Terms and concepts covered: Homotopy; homotopic maps; nullhomotopic map. Homotopy rel a subspace. Homotopy equivalence; homotopy type; contractible. Deformation retraction. CW complex; weak topology. Products, wedge sums, and quotients of CW complexes.

Corresponding reading: Hatcher, Chapter 0, "Homotopy and homotopy type", "Cell complexes", "Operations on spaces" & Hatcher, Appendix, through Prop A.3.

Warm-up questions

(These warm-up questions are optional, and won't be graded.)

- 1. (Point-set review).
 - (a) Show by example that the inverse of a continuous, bijective function need not be continuous.
 - (b) Prove that the continuous image of a connected set is connected.
 - (c) Prove that the continuous image of a path-connected set is path-connected.
 - (d) Prove that the continuous image of a compact set is compact.
 - (e) Show by example that the preimage of a compact (respectively, connected, path-connected) under a continuous function need not be compact (respectively, connected, path-connected).
 - (f) Show that a closed subset of a compact set is compact.
 - (g) Show that, in a Hausdorff space, compact subsets are closed.
 - (h) Show by example that compact sets need not be closed in general. *Hint:* consider finite topological spaces.
- 2. Let *X* be a topological space, and let $f, g : X \to \mathbb{R}^n$ be continuous maps. Show that *f* and *g* are homotopic via the homotopy

$$F_t(x) = t g(x) + (t-1)f(x).$$

- 3. Let *X* be a topological space. Show that all constant maps to *X* are homotopic if and only if *X* is path-connected. In general, what are the homotopy classes of constant maps in *X*?
- 4. Recall that a subset $S \subseteq \mathbb{R}^n$ is *star-shaped* if there is a point $x_0 \in S$ such that, for any $x \in S$, the line segment from x_0 to x is contained in S. Show that any star-shaped subset of \mathbb{R}^n is contractible. Conclude in particular that convex subsets of \mathbb{R}^n are contractible.
- 5. Let *X* be a space and let $A \subseteq X$ be a deformation retract. Verify that *X* and *A* are homotopy equivalent.
- 6. Prove that every contractible space is path-connected. *Hint:* From a homotopy $F_t(x)$ we obtain, for each fixed x, a continuous function $t \mapsto F_t(x)$.
- 7. Find the mistake in the following "proof" that every path-connected space is contractible.

False proof. Let *X* be a path-connected space, and choose a basepoint $x_0 \in X$. Then for each $x \in X$, there is some path $\gamma_x : [0, 1] \to X$ from *x* to x_0 . Define a homotopy

$$F_t(x) = \gamma_x(t)$$

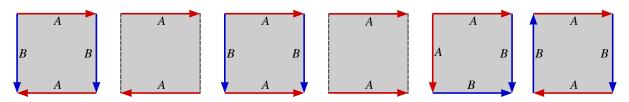
Then F_t is a homotopy from the identity id_X to the constant map at x_0 . We conclude that X is contractible.

8. Let $S^1 \subseteq \mathbb{R}^2$ be the unit circle. Find the mistake in the following "proof" that S^1 is contractible.

False proof. There is a deformation retraction from S^1 to the point (1,0) given by the homotopy

$$F_t(x,y) = \frac{(1-t)(x,y) + t(1,0)}{||(1-t)(x,y) + t(1,0)||}.$$

- 9. Suppose *X* and *Y* are homotopy equivalent spaces. Show that *Y* is path-connected if and only if *X* is.
- 10. **(Quotient surfaces).** Identify among the following quotient spaces: a cylinder, a Möbius band, a sphere, a torus, real projective space, and a Klein bottle.



- 11. Let $X = \bigcup_n X^n$ be a CW complex with *n*-skeleton X^n . Recall that we defined the topology on X so that a set U is open iff $U \cap X^n$ is open for every *n*.
 - (a) Suppose that X is finite-dimensional, that is, $X = X^N$ for some N. Show that the topology on X agrees with the topology from our inductive definition of the N-skeleton X^N as a quotient space.
 - (b) Again let *X* be any CW complex. Show that a set $C \subseteq X$ is closed iff $C \cap X^n$ is closed for every *n*.
- 12. We define a *subcomplex* of a CW complex X to be a closed subset that is equal to a union of cells. Show that a subcomplex A is itself a CW complex, by verifying inductively that the images of the attaching map of an *n*-cell in A must be contained in its (n 1)-skeleton A^{n-1} .
- 13. Verify the details of the natural CW complex structure on a product of CW complexes, or a quotient of a CW complex by a subcomplex.
- 14. Let *X* be a CW complex, and X^n its *n*-skeleton. Verify that the weak topology on X^n agrees with the subspace topology on X^n as a subspace of *X*.
- 15. Let *X* be a CW complex. See Assignment Problem 5 for the definition of the *characteristic maps* Φ_{α} . Verify that, for any α , the map Φ_{α} is continuous.
- 16. Prove that any finite CW complex is compact, by realizing it as the continuous image of a finite union of closed balls.
- 17. (a) Let *X* be a CW complex. See Assignment Problem 5 for the definition of the *characteristic maps* Φ_{α} . For a subset $A \subseteq X$, prove that *A* is open (respectively, closed) if and only if $\Phi_{\alpha}^{-1}(A)$ is open (respectively, closed) for every α .
 - (b) Conclude that

$$\Box \Phi_{\alpha} : \bigsqcup_{n,\alpha} D^n_{\alpha} \to X$$

is a quotient map.

Assignment questions

(Hand these questions in! Questions labelled "bonus" are optional.)

- 1. (Homotopy defines an equivalence relation).
 - (a) Prove the following lemma.

Lemma (Pasting Lemma). Let A, B be a topological spaces, and suppose A is the union $A = A_1 \cup A_2$ of closed subsets A_1 and A_2 . Then a map $f : A \to B$ is continuous if and only if its restrictions $f|_{A_1}$ and $f|_{A_2}$ to A_1 and A_2 , respectively, are continuous.

(b) Let *X*, *Y* be topological spaces and consider the set of continuous maps $X \to Y$. Show that the relation "*f* is homotopic to *g*" defines an equivalence relation on this set.

- 2. (Homotopy equivalence is an equivalence relation). Show that "homotopy equivalence" defines an equivalence relation on topological spaces.
- 3. (S^{∞} is contractible). Define the infinite-dimensional sphere S^{∞} as the space

$$S^{\infty} = \bigcup_{n \ge 0} S^n = \left\{ (x_1, x_2, \dots,) \middle| x_i \in \mathbb{R}, \ x_i = 0 \text{ for all but finitely many } i, \sum_i x_i^2 = 1 \right\}$$

It is topologized so that a subset *U* is open if and only if $U \cap S^n$ is open for every *n*. Show that S^{∞} is contractible. *Hints:*

- The map $S^{\infty} \to S^{\infty}$ given by $(x_1, x_2, x_3, \ldots) \longmapsto (0, x_1, x_2, x_3, \ldots)$ is continuous.
- Warm-up Problem 8.
- 4. (A CW complex structure on the sphere). Let S^n denote the *n*-sphere. In general we understand S^n is defined up to homeomorphism, but for the purposes of this question we will concretely define S^n to be the unit sphere in \mathbb{R}^{n+1} with the Euclidean topology,

$$S^n = \{ \mathbf{x} \in \mathbb{R}^{n+1} \mid |\mathbf{x}| = 1 \}.$$

Let D^n denote the closed *n*-ball. Again, to be concrete we take D^n to be the unit ball in \mathbb{R}^n ,

$$D^n = \{ \mathbf{x} \in \mathbb{R}^n \mid |\mathbf{x}| \le 1 \}.$$

(a) Prove the following theorem.

Theorem (A homeomorphism criterion). Let $f : X \to Y$ be a continuous, bijective map of topological spaces. Suppose *X* is compact and *Y* is Hausdorff. Then *f* is a homeomorphism.

- (b) Let *X* be a topological space, and let ~ be an equivalence relation on *X*. Let *X*/ ~ denote the corresponding quotient space, and *q* : *X* → *X*/ ~ the quotient map. State the definition of the quotient topology on *X*/ ~, and state the universal property of the quotient map *q*.
- (c) Let Dⁿ/ ~ be the quotient of Dⁿ obtained by identifying all points in the boundary to a single point. Use parts (a) and (b) to prove that Dⁿ/ ~ is homeomorphic to Sⁿ.

Hint: Consider the map

$$f: D^n \longrightarrow S^n$$
$$x = (x_1, x_2, \dots, x_n) \mapsto \begin{cases} \left(\left(2\sqrt{\frac{1}{||x||} - 1} \right) x_1, \dots, \left(2\sqrt{\frac{1}{||x||} - 1} \right) x_n, 1 - 2||x|| \right), \text{ if } x \neq 0\\ (0, 0, \dots, 0, 1), \text{ if } x = 0 \end{cases}$$

Remark: Going forward, you may assert without proof the identity of quotient spaces such as this one and the ones in Warm-Up Problems 10 and **??**. But we should check this rigorously at least this once!

5. (CW complexes are Hausdorff).

Definition (The characteristic map). Let *X* be a CW complex. For each *n*-cell e_{α}^{n} the associated *characteristic map* Φ_{α} is the composition

$$\Phi_{\alpha}: D_{\alpha}^{n} \hookrightarrow X^{n-1} \bigsqcup_{\beta} D_{\beta}^{n} \longrightarrow X^{n} \longrightarrow X$$

Specifically $\Phi_{\alpha}|_{\partial D^n}$ is the attaching map ϕ_{α} , and Φ_{α} maps the interior of D^n homeomorphically to e_{α}^n .

- (a) Let X be a topological space. Recall that topologists say "points are closed" in X to mean that the singleton set {x} is closed for all x ∈ X. Prove that, in a CW complex, points are closed. *Note:* Hatcher writes (p522), "Points are closed in a CW complex X since they pull back to closed sets under all characteristic maps Φ_α." If you quote this statement, you must explain why points pull back to closed sets, and explain why this observation implies that points are closed.
- (b) Prove that a CW complex is Hausdorff. *Hint:* Read the first half of Hatcher p522, and explain the proof of Proposition A.3 in the special case that *A* and *B* are points. You may use the book as a reference while you write this proof, though you should not simply copy the book!
- 6. (Compact subsets of CW complexes and the closure-finite property).
 - (a) Let *X* be a CW complex, and let *S* be a (possibly infinite) subset of *X* such that every point of *S* is in a distinct cell of *X*. Prove that *S* is closed. Since the same argument applies to any subset of *S*, conclude that *S* has the discrete topology.
 - (b) Prove the following lemma.

Lemma (Compact subsets of CW complexes). Let *X* be a CW complex. Any compact subset of *X* intersects only finitely many cells.

- (c) Show that the closure of e_{α}^{n} in X is equal to the image of the characteristic map Φ_{α} (Question 5).
- (d) "CW" stands for "closure-finiteness, weak topology". Prove the "closure-finiteness" property.

Proposition (Closure-finiteness of CW complexes). Let *X* be a CW complex. The closure of any cell intersects only finitely many other cells.

(e) The *infinite earring* is a subspace of \mathbb{R}^2 defined as the union $\bigcup_{n\geq 1} C_n$, where C_n is the circle of radius $\frac{1}{n}$ and center $(\frac{1}{n}, 0)$. See Figure 2. It is a favourite source of counterexamples in algebraic topology.¹

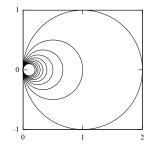


Figure 2: The infinite earring

Use part (b) to show that the topology on the infinite earring does not agree with the weak topology on a countable wedge of circles.

Remark: In fact, the infinite earring is not even homotopy equivalent to a CW complex.

7. (Bonus: Homotopies as paths of maps). Let *X* and *Y* be locally compact, Hausdorff topological spaces. Consider the space C(X, Y) of continuous maps from *X* to *Y* with the compact-open topology. Let *I* be a closed interval. Show that the definition of a homotopy of maps $X \to Y$ is equivalent to the definition of a continuous map $I \to C(X, Y)$. In other words, a homotopy is a path through the space of continuous maps.

¹The infinite earring is often called the *Hawaiian earring*, but there are concerns that this term is culturally insensitive, so I am trying to train myself to stop using it. Hatcher calls the space the *shrinking wedge of circles*. I do not love this term either, since, as you will prove, it is not homeomorphic to a wedge of circles.