

**Terms and concepts covered:** Seifert–Van Kampen Theorem, group presentations,  $\pi_1$  of a graph,  $\pi_1$  of a CW complex. Covering spaces, lifting properties of covering spaces.

**Corresponding reading:** Hatcher Ch 1.2 and 1.A (up to Proposition 1A.2), Ch 1.3.

## Warm-up questions

(These warm-up questions are optional, and won't be graded.)

1. Show that the free product  $*_{\alpha} G_{\alpha}$  of trivial groups  $G_{\alpha}$  is trivial.
2. Let  $G$  be a group with presentation  $\langle S \mid R \rangle$ , and let  $H$  be any group. Show that a map  $S \rightarrow H$  extends to a group homomorphism  $G \rightarrow H$  if and only if the images of the generators  $S$  satisfy every relation in  $R$ .
3. Let  $G$  and  $H$  be groups with presentations  $\langle S_G \mid R_G \rangle$  and  $\langle S_H \mid R_H \rangle$ , respectively. Verify the following. *Hint:* Use Warm-up Problem 2 to verify that the group described by the presentation satisfies the appropriate universal property.
  - (a)  $G^{ab} = \langle S_G \mid R_G \cup \{sts^{-1}t^{-1} \mid s, t \in S_G\} \rangle$
  - (b)  $G * H = \langle S_G \cup S_H \mid R_G \cup R_H \rangle$
  - (c)  $G \oplus H = \langle S_G \cup S_H \mid R_G \cup R_H \cup \{sts^{-1}t^{-1} \mid s \in S_G, t \in S_H\} \rangle$
  - (d) Let  $g : A \rightarrow G, h : A \rightarrow H$  be group homomorphisms.  
 $G *_A H = \langle S_G \cup S_H \mid R_G \cup R_H \cup \{g(a)h(a)^{-1} \mid a \in A\} \rangle$
4. Let  $\{(X_{\alpha}, x_{\alpha})\}$  be a collection of based topological spaces. Suppose that for each  $\alpha$ , there exists some open neighbourhood  $U_{\alpha}$  of  $x_{\alpha}$  that deformation retracts onto  $x_{\alpha}$ . Let  $\bigvee_{\alpha} X_{\alpha}$  be the wedge sum obtained by gluing together the points  $x_{\alpha}$  to a single point  $x_0$ .
  - (a) Verify the details of our proof-outline from class:  $\pi_1(\bigvee_{\alpha} X_{\alpha}, x_0) = *_{\alpha} \pi_1(X_{\alpha}, x_{\alpha})$ .
  - (b) **Bonus.** Suppose that the spaces  $X_{\alpha}$  are CW complexes and the points  $x_{\alpha}$  are any choices of base-point. Explain why the spaces  $X_{\alpha}$  must satisfy the neighbourhood-deformation-retract condition. *Hint:* This is proven in Hatcher A.4 and A.5. You can assume this fact without proof in our class.
5. Consider the decomposition of  $S^1$  into two open intervals  $S^1 = A \cup B$  as shown in Figure 1. Use this example to show the Van Kampen theorem requires the hypothesis that  $A \cap B$  is path-connected.

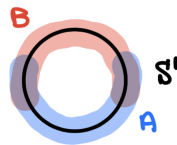


Figure 1:  $S^1 = A \cup B$

6.
  - (a) Describe how to construct the  $n$ -sphere  $S^n$  by gluing two  $n$ -disks  $D_A^n$  and  $D_B^n$  by their boundary along an  $(n-1)$ -sphere.
  - (b) Assume  $n \geq 2$ . Decompose  $S^n$  into a union of open subsets  $S^n = A \cup B$ , where  $A$  is a neighbourhood of the image of  $D_A^n$ , and  $B$  is a neighbourhood of the image of  $D_B^n$ . See Figure 2. Use the Van Kampen theorem to show  $\pi_1(S^n) = 0$ .
  - (c) Where does the proof go wrong when  $n = 1$ ?

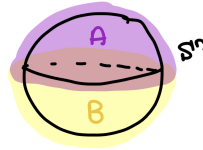


Figure 2:  $S^n = A \cup B$

7. Find the error in the following flawed “proof” that the circle has trivial fundamental group.

**False proof.** Decompose  $S^1$  as a union of open intervals  $S^1 = A \cup B \cup C$  as shown in Figure 3. Since  $A, B, C$  are open and path-connected, and their pairwise intersections  $A \cap B, B \cap C, A \cap C$



Figure 3:  $S^1 = A \cup B$

are path-connected, it follows that  $\pi_1(S^1)$  is a quotient of the free product  $\pi_1(A) * \pi_1(B) * \pi_1(C)$ . Since  $A, B, C$  are contractible,  $\pi_1(A) * \pi_1(B) * \pi_1(C)$  is trivial, so  $\pi_1(S^1)$  is trivial.

8. (a) Let  $X$  be the complement of an open disk in the torus, as in Figure 4. Show that  $X$  deformation retracts onto the wedge  $S^1 \vee S^1$ . *Hint:* Consider the flat torus.

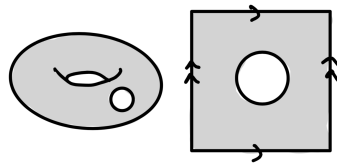


Figure 4: The torus with an open disk deleted

- (b) Deduce that  $\pi_1(X)$  is the free group on 2 generators.
- (c) Apply the Van Kampen theorem to the decomposition of the torus  $T$  into open sets shown in Figure 5 to give an alternate computation of  $\pi_1(T)$ .

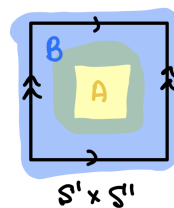
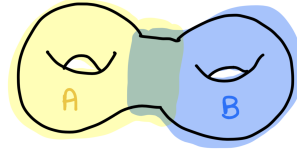


Figure 5:  $T = A \cup B$

(d) Apply the Van Kampen theorem to the decomposition of the closed genus-2 surface  $\Sigma_2$  into open sets shown in Figure 6 to give an alternate computation of  $\pi_1(\Sigma_2)$ . Compare your solution to the result of Assignment Problem 3.

Figure 6:  $\Sigma_2 = A \cup B$ 

9. Why, in the Van Kampen theorem, do we assume that each open set is path-connected?
10. What could go wrong, in the Van Kampen theorem, if we do not assume that each subset in our decomposition is open?
11. (a) Let  $G$  be a group, and suppose that  $G$  is generated by  $S$ . Show that the commutator subgroup of  $G$  is normally generated by the set

$$\{aba^{-1}b^{-1} \mid a, b \in S\}.$$

- (b) Let  $G_1, G_2, H$  be groups, and let  $f_1 : H \rightarrow G_1$  and  $f_2 : H \rightarrow G_2$  be group homomorphisms. We defined the *free product with amalgamation*  $G_1 *_H G_2$  to be the quotient of the free product  $G_1 * G_2$  by the normal subgroup generated by the elements

$$\{f_1(h)f_2(h)^{-1} \mid h \in H\}.$$

Show that, if  $S$  is a generating set for  $H$ , then  $G_1 *_H G_2$  is in fact the quotient by the normal subgroup generated by

$$\{f_1(s)f_2(s)^{-1} \mid s \in S\}.$$

12. Use the results of Assignment Question 2 to give a new proof that  $\pi_1(S^n) = 0$  for all  $n \geq 2$ .  
*Hint:* Use the CW complex structure on  $S^n$  where the 1-skeleton is a point.

## Assignment questions

(Hand these questions in!)

1. In this problem, we will prove that covering spaces satisfy the homotopy lifting property we encountered on Homework 2.

**Theorem (Covering maps have the homotopy lifting property).** Let  $p : E \rightarrow B$  be a covering map, and let  $F_t : X \times I \rightarrow B$  be a homotopy of maps  $X \rightarrow B$ . Then given any lift  $\tilde{F}_0 : X \rightarrow E$  of  $F_0$ , there exists a unique lift  $\tilde{F}_t : X \times I \rightarrow E$  of  $F_t$  whose restriction to  $t = 0$  is the lift  $\tilde{F}_0$ .

$$\begin{array}{ccc} X \times \{0\} \cong X & \xrightarrow{\tilde{F}_0} & E \\ \downarrow i & \nearrow \tilde{F}_t & \downarrow p \\ X \times I & \xrightarrow{F_t} & B \end{array} \quad \exists!$$

Let  $p : E \rightarrow B$  be a covering map, and let  $F_t : X \times I \rightarrow B$  be a homotopy of maps  $X \rightarrow B$ . Let  $\tilde{F}_0 : X \rightarrow E$  be a lift of  $F_0$ . Let  $\mathcal{U} = \{U_\alpha\}$  be an open cover of  $B$  so that  $p^{-1}(U_\alpha)$  can be decomposed as a disjoint union of open sets which are each mapped homeomorphically to  $U_\alpha$  by  $p$ .

*Hint:* For this proof, you may want to refer to the proof of Theorem 1.7 in Hatcher. Please put away the textbook as you write your solution.

- (a) We first address uniqueness. Suppose that  $\gamma : I \rightarrow B$  is a path. Explain why there is a partition  $0 = t_0 < t_1 < \dots < t_n = 1$  of  $I$  so that for each  $i$ ,  $\gamma([t_i, t_{i+1}]) \subseteq U_i$  for some  $U_i \in \mathcal{U}$ .
- (b) Let  $\tilde{b} \in p^{-1}(\gamma(0))$ . Suppose that  $\tilde{\gamma} : I \rightarrow E$  and  $\tilde{\gamma}' : I \rightarrow E$  are two paths starting at  $\tilde{b}$  lifting  $\gamma$ . Assume by induction that  $\tilde{\gamma}|_{[0, t_i]} = \tilde{\gamma}'|_{[0, t_i]}$ . Explain why necessarily  $\tilde{\gamma}|_{[t_i, t_{i+1}]} = \tilde{\gamma}'|_{[t_i, t_{i+1}]}$ . Conclude that there is a unique lift of  $\gamma$  starting at  $\tilde{b}$ .
- (c) Let  $\tilde{F} : X \times I \rightarrow E$  be a homotopy lifting  $F_t$  and extending  $\tilde{F}_0$ . Explain why  $\tilde{F}_t$  is unique.  
*Hint:* Consider  $\tilde{F}|_{\{x\} \times I}$ .
- (d) Now we address existence. Consider a point  $x \in X$ . Explain why there is a partition  $0 = t_0 < t_1 < \dots < t_m = 1$  of  $I$  (depending on  $x$ ) and a neighbourhood  $N_x \subseteq X$  of  $x$  such that, for each  $i$ ,  $F(N_x \times [t_i, t_{i+1}]) \subseteq U_i$  for some  $U_i$  in  $\mathcal{U}$ . Notably  $N_x$  is independent of  $i$ .  
*Hint:* First fix  $x_0 \in X$  and consider neighbourhoods  $N_t \times (a_t, b_t)$  of  $(x_0, t)$  for each  $t \in I$ . Use compactness of  $I$ .
- (e) Fix  $x \in X$ . Our next goal is to construct a lift  $\tilde{F}^x$  of  $F|_{N_x \times I}$  extending  $\tilde{F}_0|_{N_x \times I}$ . Assume by induction that  $\tilde{F}^x$  is defined on  $N_x \times [0, t_i]$ . Describe how to extend  $\tilde{F}^x$  to  $N_x \times [0, t_{i+1}]$ , and deduce that we can construct the desired lift  $\tilde{F}^x$ .  
*Hint:* You may need to replace  $N_x$  by a smaller neighbourhood of  $x$ .
- (f) For  $x, y \in X$ , explain why  $\tilde{F}^x$  and  $\tilde{F}^y$  must agree on  $(N_x \cap N_y) \times I$ . Explain how we can therefore combine the functions  $\{\tilde{F}^x\}_{x \in X}$  to obtain a well-defined, continuous lift  $\tilde{F}$  of the homotopy  $F$  extending  $\tilde{F}_0$ .

2. **(The fundamental group of a CW complex).** In this question, we will continue developing our program from class on computing the fundamental group of a CW complex.

- (a) **Proposition (The effect of gluing in disks on  $\pi_1$ ).** Let  $X$  be a path-connected space with basepoint  $x_0$ . Let  $Y$  be the space obtained from  $X$  by gluing in a number of disks  $D_\alpha^2$  along their boundary by attaching maps  $\varphi_\alpha : \partial D_\alpha^2 \rightarrow X$ . (By abuse of notation, we will also use  $\varphi_\alpha$  to denote the loop  $I \rightarrow X$  canonically determined by the map  $\varphi_\alpha : S^1 \rightarrow X$ .) For each  $\alpha$ , let  $\gamma_\alpha$  be a choice of path from  $x_0$  to  $\varphi_\alpha(1, 0)$ . Then  $\pi_1(Y, x_0)$  is the quotient of  $\pi_1(X, x_0)$  by the subgroup normally generated by the loops  $\{\gamma_\alpha \cdot \varphi_\alpha \cdot \bar{\gamma}_\alpha\}_\alpha$ .

This result is proven in Hatcher Proposition 1.26. Explain this proof (with pictures!) in the case that  $X$  is a 2-disk with 3 punctures, and  $Y$  is constructed by gluing two disks over two punctures via embeddings  $\varphi_1, \varphi_2$ , as shown in Figure 7. You may read Hatcher while you write your solution.

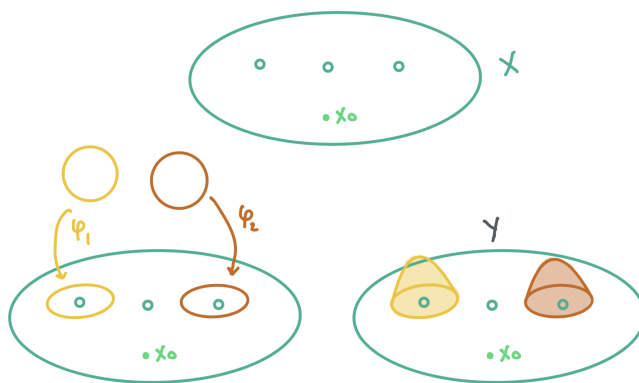


Figure 7: An instance of the spaces  $X$  and  $Y$

- (b) **Definition (Cellular map).** A continuous map  $f : X \rightarrow Y$  between CW complexes  $X$  and  $Y$  is called a *cellular map* if  $f(X^n) \subseteq Y^n$  for all  $n$ .

The cellular approximation theorem is a major theorem in algebraic topology.

**Theorem (Cellular approximation theorem).** Every map  $f : X \rightarrow Y$  of CW complexes is homotopic to a cellular map. If  $f$  is already cellular on a subcomplex  $A \subseteq X$ , the homotopy may be taken to be stationary on  $A$ .

Use the cellular approximation theorem to deduce the following theorem.

**Theorem (The fundamental group of a CW complex is determined by its 2-skeleton).**

Let  $X$  be a path-connected CW complex. Let  $\iota_1 : X^1 \rightarrow X$  and  $\iota_2 : X^2 \rightarrow X$  be the inclusion of its 1-skeleton and 2-skeleton, respectively. The induced map  $(\iota_1)_* : \pi_1(X^1) \rightarrow \pi_1(X)$  is surjective, and the induced map  $(\iota_2)_* : \pi_1(X^2) \rightarrow \pi_1(X)$  is an isomorphism.

- (c) In a few sentences, summarize our conclusions from class on how to compute a presentation for  $\pi_1$  of a CW complex.

3. (Surfaces of different genera are not homeomorphic, or even homotopy equivalent).

**Definition (Connected sum).** Let  $M_1$  and  $M_2$  be  $n$ -manifolds. The *connected sum*  $M_1 \# M_2$  is the  $n$ -manifold constructed as follows. Delete an open  $n$ -ball  $B_i$  from  $M_i$ . Let  $h : \partial B_1 \rightarrow \partial B_2$  be a homeomorphism. Then glue  $M_1 \setminus B_1$  to  $M_2 \setminus B_2$  via  $h$ :

$$M_1 \# M_2 = (M_1 \setminus B_1) \cup (M_2 \setminus B_2) \quad / \quad x \sim h(x) \quad \text{for all } x \in \partial B_1$$

Fact: If  $M_1$  and  $M_2$  are oriented path-connected closed manifolds, then up to homeomorphism  $M_1 \# M_2$  is independent of the choice of balls and (orientation-reversing) homeomorphism  $h$ .

**Definition (Closed genus- $g$  surface).** The (closed) *genus-1 surface*  $\Sigma_1$  is a torus. In general the (closed) *genus- $g$  surface*  $\Sigma_g$  is the connected sum of  $g$  tori.



Figure 8: Surfaces of genus 1, 2, 3

- (a) Briefly explain why the surface  $\Sigma_g$  can be realized as the quotient of a  $4g$ -gon by the edge identifications shown in Figure 9.

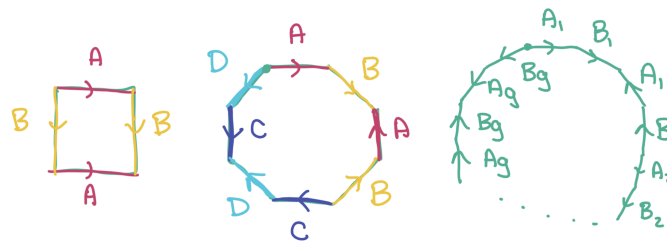


Figure 9:  $\Sigma_1, \Sigma_2,$  and  $\Sigma_g$  as quotients of polygons

Hint: See Figure 10.

- (b) Conclude that

$$\pi_1(\Sigma_g) = \langle a_1, b_1, a_2, b_2, \dots, a_g, b_g \mid [a_1, b_1][a_2, b_2] \cdots [a_g, b_g] \rangle \quad \text{where } [a, b] := aba^{-1}b^{-1}.$$

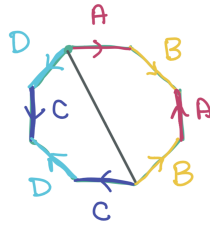
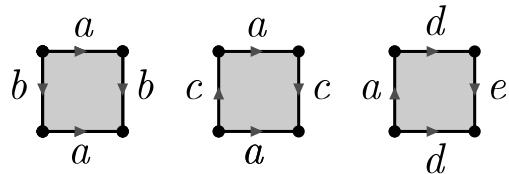


Figure 10

- (c) Show that the abelianization of  $\pi_1(\Sigma_g)$  is  $\mathbb{Z}^{2g}$ . Conclude that the surfaces  $\Sigma_g$  and  $\Sigma_h$  are not homotopy equivalent for any  $g \neq h$ .
- 4. In this problem we will apply Van Kampen and/or the results of Assignment Problem 2 to calculate some fundamental groups.
  - (a) Compute the fundamental groups of  $\mathbb{R}P^n$  and  $\mathbb{C}P^n$  for all  $n$ . *Hint:* Use the CW complex structures you computed on Homework #2.
  - (b) **(QR Exam, August 2019).** Let  $X$  be a space obtained from three copies of the Möbius strip by attaching their boundaries homeomorphically. Calculate  $\pi_1(X)$  in terms of generators and defining relations.
  - (c) **(QR Exam, January 2022).** A space  $Y$  is constructed by gluing together a torus, a Klein bottle, and a cylinder along the edges labelled  $a$  below, i.e.,  $Y$  is constructed from three squares using the edge identifications shown.



Calculate a presentation for the fundamental group of  $Y$ .