto g \circ f$ .
  - (a) When R is commutative, is this map a homomorphism of R-modules?
  - (b) Give an example of a ring R and distinct R-modules M, N, P such that this map is surjective, and an example where this map is not surjective.
- 5. Let k be a field, and let V be a finite dimensional k-vector space. Define the dual space of V by

$$V^* := \operatorname{Hom}_k(V, k).$$

Recall that  $V^*$  has the structure of a k-vector space under pointwise addition and scalar multiplication. Use the notation  $A^T$  or  $v^T$  to denote the *transpose* of a matrix A or column vector v. You may use the identity  $(AB)^T = B^T A^T$  without proof.

(a) Given a choice of basis  $B = \{b_1, \ldots, b_n\}$  for V, define a symmetric bilinear form

$$(-,-): V \times V \longrightarrow k$$

on V by the condition

$$(b_i, b_j) = \begin{cases} 1, & i = j \\ 0, & i \neq j. \end{cases}$$

Let  $v, u \in V$ . Show that this definition completely determines the value of (v, u), and moreover that (v, u) is equal to the *dot product*  $v^T u$  of v and u when they are expressed as column vectors with respect to the basis B.

(b) For each i = 1, ..., n, define the map  $b^i : V \to k$  by

$$b^i(v) := (b_i, v).$$

Check that  $b^i$  is a *functional*, i.e., a k-linear map  $V \to k$ , and show moreover that the map  $b_i \mapsto b^i$  extends to a k-linear map

$$\begin{array}{l} V \longrightarrow V^* \\ w \longmapsto \begin{bmatrix} v \mapsto (w,v) \end{bmatrix} \end{array}$$

- (c) Show that the functionals  $b^1, \ldots, b^n$  are linearly independent and span  $V^*$ , and therefore form a basis  $B^*$  (called the *dual basis* to *B*). Conclude that a choice of basis for *V* defines an isomorphism of vector spaces  $V \cong V^*$ .
- (d) Show that if  $A: V \to W$  is a linear map given by a matrix with respect to orthonormal bases  $B_V$  and  $B_W$ . Show that

$$(w, Av)_W = (A^T w, v)_V$$

*Hint:* Use the formula  $(u, u') = u^T u'$ . This should be a one-line solution.

(e) A linear map  $\phi: V \to W$  induces a map  $\phi^*: W^* \to V^*$  by precomposition:

$$\begin{split} W^* \longrightarrow V^* \\ [f:W \to k] \longmapsto [f \circ \phi: V \to k] \end{split}$$

Show that if a linear map  $V \to W$  given by a matrix A with respect to bases  $B_V$  and  $B_W$ , then the induced map  $W^* \to V^*$  is given by the matrix  $A^T$  with respect to the dual bases  $B_V^*$  and  $B_W^*$ .

(f) Although V and  $V^*$  are isomorphic as abstract vector spaces, they are not *naturally isomorphic* in the sense that any isomorphism involves a choice of basis or choice of nondegenerate symmetric bilinear form on V. Show, in contrast, that V and  $(V^*)^*$  are naturally isomorphic, by constructing an isomorphism that does not require a choice of basis or a choice of form.

#### 6. Bonus (Optional).

- (a) Let V be a vector space over a field k, and let  $U \subseteq V$  be a subspace. Show that there exists a subspace  $W \subseteq V$  so that  $V = U \oplus W$ . The subspace W is called a *direct complement* of U in V.
- (b) Show that, if U is strictly smaller dimension than V, then its direct complement is not uniquely defined. In other words,  $U \oplus W = U \oplus W'$  does not imply that W = W' as subspaces of V.
- (c) Show direct complements need not always exist in free abelian groups<sup>1</sup>: Let  $M = \mathbb{Z}^n$  for some n and let  $N \subset M$  be a  $\mathbb{Z}$ -submodule. Show by example that there may not exist a  $\mathbb{Z}$ -submodule P such that  $M = N \oplus P$ . If (at least one) direct complement P of N exists, let's call N a *splittable* submodule of M.
- (d) Let V be a vector space, and let  $U, W \subset V$  be subspaces such that  $U \cap W = 0$ . Show that we can find a direct complement of U in V that contains W.
- (e) Determine whether or not the same property holds for splittable submodules of free abelian groups. In other words, suppose that N, P are splittable  $\mathbb{Z}$ -submodules of  $M = \mathbb{Z}^n$  and that  $N \cap P = 0$ . Either prove that N must have a direct complement in  $\mathbb{Z}^n$  containing P, or give a counterexample.

<sup>&</sup>lt;sup>1</sup>The direct sum of two abelian groups  $N \oplus P$  turns out to be the same as the direct product  $N \times P$ , and is analogous to the direct sum of vector spaces. You can review the notes from Fall 2017 Math 120, http://web.stanford.edu/~mkemeny/ 120lectures/L6.pdf.