

# Worksheet on Noetherian rings and modules

**DEFINITION:** A ring  $R$  is **Noetherian** if every ascending chain of ideals of  $R$ ,

$$I_1 \subseteq I_2 \subseteq I_3 \subseteq \cdots$$

eventually stabilizes: there is some  $N$  for which  $I_n = I_{n+1}$  for all  $n \geq N$ .

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- (1) Show that each of the following is equivalent to  $R$  being Noetherian:
    - a) Every nonempty family of ideals  $\{I_\lambda\}_{\lambda \in \Lambda}$  has a maximal element<sup>1</sup>.
    - b) Every ascending chain of *finitely generated* ideals eventually stabilizes.
    - c) Given any generating set  $S$  for an ideal  $I$ , the ideal  $I$  is generated by a finite subset of  $S$ .
    - d) Every ideal of  $R$  is finitely generated.
  - (2)
    - a) Show that if  $R$  is Noetherian and  $I \subseteq R$  is an ideal, then  $R/I$  is Noetherian.
    - b) Show that if  $R/(f)$  is Noetherian for all  $f \in R \setminus \{0\}$ , then  $R$  is Noetherian.
  - (3) Show that fields and principal ideal domains are Noetherian.
  - (4) Which of the following rings are Noetherian?
    - (a)  $\mathbb{Q}$
    - (b)  $\mathbb{Z}$
    - (c)  $\mathbb{R}[x]$
    - (d)  $\mathcal{C}(\mathbb{R}, \mathbb{R})$ , the ring of continuous real-valued functions in one variable.
    - (e)  $\mathcal{C}^\infty(\mathbb{R}, \mathbb{R})$ , the ring of smooth real-valued functions in one variable.
    - (f)  $\mathbb{C}\{z\}$ , the subring of  $\mathbb{C}[[z]]$  consisting of functions holomorphic in a neighborhood of  $0 \in \mathbb{C}$ .
    - (g) The polynomial ring  $\mathbb{C}[x_1, x_2, x_3, \dots]$  in countably many variables.
  - (5\*) Show that  $R$  is Noetherian if and only if every prime ideal of  $R$  is finitely generated.
  - (6\*) If the set of prime ideals in  $R$  satisfies ACC, must  $R$  be Noetherian?
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**DEFINITION:** An  $R$ -module  $M$  is **Noetherian** if every ascending chain of submodules of  $M$ ,

$$M_1 \subseteq M_2 \subseteq M_3 \subseteq \cdots$$

eventually stabilizes: there is some  $N$  for which  $M_n = M_{n+1}$  for all  $n \geq N$ .

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- (7) Show that  $R$  is a Noetherian ring if and only if  $R$  is a Noetherian  $R$ -module.
- (8) Convince yourself that essentially the same argument as in (1) shows that each of the following is equivalent to  $M$  being Noetherian:
  - a) Every nonempty family of submodules  $\{M_\lambda\}_{\lambda \in \Lambda}$  has a maximal element.
  - b) Every ascending chain of *finitely generated* submodules eventually stabilizes.
  - c) Given any generating set  $S$  for a submodule  $N$ , the submodule  $N$  is generated by a finite subset of  $S$ .
  - d) Every submodule of  $M$  (including  $M$  itself!) is finitely generated.

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<sup>1</sup>This means that there is some  $\gamma \in \Lambda$  such that  $I_\gamma \subseteq I_\lambda$  implies  $I_\gamma = I_\lambda$  for any  $\lambda \in \Lambda$ .

- (9) Let  $N \subseteq M$  be  $R$ -modules.
- Show that if  $M$  is Noetherian, then  $N$  and  $M/N$  are both Noetherian.
  - Use the Lemma below to show that if  $N$  and  $M/N$  are Noetherian, then  $M$  is Noetherian.

**LEMMA:** Let  $M$  be a module, and  $M' \subseteq M''$  and  $N$  all be submodules of  $M$ . Then  $M' = M''$  if and only if  $M' \cap N = M'' \cap N$  and  $M'/(M' \cap N) = M''/(M'' \cap N)$ .

- (10) Let  $R$  be a Noetherian ring, and  $M$  an  $R$ -module. Show that  $M$  is Noetherian if and only if it is finitely generated. Conclude that in a Noetherian ring, every submodule of a finitely generated module is finitely generated.
- (11\*) Find examples of each of the following: a ring  $R$  and  $R$ -module  $M$  such that
- $R$  and  $M$  are both Noetherian;
  - $R$  is Noetherian and  $M$  is not;
  - $R$  is not Noetherian and  $M$  is;
  - neither  $R$  nor  $M$  is Noetherian.

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**THEOREM (THE HILBERT BASIS THEOREM):** If  $R$  is Noetherian, then  $R[x]$  is Noetherian.

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- (12) This problem outlines a proof of the Hilbert Basis Theorem.
- For a polynomial  $f(x) = r_n x^n + r_{n-1} x^{n-1} + \cdots + r_0$ , with  $r_i \in R$  and  $r_n \neq 0$ , we set  $\text{LT}(f) = r_n \in R$ . Show that if  $I \subset R[x]$  is an ideal, then  $\text{LT}(I) := \{\text{LT}(f) \mid f \in I\}$  is a (possibly improper) finitely generated ideal of  $R$ .
  - Pick  $f_1, \dots, f_t \in I$  such that  $\text{LT}(I) = (\text{LT}(f_1), \dots, \text{LT}(f_t))$ , and set  $N = \max_i \{\deg(f_i)\}$ . Show that every element  $f \in I$  can be written as  $f = \sum_i r_i f_i + g$  with  $g \in I$  of degree less than  $N$ .
  - Show that the set of  $g \in I$  of degree less than  $N$  is a finitely generated  $R$ -module.
  - Finish the proof.
- (13) Prove the corollary: if  $R$  is Noetherian, then every finitely generated  $R$ -algebra is Noetherian. In particular, finitely generated algebras over fields are Noetherian.