

**Terms and concepts covered:** lifting properties of covering spaces, classification of covering spaces

**Corresponding reading:** Hatcher Ch 1.3

## Warm-up questions

(These warm-up questions are optional, and won't be graded.)

- (Point-set review).** Let  $f : X \rightarrow Y$  be a map of topological spaces. Verify that the following are equivalent.
  - $f$  is continuous.
  - The preimage  $f^{-1}(U)$  is open for every open subset  $U \subseteq Y$ .
  - The preimage  $f^{-1}(C)$  is closed for every closed subset  $C \subseteq Y$ .
  - For every  $x \in X$  and neighbourhood  $U$  of  $f(x)$ , there exists a neighbourhood  $V$  of  $x$  such that  $f(V) \subseteq U$ . [Note: If this condition holds for a particular point  $x$ , we say that  $f$  is *continuous at  $x$* .]
  - Given a choice of (sub)basis for the topology on  $Y$ ,  $f^{-1}(B)$  is open for every (sub)basis element  $B$ .
  - For every subset  $A \subseteq X$  there is containment  $f(\overline{A}) \subseteq \overline{f(A)}$ .
  - (If  $X$  is first-countable, e.g. a metric space or a CW complex)  $f$  is sequentially continuous: If  $(a_n)_{n \in \mathbb{N}}$  is a sequence of points in  $X$  converging to some limit  $a_\infty$ , then  $(f(a_n))_{n \in \mathbb{N}}$  converges and its limit is  $f(a_\infty)$ .
- Give an example of a space that is simply connected but not contractible [we'll have the tools to prove this later in the course].
  - Prove that a graph is simply connected if and only if it is contractible (that is, if it is a tree).
- See Assignment Problem 1 for the definitions of simply-connected, locally simply-connected, and semi-locally simply-connected.
  - Show that a simply-connected space is semi-locally simply-connected.
  - Show that a locally simply-connected space is semi-locally simply-connected.
  - Verify that  $S^1$  is locally simply-connected and semi-locally simply-connected but not simply-connected.
  - Verify that the infinite earring is path-connected and locally path-connected but not semi-locally simply-connected.
  - Let  $CI$  be the cone on the infinite earring (in the sense of Homework #2 Problem 2(f)). Show that  $CI$  is simply-connected and semi-locally simply-connected, but not locally simply-connected.
  - Show that the topological disjoint union  $CI \sqcup CI$  is semi-locally simply connected, but not simply connected or locally simply-connected.
- Explain how we can identify the universal cover of  $S^1$  constructed in 1 with our cover  $\mathbb{R} \rightarrow S^1$ .
  - Describe a cover of  $S^1$  associated to each subgroup of  $\mathbb{Z}$ .
- Explain how we can identify the universal cover of the torus constructed in 1 with  $\mathbb{R}^2$ .
  - Explain how to construct a cover of the torus from  $\mathbb{R}^2$  for any subgroup of  $\mathbb{Z}^2$ .
- A *torsion* group  $G$  is a group where every element has finite order. Show that the only group homomorphism from a torsion group  $G$  to a free abelian group  $\mathbb{Z}^n$  is the zero map. What if  $G$  is generated by torsion elements?
- Let  $p_1 : X_1 \rightarrow X$  and  $p_2 : X_2 \rightarrow X$  be covering maps, and let  $f : X_1 \rightarrow X_2$  be an isomorphism of covers (Assignment Problem 5 (a)).

- (a) Show that, for each  $x \in X$ , the map  $f$  defines a bijection between  $p_1^{-1}(x)$  and  $p_2^{-1}(x)$ .
- (b) Show that  $f^{-1}$  is also an isomorphism of covers.
- (c) Verify that “isomorphism of covers” defines an equivalence relation on the covers of a fixed space  $X$ .
- (d) Fix a cover  $p : \tilde{X} \rightarrow X$ . Show that the isomorphisms  $\tilde{X} \rightarrow \tilde{X}$  form a group under composition.
- (e) Compute the group of isomorphisms for the following covers.
  - $\mathbb{R} \rightarrow S^1$
  - The  $N$ -sheeted cover  $S^1 \rightarrow S^1$
  - $S^n \rightarrow \mathbb{RP}^n$
  - Your favourite covers from Hatcher’s table on p58 (shown below).

8. Let  $p : \tilde{X} \rightarrow X$  be the covering map of a connected cover, and let  $\tau : \tilde{X} \rightarrow \tilde{X}$  be a deck transformation. Prove that if  $\tau$  fixes a point, then  $\tau$  is the identity map.

## Assignment questions

(Hand these questions in!)

1. **(Construction of the universal cover).** Throughout this question, when we refer to “path homotopy”, or “homotopy classes of paths”, we implicitly mean homotopy rel  $\{0, 1\}$ .  
*Hint:* You may read Hatcher p 63-65 while you complete this question.

**Definition (simply-connected).** A space  $X$  is called *0-connected* if it is path-connected. The space is *simply-connected* or *1-connected* if it is path-connected and  $\pi_1(X) = 0$ .

**Definition (Locally simply-connected).** A space  $X$  is *locally simply-connected* if each point  $x \in X$  has a neighbourhood basis of simply-connected open sets  $U$ .

**Definition (Semi-locally simply-connected).** A space  $X$  is called *semi-locally simply-connected* if every point  $x \in X$  has a neighbourhood  $U$  such that the inclusion  $U \hookrightarrow X$  induces the trivial map  $\pi_1(U, x) \rightarrow \pi_1(X, x)$ .

Observe that in the definition of semi-locally simply-connected, the neighbourhood  $U$  does not need to be simply-connected. A loop in  $U$  based at  $x$  may not contract to the constant map at  $x$  by a path homotopy in  $U$ , but it does contract to the constant map by a path homotopy in the larger space  $X$ . See Warm-Up Problem 3.

*Fact:* CW complexes are locally contractible, therefore locally simply-connected and semi-locally simply-connected. Hatcher proves this in Proposition A.4 and in this course you may assume it without proof.

The goal of this problem is to construct a simply-connected cover of any path-connected, locally path-connected, semi-locally simply-connected space. We will later show that such a cover is (in an appropriate sense) unique, and it is called the *universal cover*.

- (a) Suppose that  $X$  is simply-connected. Show that any two points in  $X$  are joined by a unique homotopy class of paths.
- (b) Let  $p : (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  be a covering map. Use the lifting properties to show that there is a bijection between homotopy classes of paths in  $X$  starting in  $x_0$  and homotopy classes of paths in  $\tilde{X}$  starting at  $\tilde{x}_0$ .

- (c) **Definition (The universal cover of  $X$ ).** Let  $X$  be a path-connected, locally path-connected, semi-locally simply-connected space with basepoint  $x_0$ . Define

$$\tilde{X} = \{[\gamma] \mid \gamma \text{ a path in } X \text{ based at } x_0\}$$

where  $[\gamma]$  is the homotopy class of the path  $\gamma$ . Define

$$\begin{aligned} p : \tilde{X} &\longrightarrow X \\ [\gamma] &\longmapsto \gamma(1) \end{aligned}$$

Let  $\mathcal{U} = \{U \subseteq X \mid U \text{ path-connected, open, and } \pi_1(U) \rightarrow \pi_1(X) \text{ is trivial}\}$ . We topologize  $\tilde{X}$  by defining a basis of open sets

$$U_{[\gamma]} = \{[\gamma \cdot \alpha] \mid U \in \mathcal{U}, \gamma \text{ a path from } x_0 \text{ to a point in } U, \alpha \text{ a path in } U \text{ with } \alpha(0) = \gamma(1)\}.$$

Show that the map  $p$  is well-defined and surjective.

- (d) Show that  $\mathcal{U}$  is a basis for the topology on  $X$ .  
 (e) Show that  $U_{[\gamma]} = U_{[\gamma']}$  if  $[\gamma'] \in U_{[\gamma]}$ . Conclude that the sets  $U_{[\gamma]}$  form the basis for a topology on  $\tilde{X}$ .  
 (f) Show that  $p$  is continuous. *Hint:* Show that, for  $U \in \mathcal{U}$ ,

$$p^{-1}(U) = \bigcup_{\gamma \text{ a path from } x_0 \text{ to } U} U_{[\gamma]}.$$

- (g) Show that  $p|_{U_{[\gamma]}}$  is a homeomorphism to  $U$ . Deduce that  $p$  is a covering map.  
 (h) Show that  $\tilde{X}$  is path-connected. *Hint:* For a point  $[\gamma] \in \tilde{X}$ , consider the path  $t \mapsto [\gamma_t]$ , where  $[\gamma_t] \in \tilde{X}$  is the homotopy class of the path

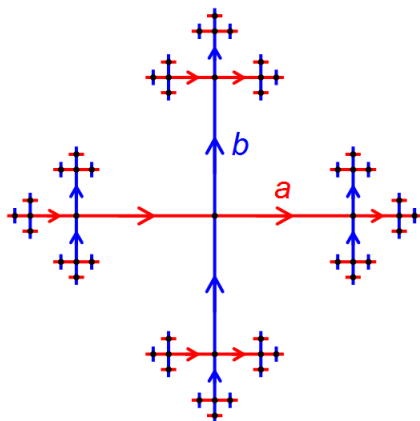
$$\gamma_t(s) = \begin{cases} \gamma(s), & 0 \leq s \leq t \\ \text{constant function at } \gamma(t), & t \leq s \leq 1. \end{cases}$$

- (i) Let  $[x_0]$  denote the class of the constant path in  $X$  at  $x_0$ . Show that  $\pi_1(\tilde{X}, [x_0]) = 0$ .  
*Hint:* We will prove in class that  $p_*$  is injective, so it suffices to show that its image is trivial. For a loop  $\gamma$  in  $X$  based at  $x_0$ , first show that  $t \mapsto [\gamma_t]$  is a lift of  $\gamma$ . Deduce that this lift is not a loop unless  $\gamma$  is trivial in  $\pi_1(X, x_0)$ .  
 (j) Give a brief/informal explanation of how we can identify the universal cover of  $S^1 \vee S^1$  with the infinite tree shown in Figure 1.
2. **(The covering space of  $X$  associated to  $H \subseteq \pi_1(X)$ ).** Let  $X$  be a path-connected, locally path-connected, semi-locally simply-connected space with basepoint  $x_0$ . Let  $H \subseteq \pi_1(X, x_0)$  be a subgroup. Define  $X_H$  to be the quotient of the universal cover  $\tilde{X}$  of  $X$  (Assignment Problem 1) by the equivalence relation

$$[\gamma] \sim [\gamma'] \quad \text{iff} \quad \gamma(1) = \gamma'(1) \text{ and } [\gamma \cdot \overline{\gamma'}] \in H.$$

*Hint:* You may read Hatcher Prop 1.36 while you complete this question.

- (a) Verify that  $\sim$  is well-defined and is an equivalence relation.  
 (b) Show that the covering map  $p : \tilde{X} \rightarrow X$  factors through a map  $p_H : X_H \rightarrow X$ .  
 (c) Verify that  $p_H$  is a covering map.  
 (d) Show that the image of  $(p_H)_*$  is  $H$ .
3. (a) The following lemma is proved in Hatcher Lemma 1A.3.
- Lemma.** Let  $X$  be a graph. Then every cover  $\tilde{X}$  of  $X$  is a graph, with vertices and edges the lifts of vertices and edges, respectively, in  $X$ .

Figure 1: The universal cover of  $S^1 \vee S^1$ 

Describe how to define a 1-dimensional CW complex structure on a cover  $\tilde{X}$  of a graph  $X$ . You do not need to give point-set details. You may read Hatcher while you write your solution.

(b) Prove the following theorem.

**Theorem.** Every subgroup of a free group is free.

4. (a) **(Topology QR Exam, May 2016).** Let  $X$  be the complement of a point in the torus  $S^1 \times S^1$ .
- (i) Compute  $\pi_1(X)$ .
  - (ii) Show that every map  $\mathbb{R}P^n \rightarrow X$  is nullhomotopic for  $n \geq 2$ .
- (b) **(Topology QR Exam, Jan 2022).** Let  $G$  be a graph, that is, a 1-dimensional CW complex. Let  $S^2$  denote the 2-sphere. For each of the following statements, either prove the statement, or give (with justification) a counterexample.
- (i) Every continuous map  $G \rightarrow S^2$  is nullhomotopic.
  - (ii) Every continuous map  $S^2 \rightarrow G$  is nullhomotopic.

*Some hints [which were not given on the QR exam]:* (i) Cellular approximation theorem, (ii) you can assume the result of Warm-Up Problem 2 without proof.

5. **(The Classification of Covering Spaces).**

- (a) **Definition (Isomorphism of covers).** Let  $p_1 : X_1 \rightarrow X$  and  $p_2 : X_2 \rightarrow X$  be covering maps. A continuous map  $f : X_1 \rightarrow X_2$  is an *isomorphism of covers* if  $f$  is a homeomorphism and  $p_1 = p_2 \circ f$ .

Use the lifting properties and uniqueness of lifts proved in class to prove the following proposition.

**Proposition (Uniqueness of the cover associated to a subgroup of  $\pi_1(X)$ ).** If  $X$  is path-connected and locally path-connected, then two path-connected covering spaces  $p_1 : X_1 \rightarrow X$  and  $p_2 : X_2 \rightarrow X$  are isomorphic via an isomorphism  $f : X_1 \rightarrow X_2$  taking a basepoint  $\tilde{x}_1 \in p_1^{-1}(x_0)$  to a basepoint  $\tilde{x}_2 \in p_2^{-1}(x_0)$  if and only if

$$(p_1)_*(\pi_1(X_1, \tilde{x}_1)) = (p_2)_*(\pi_1(X_2, \tilde{x}_2)).$$

- (b) Deduce the following important theorem, which is the culmination of your work on this and the previous assignment.

**Theorem (The classification of (based) covering spaces).** Let  $X$  be path-connected, locally path-connected, and semi-locally simply-connected. Then there is a bijection between the set of basepoint-preserving isomorphism classes of path-connected covering spaces  $p : (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  and the set of subgroups of  $\pi_1(X, x_0)$ , obtained by associating the subgroup  $p_*(\pi_1(\tilde{X}, \tilde{x}_0))$  to the covering space  $(\tilde{X}, \tilde{x}_0)$ .

- (c) Let  $p : \tilde{X} \rightarrow X$  be a covering map with  $\tilde{X}$  path-connected. Let  $x_0 \in X$  and let  $\tilde{x}_0, \tilde{x}_1 \in p^{-1}(x_0)$ . Analyze the change-of-basepoint map on  $\tilde{X}$  to prove that  $p_*(\pi_1(X, \tilde{x}_0))$  and  $p_*(\pi_1(X, \tilde{x}_1))$  are conjugate subgroups of  $\pi_1(X, x_0)$ .

- (d) Prove the following variation on the classification theorem.

**Theorem (The classification of (unbased) covering spaces).** Let  $X$  be path-connected, locally path-connected, and semi-locally simply-connected. Then there is a bijection between the set of isomorphism classes of path-connected covering spaces  $p : \tilde{X} \rightarrow X$  and the set of conjugacy classes of subgroups of  $\pi_1(X)$ .

6. **(Bonus).**

- (a) For your choice of one of the problems on this assignment: after solving the problem and writing your solution up independently, try asking the problem to a generative AI chatbot like ChatGPT. Did it produce a correct answer? If not, what mistakes did it make? Were you able to coax it to arrive at the right answer? Comment on the quality of its solution.
- (b) Have you found any ways (that do not violate any principles of academic integrity) to use generative AI in your work? Has it been useful? Discuss.