

Terms and concepts covered: Seifert–Van Kampen Theorem, group presentations, π_1 of a graph, π_1 of a CW complex. Covering spaces, lifting properties of covering spaces.

Corresponding reading: Hatcher Ch 1.2 and 1.A (up to Proposition 1A.2), Ch 1.3.

Warm-up questions

(These warm-up questions are optional, and won't be graded.)

1. Show that the free product $*_{\alpha} G_{\alpha}$ of trivial groups G_{α} is trivial.
2. Let G be a group with presentation $\langle S \mid R \rangle$, and let H be any group. Show that a map $S \rightarrow H$ extends to a group homomorphism $G \rightarrow H$ if and only if the images of the generators S satisfy every relation in R .
3. Let G and H be groups with presentations $\langle S_G \mid R_G \rangle$ and $\langle S_H \mid R_H \rangle$, respectively. Verify the following. *Hint:* Use Warm-up Problem 2 to verify that the group described by the presentation satisfies the appropriate universal property.
 - (a) $G^{ab} = \langle S_G \mid R_G \cup \{sts^{-1}t^{-1} \mid s, t \in S_G\} \rangle$
 - (b) $G * H = \langle S_G \cup S_H \mid R_G \cup R_H \rangle$
 - (c) $G \oplus H = \langle S_G \cup S_H \mid R_G \cup R_H \cup \{sts^{-1}t^{-1} \mid s \in S_G, t \in S_H\} \rangle$
 - (d) Let $g : A \rightarrow G, h : A \rightarrow H$ be group homomorphisms.
 $G *_A H = \langle S_G \cup S_H \mid R_G \cup R_H \cup \{g(a)h(a)^{-1} \mid a \in A\} \rangle$
4. Let $\{(X_{\alpha}, x_{\alpha})\}$ be a collection of based topological spaces. Suppose that for each α , there exists some open neighbourhood U_{α} of x_{α} that deformation retracts onto x_{α} . Let $\bigvee_{\alpha} X_{\alpha}$ be the wedge sum obtained by gluing together the points x_{α} to a single point x_0 .
 - (a) Verify the details of our proof-outline from class: $\pi_1(\bigvee_{\alpha} X_{\alpha}, x_0) = *_{\alpha} \pi_1(X_{\alpha}, x_{\alpha})$.
 - (b) **Bonus.** Suppose that the spaces X_{α} are CW complexes and the points x_{α} are any choices of base-point. Explain why the spaces X_{α} must satisfy the neighbourhood-deformation-retract condition. *Hint:* This is proven in Hatcher A.4 and A.5. You can assume this fact without proof in our class.
5. Consider the decomposition of S^1 into two open intervals $S^1 = A \cup B$ as shown in Figure 1. Use this example to show the Van Kampen theorem requires the hypothesis that $A \cap B$ is path-connected.

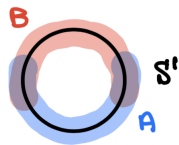
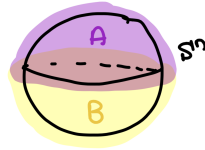


Figure 1: $S^1 = A \cup B$

6.
 - (a) Describe how to construct the n -sphere S^n by gluing two n -disks D_A^n and D_B^n by their boundary along an $(n-1)$ -sphere.
 - (b) Assume $n \geq 2$. Decompose S^n into a union of open subsets $S^n = A \cup B$, where A is a neighbourhood of the image of D_A^n , and B is a neighbourhood of the image of D_B^n . See Figure 2. Use the Van Kampen theorem to show $\pi_1(S^n) = 0$.
 - (c) Where does the proof go wrong when $n = 1$?

Figure 2: $S^n = A \cup B$

7. Find the error in the following flawed “proof” that the circle has trivial fundamental group.

False proof. Decompose S^1 as a union of open intervals $S^1 = A \cup B \cup C$ as shown in Figure 3. Since A, B, C are open and path-connected, and their pairwise intersections $A \cap B, B \cap C, A \cap C$

Figure 3: $S^1 = A \cup B$

are path-connected, it follows that $\pi_1(S^1)$ is a quotient of the free product $\pi_1(A) * \pi_1(B) * \pi_1(C)$. Since A, B, C are contractible, $\pi_1(A) * \pi_1(B) * \pi_1(C)$ is trivial, so $\pi_1(S^1)$ is trivial.

8. (a) Let X be the complement of an open disk in the torus, as in Figure 4. Show that X deformation retracts onto the wedge $S^1 \vee S^1$. *Hint:* Consider the flat torus.

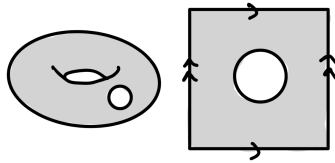
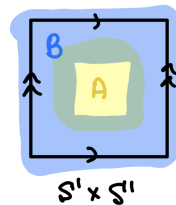
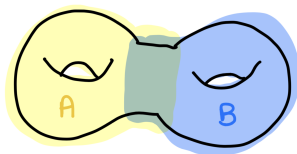


Figure 4: The torus with an open disk deleted

- (b) Deduce that $\pi_1(X)$ is the free group on 2 generators.
 (c) Apply the Van Kampen theorem to the decomposition of the torus T into open sets shown in Figure 5 to give an alternate computation of $\pi_1(T)$.

Figure 5: $T = A \cup B$

- (d) Apply the Van Kampen theorem to the decomposition of the closed genus-2 surface Σ_2 into open sets shown in Figure 6 to give an alternate computation of $\pi_1(\Sigma_2)$. Compare your solution to the result of Assignment Problem 2.

Figure 6: $\Sigma_2 = A \cup B$

9. Why, in the Van Kampen theorem, do we assume that each open set is path-connected?
10. What could go wrong, in the Van Kampen theorem, if we do not assume that each subset in our decomposition is open?
11. (a) Let G be a group, and suppose that G is generated by S . Show that the commutator subgroup of G is normally generated by the set

$$\{aba^{-1}b^{-1} \mid a, b \in S\}.$$

- (b) Let G_1, G_2, H be groups, and let $f_1 : H \rightarrow G_1$ and $f_2 : H \rightarrow G_2$ be group homomorphisms. We defined the *free product with amalgamation* $G_1 *_H G_2$ to be the quotient of the free product $G_1 * G_2$ by the normal subgroup generated by the elements

$$\{f_1(h)f_2(h)^{-1} \mid h \in H\}.$$

Show that, if S is a generating set for H , then $G_1 *_H G_2$ is in fact the quotient by the normal subgroup generated by

$$\{f_1(s)f_2(s)^{-1} \mid s \in S\}.$$

12. Use the results of Assignment Question 1 to give a new proof that $\pi_1(S^n) = 0$ for all $n \geq 2$.
Hint: Use the CW complex structure on S^n where the 1-skeleton is a point.

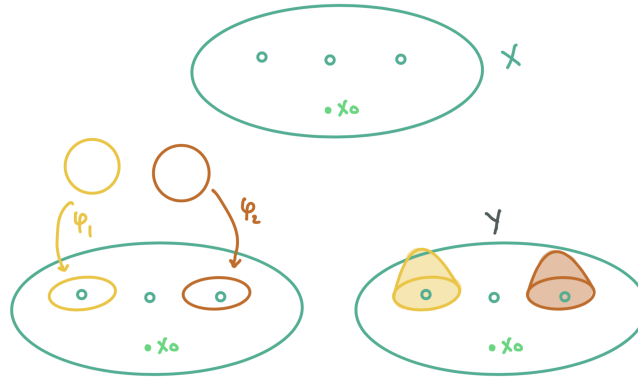
Assignment questions

(Hand these questions in!)

1. **(The fundamental group of a CW complex).** In this question, we will continuing developing our program from class on computing the fundamental group of a CW complex.
 - (a) **Proposition (The effect of gluing in disks on π_1).** Let X be a path-connected space with basepoint x_0 . Let Y be the space obtained from X by gluing in a number of disks D_α^2 along their boundary by attaching maps $\varphi_\alpha : \partial D_\alpha^2 \rightarrow X$. (By abuse of notation, we will also use φ_α to denote the loop $I \rightarrow X$ canonically determined by the map $\varphi_\alpha : S^1 \rightarrow X$.) For each α , let γ_α be a choice of path from x_0 to $\varphi_\alpha(1, 0)$. Then $\pi_1(Y, x_0)$ is the quotient of $\pi_1(X, x_0)$ by the subgroup normally generated by the loops $\{\gamma_\alpha \cdot \varphi_\alpha \cdot \overline{\gamma_\alpha}\}_\alpha$.
 This result is proven in Hatcher Proposition 1.26. Explain this proof (with pictures!) in the case that X is a 2-disk with 3 punctures, and Y is constructed by gluing two disks over two punctures via embeddings φ_1, φ_2 , as shown in Figure 7. You may read Hatcher while you write your solution.
 - (b) **Definition (Cellular map).** A continuous map $f : X \rightarrow Y$ between CW complexes X and Y is called a *cellular map* if $f(X^n) \subseteq Y^n$ for all n .

The cellular approximation theorem is a major theorem in algebraic topology.

Theorem (Cellular approximation theorem). Every map $f : X \rightarrow Y$ of CW complexes is homotopic to a cellular map. If f is already cellular on a subcomplex $A \subseteq X$, the homotopy may be taken to be stationary on A .

Figure 7: An instance of the spaces X and Y

Use the cellular approximation theorem to deduce the following theorem.

Theorem (The fundamental group of a CW complex is determined by its 2-skeleton).

Let X be a path-connected CW complex. Let $\iota_1 : X^1 \rightarrow X$ and $\iota_2 : X^2 \rightarrow X$ be the inclusion of its 1-skeleton and 2-skeleton, respectively. The induced map $(\iota_1)_* : \pi_1(X^1) \rightarrow \pi_1(X)$ is surjective, and the induced map $(\iota_2)_* : \pi_1(X^2) \rightarrow \pi_1(X)$ is an isomorphism.

- (c) In a few sentences, summarize our conclusions from class on how to compute a presentation for π_1 of a CW complex.

2. (Surfaces of different genera are not homeomorphic, or even homotopy equivalent).

Definition (Connected sum). Let M_1 and M_2 be n -manifolds. The *connected sum* $M_1 \# M_2$ is the n -manifold constructed as follows. Delete an open n -ball B_i from M_i . Let $h : \partial B_1 \rightarrow \partial B_2$ be a homeomorphism. Then glue $M_1 \setminus B_1$ to $M_2 \setminus B_2$ via h :

$$M_1 \# M_2 = (M_1 \setminus B_1) \cup (M_2 \setminus B_2) \quad / \quad x \sim h(x) \quad \text{for all } x \in \partial B_1$$

Fact: If M_1 and M_2 are oriented path-connected closed manifolds, then up to homeomorphism $M_1 \# M_2$ is independent of the choice of balls and (orientation-reversing) homeomorphism h .

Definition (Closed genus- g surface). The (closed) genus-1 surface Σ_1 is a torus. In general the (closed) genus- g surface Σ_g is the connected sum of g tori.



Figure 8: Surfaces of genus 1, 2, 3

- (a) Briefly explain why the surface Σ_g can be realized as the quotient of a $4g$ -gon by the edge identifications shown in Figure 9.

Hint: See Figure 10.

- (b) Conclude that

$$\pi_1(\Sigma_g) = \langle a_1, b_1, a_2, b_2, \dots, a_g, b_g \mid [a_1, b_1][a_2, b_2] \cdots [a_g, b_g] \rangle \quad \text{where } [a, b] := aba^{-1}b^{-1}.$$

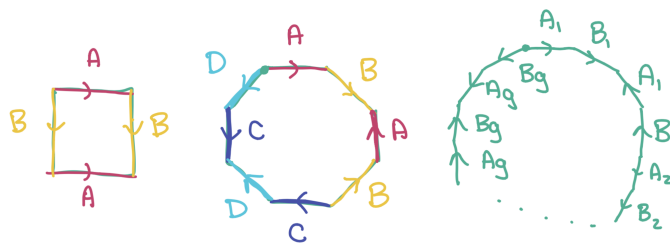
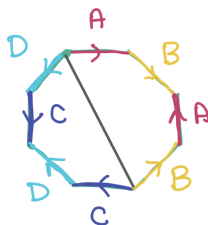
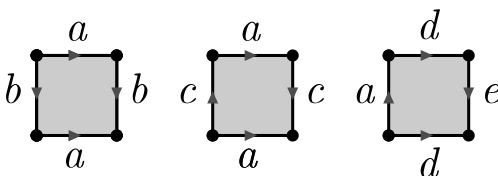
Figure 9: Σ_1 , Σ_2 , and Σ_g as quotients of polygons

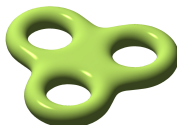
Figure 10

- (c) Show that the abelianization of $\pi_1(\Sigma_g)$ is \mathbb{Z}^{2g} . Conclude that the surfaces Σ_g and Σ_h are not homotopy equivalent for any $g \neq h$.
3. In this problem we will apply Van Kampen and/or the results of Assignment Problem 1 to calculate some fundamental groups.
- (a) Compute the fundamental groups of \mathbb{RP}^n and \mathbb{CP}^n for all n . *Hint:* Use the CW complex structures you computed on Homework #2.
- (b) **(QR Exam, August 2019).** Let X be a space obtained from three copies of the Möbius strip by attaching their boundaries homeomorphically. Calculate $\pi_1(X)$ in terms of generators and defining relations.
- (c) **(QR Exam, January 2022).** A space Y is constructed by gluing together a torus, a Klein bottle, and a cylinder along the edges labelled a below, i.e., Y is constructed from three squares using the edge identifications shown.



Calculate a presentation for the fundamental group of Y .

- (d) **(QR Exam, January 2024).** Fix $g \geq 0$. The closed orientable genus- g surface Σ_g is the boundary of a compact 3-dimensional manifold \mathbf{H}_g called a *genus- g handlebody*, as pictured for $g = 3$.



The *doubled handlebody* \mathbf{D}_g is obtained by gluing two copies of \mathbf{H}_g along their boundary via the identity map. Concretely, for $\mathbf{H} = \mathbf{H}' = \mathbf{H}_g$ and $I : \mathbf{H} \rightarrow \mathbf{H}'$ the identity map, the space \mathbf{D}_g is the quotient of the disjoint union $\mathbf{H}' \sqcup \mathbf{H}$ by the equivalence relation $I(x) \sim x$ for all $x \in \partial\mathbf{H} = \Sigma_g$. Compute $\pi_1(\mathbf{D}_g)$.

4. In this problem, we will prove that covering spaces satisfy the homotopy lifting property we encountered on Homework 2.

Theorem (Covering maps have the homotopy lifting property). Let $p : E \rightarrow B$ be a covering map, and let $F_t : X \times I \rightarrow B$ be a homotopy of maps $X \rightarrow B$. Then given any lift $\tilde{F}_0 : X \rightarrow E$ of F_0 , there exists a unique lift $\tilde{F}_t : X \times I \rightarrow E$ of F_t whose restriction to $t = 0$ is the lift \tilde{F}_0 .

$$\begin{array}{ccccc} X \times \{0\} \cong X & \xrightarrow{\tilde{F}_0} & E & & \\ \downarrow i & \nearrow \tilde{F}_t & \downarrow p & \nearrow \exists! & \\ X \times I & \xrightarrow{F_t} & B & & \end{array}$$

Let $p : E \rightarrow B$ be a covering map, and let $F_t : X \times I \rightarrow B$ be a homotopy of maps $X \rightarrow B$. Let $\tilde{F}_0 : X \rightarrow E$ be a lift of F_0 . Let $\mathcal{U} = \{U_\alpha\}$ be an open cover of B so that $p^{-1}(U_\alpha)$ can be decomposed as a disjoint union of open sets which are each mapped homeomorphically to U_α by p .

Hint: For this proof, you may want to refer to the proof of Theorem 1.7 in Hatcher. Please put away the textbook as you write your solution.

- We first address uniqueness. Suppose that $\gamma : I \rightarrow B$ is a path. Explain why there is a partition $0 = t_0 < t_1 < \dots < t_n = 1$ of I so that for each i , $\gamma([t_i, t_{i+1}]) \subseteq U_i$ for some $U_i \in \mathcal{U}$.
- Let $\tilde{b} \in p^{-1}(\gamma(0))$. Suppose that $\tilde{\gamma} : I \rightarrow E$ and $\tilde{\gamma}' : I \rightarrow E$ are two paths starting at \tilde{b} lifting γ . Assume by induction that $\tilde{\gamma}|_{[0, t_i]} = \tilde{\gamma}'|_{[0, t_i]}$. Explain why necessarily $\tilde{\gamma}|_{[t_i, t_{i+1}]} = \tilde{\gamma}'|_{[t_i, t_{i+1}]}$. Conclude that there is a unique lift of γ starting at \tilde{b} .
- Let $\tilde{F} : X \times I \rightarrow E$ be a homotopy lifting F_t and extending \tilde{F}_0 . Explain why \tilde{F}_t is unique.
Hint: Consider $\tilde{F}|_{\{x\} \times I}$.
- Now we address existence. Consider a point $x \in X$. Explain why there is a partition $0 = t_0 < t_1 < \dots < t_m = 1$ of I (depending on x) and a neighbourhood $N_x \subseteq X$ of x such that, for each i , $F(N_x \times [t_i, t_{i+1}]) \subseteq U_i$ for some U_i in \mathcal{U} . Notably N_x is independent of i .
Hint: First fix $x_0 \in X$ and consider neighbourhoods $N_t \times (a_t, b_t)$ of (x_0, t) for each $t \in I$. Use compactness of I .
- Fix $x \in X$. Our next goal is to construct a lift \tilde{F}^x of $F|_{N_x \times I}$ extending $\tilde{F}_0|_{N_x \times \{0\}}$. Assume by induction that \tilde{F}^x is defined on $N_x \times [0, t_i]$. Describe how to extend \tilde{F}^x to $N_x \times [0, t_{i+1}]$, and deduce that we can construct the desired lift \tilde{F}^x .
Hint: You may need to replace N_x by a smaller neighbourhood of x .
- For $x, y \in X$, explain why \tilde{F}^x and \tilde{F}^y must agree on $(N_x \cap N_y) \times I$. Explain how we can therefore combine the functions $\{\tilde{F}^x\}_{x \in X}$ to obtain a well-defined, continuous lift \tilde{F} of the homotopy F extending \tilde{F}_0 .

5. **(Bonus).** Read Chapter 2 Sections 2, 3, 4 of May's "Concise course in algebraic topology",

<https://www.math.uchicago.edu/~may/CONCISE/ConciseRevised.pdf>

- State and explain the definition of a groupoid.
- State and explain the definition of a colimit. Show that coproducts and pushouts are special cases of colimits.
- State and explain the groupoid version of the van Kampen theorem.
- Use this theorem to give a new proof that $\pi_1(S^1) \cong \mathbb{Z}$.