

Worksheet on Krull's Theorems.

KRULL'S INTERSECTION THEOREM. Let (R, m) be a local Noetherian ring. Then $\bigcap_{n \in \mathbb{N}} m^n = 0$.

KRULL'S PRINCIPAL IDEAL THEOREM. Let R be a Noetherian ring, and $f \in R$. Then, every minimal prime of $\langle f \rangle$ has height at most one.

DEFINITION. An R -module M is **simple** if the only submodules are 0 and M .

DEFINITION. An R -module M has **finite length** if it has a finite filtration $0 = M_0 \subset M_1 \subset M_2 \subset \cdots \subset M_n = M$ in which each factor M_i/M_{i-1} is simple. By the Jordan-Holder Theorem, all such filtrations have the same length n , which we call the **length** of M .

DEFINITION. An R -module M is **Artinian** if every *descending* chain of submodules eventually stabilizes.

THEOREM. The following conditions on a ring R are equivalent.

- (i) R is Noetherian of Krull dimension 0.
 - (ii) R is a finite product of local rings of Krull dimension 0.
 - (iii) R has finite length as a module over itself.
 - (iv) R is Artinian as an module over itself (equivalently, R has DCC on ideals).
-

- (1) **PROOF OF KRULL INTERSECTION THEOREM.** Let (R, m) be a local Noetherian ring. Suppose that $\bigcap_{n \in \mathbb{N}} m^n = J$. We want to show that $J = 0$.
 - (a) Explain why (two words!) it suffices to show that $mJ = J$.
 - (b) Let $\mathfrak{q}_1 \cap \mathfrak{q}_2 \cap \cdots \cap \mathfrak{q}_t$ be a primary decomposition for mJ . Explain why it is enough to show that $J \subset \mathfrak{q}_i$ for all i .
 - (c) Show that if $\sqrt{\mathfrak{q}_i} \neq m$, then $J \subset \mathfrak{q}_i$. [Hint: Take $x \in m \setminus \mathfrak{p}_i$ and observe $xJ \subset mJ \subset \mathfrak{q}_i$.]
 - (d) Finally, show that if $\sqrt{\mathfrak{q}_i} = m$, then $J \subset \mathfrak{q}_i$. [Hint: Show there exists n such that $J \subset m^n \subset \mathfrak{q}_i$.]
- (2) **NAGATA'S IDEALIZATION TRICK.** Let R be any commutative ring (with 1) and M any R -module.
 - (a) Let $S = R \oplus M$ and define a multiplication by $(r \oplus m) \cdot (r' \oplus m') = rr' \oplus (rm' + r'm)$. Show that S is a commutative ring with 1.
 - (b) Show that $M = 0 \oplus M \subset S$ is an ideal of S , that $M^2 = 0$ and that $S/M \cong R$.
 - (c) Prove that every prime in S has the form $P \oplus M$ for some prime in R . In particular, $\text{Spec } R$ and $\text{Spec } S$ are homeomorphic.
 - (d) Prove that if R is local, so is S .
 - (e) Prove that if R and M are Noetherian, then so is S .
- (3) **COROLLARY OF KRULL'S INTERSECTION THEOREM.** Let M be a Noetherian module over a Noetherian local ring (R, m) . Prove that $\bigcap_{n \in \mathbb{N}} m^n M = 0$.
[Hint: Use Nagata's trick and apply the Krull intersection theorem to $(m \oplus M)$ in S .]
- (4) Let J any ideal of R such that $\mathbb{V}(J)$ is a finite set $\{m_1, \dots, m_t\}$ of *maximal* ideals. Prove that J has a primary decomposition as follows:

- (a) Show that $\sqrt{JR_{m_1} \cap R} = \sqrt{JR_{m_1}} \cap R = (m_1 \cap m_2 \cap \cdots \cap m_t)R_{m_1} \cap R = m_1R_{m_1} \cap R = m_1$.
- (b) Set $\mathfrak{q}_i = JR_{m_i} \cap R$. Prove that \mathfrak{q}_i is m_i -primary.
- (c) Show that $J = \mathfrak{q}_1 \cap \mathfrak{q}_2 \cap \cdots \cap \mathfrak{q}_t$. [Hint: Check $\frac{\mathfrak{q}_1 \cap \mathfrak{q}_2 \cap \cdots \cap \mathfrak{q}_t}{J} = 0$ by checking it each maximal ideal.]
- (d) Explain (using a theorem you've proved!) why this decomposition is unique.
- (e) Use the Chinese Remainder theorem to show also that $R/J \cong R/\mathfrak{q}_1 \times R/\mathfrak{q}_2 \times \cdots \times R/\mathfrak{q}_t$.
[Hint: Check $\mathfrak{q}_i + \mathfrak{q}_j$ is the unit ideal by computing its radical.]
- (5) (a) Show that M is a simple R -module iff $M \cong R/m$ for some maximal ideal m of R .
- (b) Show that for any R module M , $\ell(M) = \ell(M_1) + \ell(M/M_1)$ for any submodule M_1 . Here we interpret $\infty + d = \infty$.
- (c) Prove that any module of finite length over R is both Noetherian and Artinian.
- (d) Show that if $R = K$ is a field, then for any K -module M , finite length, Noetherian and Artinian are all equivalent.
- (e) * A module M over a Noetherian ring has finite length if and only if M is finitely generated and $\text{Ass}(M)$ contains only maximal ideals.
- (6) **PROOF OF THEOREM ON ZERO DIMENSIONAL RINGS.**
- (a) Prove that (i) implies (ii) by using (4) applied to the zero ideal of R .
- (b) Assume (R, m) is a Noetherian local ring of dimension zero. Show that $m^n = 0$ for some n , and that $\ell(R) = \sum_{i=0}^n \dim_{R/m}(m^i/m^{i+1})$. [Hint: Use (5b) and the chain $R \supset m \supset m^2 \supset \cdots$.]
- (c) Conclude that (ii) implies (iii).
- (d) Observe that (iii) implies (iv). Hint: This is quite general; see (5c).]
- (e) Show that if R is Artinian, so is every quotient ring.
- (f) Show that if R is Artinian, all primes are maximal. [Hint: If P is a non-maximal prime, take non-unit non-zero element $x \in R/P$. Use the stabilizer of $\langle x \rangle \supset \langle x^2 \rangle \supset \cdots$ to show that x is a unit in R/P .]
- (g) Show that if R is Artinian, then R has only finitely maximal ideals. [Hint: Look at $m_1 \supset m_1 \cap m_2 \supset \cdots$.]
- (h) Complete the proof of the theorem.
- (7) Consider the $\mathbb{Z}_{(p)}$ module $\mathbb{Q}/\mathbb{Z}_{(p)}$. Show that the classes of $\frac{1}{p^n}$ for all $n \in \mathbb{N}$ generate $\mathbb{Q}/\mathbb{Z}_{(p)}$, and that $\mathbb{Q}/\mathbb{Z}_{(p)}$ has DCC but not finite length. Why doesn't this contradict the Theorem?
- (8) **PROOF OF KRULL PRINCIPAL IDEAL THEOREM.**
- (a) Show that if a counterexample exists to the Krull principal ideal theorem, then there is a counterexample in which (R, m) is a local Noetherian domain of dimension at least two and $\langle f \rangle$ is a principal ideal which has m as its unique minimal prime.
- (b) With notation as in (a), prove that $\overline{R} = R/\langle f \rangle$ is zero dimensional.
- (c) Let $Q \subset R$ be a non-maximal prime ideal. Show that the symbolic powers
- $$Q\overline{R} \supset Q^{(2)}\overline{R} \supset Q^{(3)}\overline{R} \supset \cdots$$
- eventually stabilize; say $Q^{(m)}\overline{R} = Q^{(n)}\overline{R}$ for all $m \geq n$.
- (d) Prove that $Q^{(n)} = Q^{(m)} + fQ^{(n)}$ in R . [Hint: You will need to use the fact that $Q^{(n)}$ is Q -primary.]
- (e) Show that $Q^{(m)} = Q^{(n)}$ for all $m \geq n$ by applying NAK to the quotient $Q^{(n)}/Q^{(m)}$.
- (f) Show that if $Q \neq 0$, then $\bigcap_{m \in \mathbb{N}} Q^{(m)} \neq 0$. [Hint: Show that if $y \in Q$, then $y^n \in \bigcap_{m \in \mathbb{N}} Q^{(m)}$.]
- (g) Use Krull's Intersection Theorem to show $Q = 0$. [Hint: $Q^{(m)} \subset Q^m R_Q$.]
- (h) Complete the proof of Krull's Principal Ideal Theorem.
- (9) *COROLLARY: KRULL HEIGHT THEOREM. Let R be a Noetherian ring. Show that every minimal prime of an ideal generated by n elements has height at most n .