

Reading: Dummit–Foote Ch 11.5, 18.1, Fulton–Harris Ch 1.1–1.2.

## Summary of definitions and main results

**Definitions we've covered:**  $k^{\text{th}}$  tensor power  $T^k(M)$ , tensor algebra  $T^*(M)$ ,  $k^{\text{th}}$  symmetric power  $\text{Sym}^k(M)$ , symmetric algebra  $\text{Sym}^*(M)$ ,  $k^{\text{th}}$  exterior power  $\bigwedge^k M$ , exterior algebra  $\bigwedge^* M$ , group ring, (linear) representation, degree of a representation, faithful representation, trivial representation, permutation representation, regular representation, homomorphism and isomorphism of representations,  $G$ -equivariant map, intertwiner, minimal polynomial of a linear map

**Main results:** using right exactness to compute tensor products, construction & universal properties for tensor, symmetric, and exterior powers and algebras, equivalent definitions of a group representation

## Warm-Up Questions

1. Show that the following alternate definition of an  $R$ -algebra  $A$  is equivalent to the one from class. Given a commutative ring  $R$ , an  $R$ -algebra  $A$  is an  $R$ -module  $A$  with a ring structure such that the multiplication map  $A \times A \rightarrow A$  is  $R$ -bilinear.
2. Let  $R$  be a commutative ring, and  $M$  and  $R$ -module.
  - (a) Verify that, if 2 is invertible in  $R$ , then the submodule

$$\langle m_1 \otimes m_2 \otimes \cdots \otimes m_k \mid m_i = m_j \text{ for some } i \neq j \rangle \subseteq T^k M$$

defining the exterior power  $\bigwedge^k M$  is equal to the submodule

$$\langle m_1 \otimes m_2 \otimes \cdots \otimes m_k - \text{sign}(\sigma) m_{\sigma(1)} \otimes m_{\sigma(2)} \otimes \cdots \otimes m_{\sigma(k)} \mid \sigma \in S_k \rangle.$$

- (b) Are these submodules the same when 2 is not invertible?
3. Let  $R$  be a commutative ring and  $M$  and  $R$ -module. Verify the universal properties for the  $R$ -modules

$$(a) T^k(M) \quad (b) \text{Sym}^k(M) \quad (c) \bigwedge^k(M)$$

and for  $R$ -algebras

$$(d) T^*(M) \quad (e) \text{Sym}^*(M)$$

4. Let  $G$  be a group and  $V$  an  $\mathbb{F}$ -vector space. Show that the following are all equivalent ways to define a (linear) representation of  $G$  on  $V$ .
  - i. A group homomorphism  $G \rightarrow \text{GL}(V)$ .
  - ii. A group action (by linear maps) of  $G$  on  $V$ .
  - iii. An  $\mathbb{F}[G]$ -module structure on  $V$ .
5. Let  $R$  be a commutative ring. Show that the group ring  $R[\mathbb{Z}] \cong R[t, t^{-1}]$ . Show that  $R[\mathbb{Z}/n\mathbb{Z}] \cong R[t]/\langle t^n - 1 \rangle$ . What is the group ring  $R[\mathbb{Z}^n]$ ? The group ring  $R[\mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}]$ ?
6. Let  $\phi : G \rightarrow \text{GL}(V)$  be any group representation. What is the image of the identity element in  $\text{GL}(V)$ ?
7. Compute the sum and product of  $(1 + 3e_{(12)} + 4e_{(123)})$  and  $(4 + 2e_{(12)} + 4e_{(13)})$  in the group ring  $\mathbb{Q}[S_3]$ .
8. Let  $G$  be a group and  $R$  a commutative ring. Show that  $R[G]$  is commutative if and only if  $G$  is abelian.
9. Given any representation  $\phi : G \rightarrow \text{GL}(V)$ , prove that  $\phi$  defines a faithful representation of  $G/\ker(\phi)$ .

10. (a) Find an explicit isomorphism  $T$  between the following two representations of  $S_2$ .

$$\begin{array}{ccc} S_2 \longrightarrow \mathrm{GL}(\mathbb{R}^2) & & S_2 \longrightarrow \mathrm{GL}(\mathbb{R}^2) \\ (1\ 2) \longmapsto \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} & & (1\ 2) \longmapsto \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \end{array}$$

Give a geometric description of the action and the bases for  $\mathbb{R}^2$  associated to each matrix group.

- (b) Prove that the following two representations of  $S_2$  are not isomorphic.

$$\begin{array}{ccc} S_2 \longrightarrow \mathrm{GL}(\mathbb{R}^2) & & S_2 \longrightarrow \mathrm{GL}(\mathbb{R}^2) \\ (1\ 2) \longmapsto \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} & & (1\ 2) \longmapsto \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix} \end{array}$$

11. **(Linear algebra review.)** Let  $A, B, C$  be linear maps  $V \rightarrow V$ , with  $C$  invertible. Verify the following properties of the trace.

- $\mathrm{Trace}(CAC^{-1}) = \mathrm{Trace}(A)$  (so trace does not depend on choice of basis or matrix representing  $A$ ).
- $\mathrm{Trace}(cA + B) = c\mathrm{Trace}(A) + \mathrm{Trace}(B)$  for any scalar  $c$ .
- $\mathrm{Trace}(AB) = \mathrm{Trace}(BA)$  but  $\mathrm{Trace}(AB) \neq \mathrm{Trace}(A)\mathrm{Trace}(B)$  in general.
- $\mathrm{Trace}(A) = \mathrm{Trace}(A^T)$ .
- $\mathrm{Trace}(\mathrm{Id}_V) = \dim(V)$ .
- $\mathrm{Trace}(A)$  is the sum of the eigenvalues of  $A$  (with algebraic multiplicity).
- If  $A$  has characteristic polynomial  $p_A(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$ , then  $\mathrm{Trace}(A) = -a_{n-1}$ .
- If  $V = U \oplus W$  and  $U, W$  are stabilized by  $A$ , then  $\mathrm{Trace}(A) = \mathrm{Trace}(A|_U) + \mathrm{Trace}(A|_W)$ .

12. **(Linear algebra review.)**

- Define what it means for two matrices to be *conjugate* (or *similar*)
- What is the conjugacy class of the zero matrix? The identity matrix? A scalar matrix?
- Explain why two matrices are conjugate if and only if they represent the same linear map with respect to different bases.
- Show that conjugate matrices have the same determinant.
- Show that  $(ABA^{-1})^n = AB^nA^{-1}$ .

13. **(Linear algebra review.)** Let  $A : V \rightarrow V$  be a linear map on a finite dimensional vector space  $V$ .

- (a) Suppose  $A$  is a *block diagonal matrix*, ie, it has square matrices  $\mathbf{A}_i$  (its *blocks*) on the diagonal:

$$A = \begin{bmatrix} \mathbf{A}_1 & 0 & \cdots & 0 \\ 0 & \mathbf{A}_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \mathbf{A}_n \end{bmatrix} \quad \left( \text{eg. } \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 2 & 5 & 0 \\ 0 & 3 & 4 & 0 \\ 0 & 0 & 0 & 4 \end{bmatrix} \text{ has } \mathbf{A}_1 = [1], \mathbf{A}_2 = \begin{bmatrix} 2 & 5 \\ 3 & 4 \end{bmatrix}, \mathbf{A}_3 = [4] \right)$$

Explain how the blocks of  $A$  correspond to a decomposition of  $V$  into a direct sum of subspaces  $V = V_1 \oplus \dots \oplus V_n$  where each  $V_i$  is invariant under the action of  $A$ . (The matrix  $A$  is sometimes called the *direct sum* of its blocks  $A = \mathbf{A}_1 \oplus \mathbf{A}_2 \oplus \dots \oplus \mathbf{A}_n$ .)

- Conversely, explain why, if  $V$  decomposes into a direct sum of subspaces that are invariant under  $A$ , then the corresponding matrix for  $A$  will be block diagonal. (What are the sizes of the blocks?)
- Observe that  $\mathrm{Trace}(A) = \mathrm{Trace}(\mathbf{A}_1) + \dots + \mathrm{Trace}(\mathbf{A}_n)$ , and  $\mathrm{Det}(A) = \mathrm{Det}(\mathbf{A}_1) \cdots \mathrm{Det}(\mathbf{A}_n)$ .
- What is the product of two block diagonal matrices (assuming blocks of the same sizes)?
- Show that for any exponent  $p \in \mathbb{Z}_{\geq 0}$ , the matrix  $A^p$  is block diagonal with blocks  $\mathbf{A}_1^p, \dots, \mathbf{A}_n^p$ .

## Assignment Questions

For this assignment, you may quote basic results from linear algebra (including facts about matrix inverses, transpose, trace, and determinant) and basic facts about complex conjugation without proof.

- Let  $R$  be a commutative ring and  $M$  an  $R$ -module.
  - For any commutative ring  $R$  and  $R$ -module  $M$ , show that the  $R$ -module  $T^*M := \bigoplus_{i=0}^{\infty} M^{\otimes i}$  has the structure of an  $R$ -algebra.
  - A similar proof shows that  $\text{Sym}^*M := \bigoplus_{i=0}^{\infty} \text{Sym}^i(M)$  and  $\bigwedge^*M := \bigoplus_{i=0}^{\infty} \bigwedge^i M$  are  $R$ -algebras. You do not need to give a full proof, but verify that multiplication is well-defined for these spaces (it is independent of representative of an equivalence class of elements in these quotients).

- Let  $\mathbb{F}$  be a field of characteristic zero and  $V$  a vector space over  $\mathbb{F}$  with basis  $\{x_1, \dots, x_n\}$ .

- Let  $W$  be any vector space over  $\mathbb{F}$ , and  $v_1, \dots, v_N$  elements of  $W$ . Prove that, to show that the elements  $v_i$  are linearly independent, it suffices to construct  $\mathbb{F}$ -linear maps  $\phi_i : W \rightarrow \mathbb{F}$  such that

$$\phi_i(v_j) = \begin{cases} 1, & i = j \\ 0, & i \neq j \end{cases}$$

- Verify that  $\text{Sym}^k(V)$  is a vector space over  $\mathbb{F}$  with basis given by the set of monomials in the variables  $\{x_1, x_2, \dots, x_n\}$  of total degree  $k$ . (*Remark:* There are  $\binom{n+k-1}{n-1}$  such monomials).
- Verify that  $\bigwedge^k V$  is isomorphic to the  $\mathbb{F}$ -vector space with a basis given by elements of the form  $x_{i_1} \wedge x_{i_2} \wedge \dots \wedge x_{i_k}$  with  $i_1 < i_2 < \dots < i_k$ . (*Remark:* There are  $\binom{n}{k}$  such elements).
- Suppose that  $A : V \rightarrow V$  is a diagonalizable linear map with eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_n$  (listed with multiplicity). Compute the eigenvalues of the maps induced by  $A$  on  $T^k V$ ,  $\text{Sym}^k(V)$ , and  $\bigwedge^k V$ .
- Show that you can identify  $\text{Sym}^*V$ , and  $\bigwedge^* V$  as **direct summands** of  $T^*V$  via the (split) maps

$$x_1 x_2 \cdots x_k \mapsto \frac{1}{k!} \sum_{\sigma \in S_k} \sigma(x_1 \otimes x_2 \otimes \cdots \otimes x_k) \quad \text{and} \quad x_1 \wedge x_2 \wedge \cdots \wedge x_k \mapsto \frac{1}{k!} \sum_{\sigma \in S_k} \text{sign}(\sigma) \sigma(x_1 \otimes x_2 \otimes \cdots \otimes x_k)$$

(We are using the assumption that  $\mathbb{F}$  has characteristic zero, so the integer  $k!$  is invertible in  $\mathbb{F}$ .)

- Show that  $V \otimes_{\mathbb{F}} V \cong \text{Sym}^2(V) \oplus \bigwedge^2 V$ .

*Remark:* If  $V$  has dimension at least 2, then  $V \otimes_{\mathbb{F}} V \otimes_{\mathbb{F}} V \cong \text{Sym}^3(V) \oplus \bigwedge^3 V$ .

- (Building toward a theory of Jordan Canonical Form: Part 2).** Let  $V$  be a  $\mathbb{C}[x]$ -module that is finite dimensional over  $\mathbb{C}$ , where  $x$  acts on  $V$  by a  $\mathbb{C}$ -linear map  $T$ . According to the structure theorem for finitely generated modules over a PID, we can write

$$V \cong \frac{\mathbb{C}[x]}{(p_1(x))} \oplus \frac{\mathbb{C}[x]}{(p_2(x))} \oplus \cdots \oplus \frac{\mathbb{C}[x]}{(p_k(x))}$$

for some monic polynomials  $p_i(x) \in \mathbb{C}[x]$  such that  $p_1(x)$  divides  $p_2(x)$ ,  $p_2(x)$  divides  $p_3(x)$ , etc.

The monic polynomial  $p_k(x)$  is called the *minimal polynomial* of  $T$ , and the product  $p_1(x)p_2(x) \cdots p_k(x)$  is called the *characteristic polynomial* of  $T$ . By construction the minimal and characteristic polynomials have the same set of roots (possibly with different multiplicities).

- Briefly explain why  $V$  can also be further decomposed as a direct sum

$$V \cong \frac{\mathbb{C}[x]}{(x - \lambda_1)^{k_1}} \oplus \frac{\mathbb{C}[x]}{(x - \lambda_2)^{k_2}} \oplus \cdots \oplus \frac{\mathbb{C}[x]}{(x - \lambda_d)^{k_d}}$$

for (not necessarily distinct) scalars  $\lambda_i \in \mathbb{C}$  and positive powers  $k_i$ . Explain the relationship between the scalars  $\lambda_i$ , the multiplicities  $k_i$ , and the polynomials  $p_j(x)$ . *Hint:* Chinese Remainder Theorem.

- (b) Conclude that the matrix  $T$  can be expressed as a block diagonal matrix, where each block is a Jordan block. This is called the *Jordan canonical form* of  $T$ . *Hint:* Homework 6 Question #5.
- (c) Suppose that  $\mu \in \mathbb{C}$  is *not* a root of  $p_k(x)$  (and therefore not a root of  $p_j(x)$  for any  $j$ ). Show that  $\mu$  is not an eigenvalue of  $T$ . Conclude that the eigenvalues of  $T$  are precisely the roots of the minimal polynomial  $p_k(x)$ .

*Hint:* Consider the projection of a  $\mu$ -eigenspace onto the summand  $\frac{\mathbb{C}[x]}{(x - \lambda_i)^{k_i}}$  for each  $i$ .

- (d) Show that  $\text{Ann}(V) = (p_k(x))$ .
- (e) Show that  $\text{Ann}(V)$  is equal to the set

$$\{a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0 \in \mathbb{C}[x] \mid a_n T^n + a_{n-1} T^{n-1} + \cdots + a_1 T + a_0 I \text{ is the zero map}\}$$

- (f) Conclude that if  $p(T) = 0$  for some polynomial  $p(x)$ , every eigenvalue of  $T$  is a root of  $p(x)$ .
- (g) Show that  $T$  is diagonalizable if and only if the roots of its minimal polynomial  $p_k(x)$  are distinct, ie, they each occur with multiplicity one.
- (h) (**Application to representation theory.**) Suppose the linear map  $T$  has finite order, that is,  $T^n = I$  for some  $n \in \mathbb{Z}_{\geq 0}$ . Show that  $T$  is diagonalizable, and that every eigenvalue is an  $n^{\text{th}}$  root of unity. Use this result to conclude the following fact about complex representations of finite groups: Let  $G$  be a finite group of order  $n$ , and let  $\rho : G \rightarrow \text{GL}(V)$  be a representation of  $G$  on a finite dimensional  $\mathbb{C}$ -vector space  $V$ . For every  $g \in G$  the linear map  $\rho(g)$  is diagonalizable, and its eigenvalues are  $n^{\text{th}}$  roots of unity.

4. Let  $G$  be a finite group, and  $\mathbb{F}$  a field. You may use properties of the trace without proof.

- (a) Let  $G \rightarrow \text{GL}(U)$  be any representation of  $G$ . Citing facts from linear algebra (which you don't need to prove), explain why the trace of the matrix representing a given element  $g \in G$  is well-defined in the sense that it will be the same in any isomorphic representation of  $G$ .
- (b) A *permutation representation* of  $G$  on a finite-dimensional  $\mathbb{F}$ -vector space  $V$  is a linear representation  $\rho : G \rightarrow \text{GL}(V)$  in which elements act by permuting some basis  $B = \{b_1, \dots, b_m\}$  for  $V$ . Show that, with respect to the basis  $\{b_1, \dots, b_m\}$ , for each element  $g \in G$ ,  $\rho(g)$  is represented by an  $m \times m$  *permutation matrix*, a square matrix that has exactly one entry 1 in each row and each column, and zero elsewhere. Use this description of matrices  $\rho(g)$  to show that the trace of  $\rho(g)$  is equal to the number of basis elements  $b_i$  fixed by  $\rho(g)$ .
- (c) Our first example of a permutation representation was given by the action of  $S_n$  on  $\mathbb{F}^n$  by permuting the basis  $e_1, \dots, e_n$ . Show, in contrast, that the subrepresentation

$$U = \{a_1 e_1 + a_2 e_2 + \cdots + a_n e_n \mid a_1 + a_2 + \cdots + a_n = 0\} \subseteq \mathbb{F}^n$$

is *not* a permutation representation with respect to any basis for  $U$ .

*Hint:* Warm-up Question 11(h). What is the trace of an  $n$ -cycle?

- (d) The group ring of  $\mathbb{F}[G]$  is a left module over itself. This corresponds to permutation representation of the group  $G$  on the underlying vector space  $\mathbb{F}[G]$ , called the (*left*) *regular representation* of  $G$ . Find the degree of this representation. In what basis is this a permutation representation, and how many  $G$ -orbits does this basis have?
- (e) For any  $g \in G$ , compute the trace of the matrix representing  $g$  in the regular representation.

5. (**Bonus**) (**The tensor-Hom adjunction.**) Let  $S, R$  be rings. Let  $A$  be an  $(S, R)$ -bimodule,  $B$  a left  $R$ -module, and  $C$  a left  $S$ -module. Prove that there is a (well-defined) isomorphism of abelian groups

$$\begin{aligned} \text{Hom}_S(A \otimes_R B, C) &\cong \text{Hom}_R(B, \text{Hom}_S(A, C)) \\ [f : a \otimes b \mapsto f(a \otimes b)] &\mapsto [b \mapsto [a \mapsto f(a \otimes b)]] \end{aligned}$$

It turns out that this bijection is *natural*, so the functors  $A \otimes_R -$  and  $\text{Hom}_S(A, -)$  are adjoints.