

## Worksheet on Inverse Limits and Completion

Let  $R$  be a ring,  $I \subset R$  an ideal, and  $M$  and  $R$ -module.

DEFINITION. A sequence in  $(x_n) \in M^{\mathbb{N}}$  is **Cauchy for the  $I$ -adic topology** if for all  $t \in \mathbb{N}$ , there exists  $d \in \mathbb{N}$  such that whenever  $n, m \geq d$ , we have  $x_n - x_m \in I^t M$ .  
 It converges to zero if for all  $t \in \mathbb{N}$ , there exists  $d \in \mathbb{N}$  such that  $x_n \in I^t M$  whenever  $n \geq d$ .

---

- (1) **COMPLETION OF MODULES.** Let  $\mathfrak{C}_I(M)$  denote the set of Cauchy sequences in  $M$ .
- Prove that  $\mathfrak{C}_I(M)$  is a module over the ring  $\mathfrak{C}_I(R)$ .
  - Prove the set  $\mathfrak{C}_I^0(M)$  of sequences converging to zero forms a  $\mathfrak{C}_I(R)$ -submodule  $\mathfrak{C}_I(M)$ .
  - Prove the abelian group  $\mathfrak{C}_I(M)/\mathfrak{C}_I^0(M)$  has the structure of an  $\widehat{R}^I$ -module. We denote this module by  $\widehat{M}^I$ , and call it the **completion of  $M$  along  $I$** .

- (2) **INVERSE LIMITS.** Consider the **inverse limit system** maps of sets

$$X_1 \xleftarrow{\nu_{12}} X_2 \xleftarrow{\nu_{23}} X_3 \xleftarrow{\nu_{34}} X_4 \xleftarrow{\nu_{45}} \dots$$

and let  $\nu_{ij}$  be the resulting composition from  $X_j$  to  $X_i$ . Define the **inverse limit**  $\varprojlim X_n$  to be the subset of the direct product  $\prod_{i=1}^{\infty} X_i$  consisting of all sequences

$$(x_1, x_2, x_3, \dots)$$

such that  $\nu_{ij}(x_j) = x_i$  for all  $i, j$ .

- Prove that if the  $X_i$  are abelian groups and the  $\nu_{ij}$  are group homomorphisms, then  $\varprojlim X_n$  has a naturally induced structure of an abelian group.
- Prove there are natural group homomorphisms  $\varprojlim X_n \xrightarrow{\pi_n} X_n$  for all  $n$  such that  $\pi_m = \nu_{mn} \pi_n$  for all  $n \geq m$ .
- Verify that (a) and (b) hold also in the category of rings, as well as the category of  $R$ -modules.
- Assume that (in the setting of (a))  $G$  is an abelian group such that
  - There is a group homomorphism  $G \xrightarrow{\phi_n} X_n$  for all  $n$ , and
  - $\phi_m = \nu_{mn} \circ \phi_n$  for all  $n \geq m$ .
 Prove there is a unique group homomorphism  $G \rightarrow \varprojlim X_n$  making all the relevant diagrams commute.
- Think through (d) in the category of sets, rings, and  $R$ -modules.

- (3) Let  $(x_n)_{n \in \mathbb{N}} \in \mathfrak{C}_I(M)$  be a Cauchy sequence. Fix  $t \in \mathbb{N}$ .
- Explain why the sequence of residues  $x_n \bmod I^t M$  is eventually constant as  $n \rightarrow \infty$ .
  - Use (a) to show there is a surjective group homomorphism  $\mathfrak{C}_I(M) \twoheadrightarrow M/I^t M$ .
  - Use (b) to show there is a surjective group homomorphism  $\widehat{M}^I \twoheadrightarrow M/I^t M$ .
  - Show that for any  $t' \leq t$ , the map for  $t'$  is the composition  $\widehat{M}^I \twoheadrightarrow M/I^t M \twoheadrightarrow M/I^{t'} M$ .
  - Find a ring homomorphism  $\widehat{R}^I \rightarrow \varprojlim_{n \rightarrow \infty} R/I^n$ .
  - Find a group homomorphism  $\widehat{M}^I \rightarrow \varprojlim_{n \rightarrow \infty} M/I^n M$ , and show that it is  $\widehat{R}^I$ -linear.

- (g) Prove that the maps in (e) and (f) are isomorphisms. [Hint: Given an element  $\widehat{R}^I$ , arbitrarily lift elements of  $R/I^n$  to a sequence in  $R$ . Show it is Cauchy and well-defined up to  $\mathfrak{C}_I^0$ .]
- (4) FORMAL POWER SERIES. Let  $S = R[x_1, \dots, x_n]$  be a polynomial ring over  $R$ , and let  $I = \langle x_1, \dots, x_n \rangle \subset S$ .
- (a) Explain why an element of  $S/I^n$  is uniquely represented by a polynomial of degree less than  $n$  in the  $x_i$ .
- (b) Use (a) to describe the sequences in  $\prod_{n \in \mathbb{N}} S/I^n$  that represent an element of the inverse limit  $\varprojlim S/I^n$ ?
- (c) Prove that  $\varprojlim S/I^n \cong R[[x_1, \dots, x_n]]$ .
- (5) Prove that if  $R$  is a Noetherian ring, then  $\widehat{R}^I$  is Noetherian.  
[Hint: Map the polynomial ring  $S = R[x_1, \dots, x_n]$  surjectively to  $R$  such that the  $x_i$  map to generators  $I$ . Construct a surjection for the inverse limits  $R[[x_1, \dots, x_n]] \rightarrow \widehat{R}^I$ .]
- (6) Let  $R = \frac{K[x_1, \dots, x_n]}{\langle g_1, \dots, g_t \rangle}$ . Prove that completing at  $\langle x_1, \dots, x_n \rangle$  produces  $\frac{K[[x_1, \dots, x_n]]}{\langle g_1, \dots, g_t \rangle}$ .
- (7) THE COMPLETION FUNCTOR.
- (a) Prove that if  $M \rightarrow N$  is an  $R$ -module homomorphism, then there is a naturally induced  $\widehat{R}^I$ -module homomorphism  $\widehat{M}^I \rightarrow \widehat{N}^I$ .
- (b) Prove that completion defines a functor from  $R$ -modules to  $\widehat{R}^I$ -modules.
- (c) Prove that the completion functor is right exact.

---

ARTIN-REES LEMMA: Let  $N \subset M$  be Noetherian modules over a Noetherian ring  $R$  and let  $I$  be an ideal of  $R$ . Then there is a constant positive integer  $c$  such that for all  $n \geq c$ ,  $I^n M \cap N = I^{n-c}(I^c M \cap N)$ . That is, eventually, each of the modules  $N_{n+1} = I^{n+1} M \cap N$  is  $I$  times its predecessor,  $N_n = I^n M \cap N$ .

---

- (8) Let  $N \subset M$  be Noetherian modules over the Noetherian ring  $R$ .
- (a) Assuming the Artin-Rees Lemma, show that there is a constant  $c$  such that for all  $n \geq c$ ,  $I^n M \cap N \subset I^{n-c} N$  for all  $n \geq c$ .
- (b) Show that if a sequence of elements in  $N$  is an  $I$ -adic Cauchy sequence in  $M$  (respectively, converges to 0 in  $M$ ) then it is an  $I$ -adic Cauchy sequence in  $N$  (respectively, converges to 0 in  $N$ ).
- (c) Prove that  $\widehat{N}^I \rightarrow \widehat{M}^I$  is injective.
- (d) Conclude that the **completion functor is exact on finitely generated  $R$ -modules**.
- (9) (a) Show that there is a natural map of  $R$ -modules  $M \rightarrow \widehat{M}^I$  whose kernel is  $\bigcap I^n M$ .
- (b) Show that there is a natural map of  $\widehat{R}^I$ -modules  $\widehat{R}^I \otimes_R M \rightarrow \widehat{M}^I$ .
- (c) Show that if  $R$  and  $M$  are Noetherian, then  $\widehat{R}^I \otimes_R M \cong \widehat{M}^I$  as  $\widehat{R}^I$ -modules.  
[Hint: Consider a presentation of  $M$  and use right exactness of tensor and completion.]
- (10) (a) Prove an  $R$ -module  $F$  is flat if and only if whenever  $N \subset M$  are finitely generated  $R$ -modules, the map  $F \otimes_R N \rightarrow F \otimes_R M$  is injective.
- (b) Deduce that  $\widehat{R}^I$  is a flat  $R$ -algebra when  $R$  is Noetherian.