

Terms and concepts covered: Homotopy; homotopic maps; nullhomotopic map. Homotopy rel a subspace. Homotopy equivalence; homotopy type; contractible. Deformation retraction. CW complex; weak topology. Products, wedge sums, and quotients of CW complexes.

Corresponding reading: Hatcher, Chapter 0, “Homotopy and homotopy type”, “Cell complexes”, “Operations on spaces” & Hatcher, Appendix, through Prop A.3.

Warm-up questions

(These warm-up questions are optional, and won't be graded.)

1. (Point-set review).

- Show by example that the inverse of a continuous, bijective function need not be continuous.
- Prove that the continuous image of a connected set is connected.
- Prove that the continuous image of a path-connected set is path-connected.
- Prove that the continuous image of a compact set is compact.
- Show by example that the preimage of a compact (respectively, connected, path-connected) under a continuous function need not be compact (respectively, connected, path-connected).
- Show that a closed subset of a compact set is compact.
- Show that, in a Hausdorff space, compact subsets are closed.
- Show by example that compact sets need not be closed in general. *Hint:* consider finite topological spaces.

- Let X be a topological space, and let $f, g : X \rightarrow \mathbb{R}^n$ be continuous maps. Show that f and g are homotopic via the homotopy

$$F_t(x) = t g(x) + (1 - t)f(x).$$

- Let X be a topological space. Show that all constant maps to X are homotopic if and only if X is path-connected. In general, what are the homotopy classes of constant maps in X ?
- Recall that a subset $S \subseteq \mathbb{R}^n$ is *star-shaped* if there is a point $x_0 \in S$ such that, for any $x \in S$, the line segment from x_0 to x is contained in S . Show that any star-shaped subset of \mathbb{R}^n is contractible. Conclude in particular that convex subsets of \mathbb{R}^n are contractible.
- Show that a space X is contractible if and only if the identity map id_X is homotopic to a constant map $X \rightarrow X$.
- Let X be a space and let $A \subseteq X$ be a deformation retract. Verify that X and A are homotopy equivalent.
- Prove that every contractible space is path-connected. *Hint:* From a homotopy $F_t(x)$ we obtain, for each fixed x , a continuous function $t \mapsto F_t(x)$.
- Find the mistake in the following “proof” that every path-connected space is contractible.

False proof. Let X be a path-connected space, and choose a basepoint $x_0 \in X$. Then for each $x \in X$, there is some path $\gamma_x : [0, 1] \rightarrow X$ from x to x_0 . Define a homotopy

$$F_t(x) = \gamma_x(t).$$

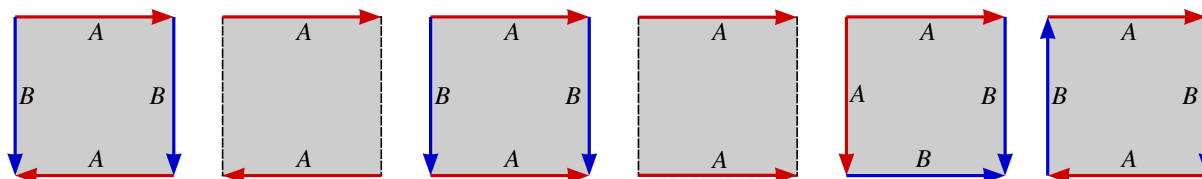
Then F_t is a homotopy from the identity id_X to the constant map at x_0 . We conclude that X is contractible.

- Let $S^1 \subseteq \mathbb{R}^2$ be the unit circle. Find the mistake in the following “proof” that S^1 is contractible.

False proof. There is a deformation retraction from S^1 to the point $(1, 0)$ given by the homotopy

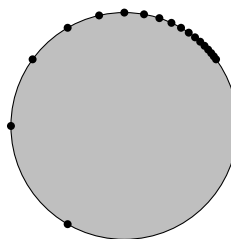
$$F_t(x, y) = \frac{(1-t)(x, y) + t(1, 0)}{\|(1-t)(x, y) + t(1, 0)\|}.$$

10. Suppose X and Y are homotopy equivalent spaces. Show that Y is path-connected if and only if X is.
11. **(Quotient surfaces).** Identify among the following quotient spaces: a cylinder, a Möbius band, a sphere, a torus, real projective space, and a Klein bottle.



12. Let $X = \bigcup_n X^n$ be a CW complex with n -skeleton X^n . Recall that we defined the topology on X so that a set U is open iff $U \cap X^n$ is open for every n .
- (a) Suppose that X is finite-dimensional, that is, $X = X^N$ for some N . Show that the topology on X agrees with the topology from our inductive definition of the N -skeleton X^N as a quotient space.
- (b) Again let X be any CW complex. Show that a set $C \subseteq X$ is closed iff $C \cap X^n$ is closed for every n .
13. We define a *subcomplex* of a CW complex X to be a closed subset that is equal to a union of cells. Show that a subcomplex A is itself a CW complex, by verifying inductively that the images of the attaching map of an n -cell in A must be contained in its $(n-1)$ -skeleton A^{n-1} .
14. Verify that $\partial(D^n \times D^m) = (\partial D^n \times D^m) \cup (D^n \times \partial D^m)$. Draw pictures for some small values of m, n .
15. Verify the details of the natural CW complex structure on a product of CW complexes.
16. Verify the details of the natural CW complex structure on a quotient of a CW complex by a subcomplex.
17. Let X be a CW complex. Show that the subspace topology on the 0-skeleton X^0 is discrete.
18. Let X be a CW complex, and X^n its n -skeleton.
- (a) The n -skeleton is defined by a quotient map $X^{n-1} \sqcup_{\alpha} D_{\alpha}^n \rightarrow X^n$. Show that the restriction of this map to X^{n-1} is injective.
- (b) Show that the quotient topology on X^{n-1} agrees with the subspace topology on its image in X^n .
- (c) Verify that the quotient topology on each skeleton agrees with their subspace topologies as subspaces of X .
19. Let X be a CW complex. See Assignment Problem 6 for the definition of the *characteristic maps* Φ_{α} . Verify that, for any α , the map Φ_{α} is continuous.
20. Recall that a CW complex is called *finite* if it has finitely many cells. Prove that any finite CW complex is compact, by realizing it as the continuous image of a finite union of closed balls.
21. Show that the following are NOT valid CW complex structures.

- (a) The closed interval I as an uncountable union of 0-cells.
- (b) A closed 2-disk, with one 2-cell and countably infinite 0- and 1-cells in its boundary.



Assignment questions

(Hand these questions in! Questions labelled “bonus” are optional.)

1. (Homotopy defines an equivalence relation).

- (a) Prove the following lemma.

Lemma (Pasting Lemma). Let A, B be topological spaces, and suppose A is the union $A = A_1 \cup A_2$ of closed subsets A_1 and A_2 . Then a map $f : A \rightarrow B$ is continuous if and only if its restrictions $f|_{A_1}$ and $f|_{A_2}$ to A_1 and A_2 , respectively, are continuous.

- (b) Let X, Y be topological spaces and consider the set of continuous maps $X \rightarrow Y$. Show that the relation “ f is homotopic to g ” defines an equivalence relation on this set.

2. (Homotopy equivalence defines an equivalence relation). Show that “homotopy equivalence” defines an equivalence relation on topological spaces.

3. (Homotopies of maps of quotient spaces).

- (a) State the definition of a *quotient map* and the *quotient topology*. State the universal property of a quotient map.
- (b) Prove or find a counterexample: The restriction of a quotient map to a subspace of its domain is a quotient map onto its image.
- (c) Suppose that X is a topological space with equivalence relation \sim , and let X/\sim be the quotient space with the quotient topology. Let $I = [0, 1]$ be the unit interval with the Euclidean topology. Let

$$q : X \times I \rightarrow (X/\sim) \times I$$

be the natural map.

Show that q is a quotient map, i.e., a set U in $(X/\sim) \times I$ is open if and only if $q^{-1}(U)$ is open. You can quote Munkres “Elements of algebraic topology”, Theorem 20.1, without proof.

Theorem. Let $p : X \rightarrow (X/\sim)$ be a quotient map, and let C be a locally compact Hausdorff space. Then

$$p \times id_C : X \times C \rightarrow (X/\sim) \times C$$

is a quotient map.

Deduce the following result about homotopies of maps of quotient spaces.

Proposition. Suppose that X is a topological space with equivalence relation \sim , and let X/\sim be the quotient space with the quotient topology. A homotopy $(X/\sim) \times I \rightarrow Y$ is continuous if and only if it arises from a continuous map $X \times I \rightarrow Y$ which is, for each fixed $t \in I$, constant on equivalence classes in X .

4. Consider the interval I as a CW complex with two vertices and one edge.

- (a) Let X be a CW complex. Describe the natural CW complex structure on $X \times I$. What is its n -skeleton, in terms of the skeleta of X ?
Remark: You can use without proof the result of Hatcher Theorem A.6, which implies that, because I is compact, the product topology agrees with the CW complex topology on $X \times I$.
- (b) Show that the n -skeleton of $X \times I$ is contained in $X^n \times I$. Use this to deduce that a homotopy $X \times I \rightarrow Y$ is continuous if and only if its restriction to $X^n \times I$ is continuous for every n .
- (c) (**S^∞ is contractible**). Define the infinite-dimensional sphere S^∞ as the space

$$S^\infty = \bigcup_{n \geq 0} S^n = \left\{ (x_1, x_2, \dots) \mid x_i \in \mathbb{R}, x_i = 0 \text{ for all but finitely many } i, \sum_i x_i^2 = 1 \right\}$$

It is topologized with the weak topology; a subset U is open if and only if $U \cap S^n$ is open for every n . Show that S^∞ is contractible. *Hints:*

- The map $S^\infty \rightarrow S^\infty$ given by $(x_1, x_2, x_3, \dots) \mapsto (0, x_1, x_2, x_3, \dots)$ is continuous.
- Warm-up Problem 9.

5. **(A CW complex structure on the sphere).** Let S^n denote the n -sphere. In general we understand S^n is defined up to homeomorphism, but for the purposes of this question we will concretely define S^n to be the unit sphere in \mathbb{R}^{n+1} with the Euclidean topology,

$$S^n = \{\mathbf{x} \in \mathbb{R}^{n+1} \mid |\mathbf{x}| = 1\}.$$

Let D^n denote the closed n -ball. Again, to be concrete we take D^n to be the unit ball in \mathbb{R}^n ,

$$D^n = \{\mathbf{x} \in \mathbb{R}^n \mid |\mathbf{x}| \leq 1\}.$$

(a) Prove the following theorem.

Theorem (A homeomorphism criterion). Let $f : X \rightarrow Y$ be a continuous, bijective map of topological spaces. Suppose X is compact and Y is Hausdorff. Then f is a homeomorphism.

(b) Let D^n / \sim be the quotient of D^n obtained by identifying all points in the boundary to a single point. Prove that D^n / \sim is homeomorphic to S^n .

Hint: Consider the map

$$f : D^n \longrightarrow S^n$$

$$x = (x_1, x_2, \dots, x_n) \mapsto \begin{cases} \left(\left(2\sqrt{\frac{1}{\|x\|}} - 1 \right) x_1, \dots, \left(2\sqrt{\frac{1}{\|x\|}} - 1 \right) x_n, 1 - 2\|x\| \right), & \text{if } x \neq 0 \\ (0, 0, \dots, 0, 1), & \text{if } x = 0 \end{cases}$$

Remark: Going forward, you may assert without proof the identity of quotient spaces such as this one and the ones in Warm-Up Problems 11. But we should check this rigorously at least this once!

6. Maps of CW complexes.

Definition (The characteristic map). Let X be a CW complex. For each n -cell e_α^n the associated *characteristic map* Φ_α is the composition

$$\Phi_\alpha : D_\alpha^n \hookrightarrow X^{n-1} \sqcup_{\beta} D_\beta^n \longrightarrow X^n \longrightarrow X$$

Specifically $\Phi_\alpha|_{\partial D^n}$ is the attaching map ϕ_α , and Φ_α maps the interior of D^n homeomorphically to the (open) cell e_α^n . Its closure $\overline{e_\alpha^n}$ is called a *closed cell* of X , and we will see in Problem 8 that this closed cell is equal to the image $\Phi_\alpha(D_\alpha^n)$.

(a) For a subset $A \subseteq X$, prove that A is open (respectively, closed) if and only if $\Phi_\alpha^{-1}(A)$ is open (respectively, closed) for every n and α . Deduce that the following map is a quotient map:

$$\sqcup \Phi_\alpha : \sqcup_{n,\alpha} D_\alpha^n \rightarrow X.$$

(b) Deduce the following defining property of CW complexes.

Proposition. Let X be a CW complex and Y a topological space. A map $f : X \rightarrow Y$ is continuous if and only if its restriction to every closed cell of X is continuous.

7. (CW complexes are Hausdorff).

(a) Let X be a topological space. Recall that topologists say “points are closed” in X to mean that the singleton set $\{x\}$ is closed for all $x \in X$. Deduce from Problem 6 (a) that, in a CW complex, points are closed.

- (b) Prove that a CW complex is Hausdorff. *Hint:* Read the first half of Hatcher p522, and explain the proof of Proposition A.3 in the special case that A and B are points. You may use the book as a reference while you write this proof, though you should not simply copy the book!

8. (Compact subsets of CW complexes and the closure-finite property).

- (a) Let X be a CW complex, and let S be a (possibly infinite) subset of X such that every point of S is in a distinct cell of X . Prove that S is closed. Since the same argument applies to any subset of S , conclude that S has the discrete topology.

- (b) Prove the following lemma.

Lemma (Compact subsets of CW complexes). Let X be a CW complex. Any compact subset of X intersects only finitely many (open) cells.

- (c) Show that the closure of e_α^n in X is equal to the image of the characteristic map Φ_α .

Hint: The disk D^n is compact, and X is Hausdorff by Problem 7.

- (d) "CW" stands for "closure-finiteness, weak topology". Prove the "closure-finiteness" property.

Proposition (Closure-finiteness of CW complexes). Let X be a CW complex. The closure of any (open) cell intersects only finitely many other (open) cells.

- (e) The *infinite earring* is a subspace of \mathbb{R}^2 defined as the union $\bigcup_{n \geq 1} C_n$, where C_n is the circle of radius $\frac{1}{n}$ and center $(\frac{1}{n}, 0)$. See Figure 2. It is a favourite source of counterexamples in algebraic topology.¹

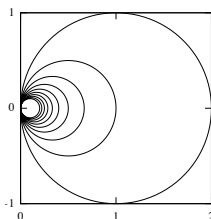


Figure 2: The infinite earring

Use part (b) to show that the topology on the infinite earring does not agree with the weak topology on a countable wedge of circles.

Remark: In fact, the infinite earring is not even homotopy equivalent to a CW complex.

9. (Bonus: Homotopies as paths of maps). Let X and Y be locally compact, Hausdorff topological spaces. Consider the space $C(X, Y)$ of continuous maps from X to Y with the compact-open topology. Let I be a closed interval. Show that the definition of a homotopy of maps $X \rightarrow Y$ is equivalent to the definition of a continuous map $I \rightarrow C(X, Y)$. In other words, a homotopy is a path through the space of continuous maps.

¹The infinite earring is often called the *Hawaiian earring*, but there are concerns that this term is culturally insensitive, so I am trying to train myself to stop using it. Hatcher calls the space the *shrinking wedge of circles*. I do not love this term either, since, as you will prove, it is not homeomorphic to a wedge of circles.