

## Worksheet on Valuation Rings

Fix a field  $K$ .

**DEFINITION:** A **valuation ring** of a field  $K$  is a subring  $V$  with the property that for all non-zero  $x \in K$ , we have  $x \in V$  or  $x^{-1} \in V$ .

**DEFINITION:** An abelian group  $(\Gamma, +)$  is **ordered** if there is some total ordering  $>$  on the elements of  $\Gamma$  which satisfies the axiom:  $x > y$  and  $z > w$  implies  $x + z > y + w$ .

**DEFINITION:** A **valuation** (or  $\Gamma$ -valued valuation) on  $K$  is a group homomorphism  $K^\times \xrightarrow{\nu} \Gamma$ , where  $\Gamma$  is an ordered abelian group, which satisfies the axiom:

$$\nu(x + y) \geq \min\{\nu(x), \nu(y)\}$$

for all  $x, y \in K^\times$ . We make the convention that  $\nu(0) = \infty$ , which is larger than any element of  $\Gamma$ .

If  $k \subset K$  is a subfield, we say that  $\nu$  is a  **$k$ -valuation** if  $\nu(\lambda) = 0$  for all  $\lambda \in k^\times$ .

**DEFINITION:** The **value group** of a valuation  $K^\times \xrightarrow{\nu} \Gamma$  is in the *image* of  $\nu$  in  $\Gamma$ . We say a valuation is **discrete** if the value group is isomorphic to  $\mathbb{Z}$ .

(1)  **$p$ -ADIC VALUATION RINGS.** Show that  $\mathbb{Z}_{(p)}$  is a valuation ring.

(2) Which of the following sets is a *valuation ring* of the field  $\mathbb{C}(x, y)$ .

(a) The subring  $R = \mathbb{C}[x, y]_{(y)}$ . [Hint: Use the UFD property of  $\mathbb{C}[x, y]$  to characterize elements of  $R$ .]

(b) The subring  $\mathbb{C}[x, y]_{(x, y)}$ . [Hint: Consider  $\frac{x}{y}$ .]

(c) Let  $S$  be the subset of rational functions  $f(x, y)/g(x, y)$  where order  $f \geq$  order  $g$ . Here, the **order**  $f \in \mathbb{C}[x, y]$  is the degree of the *lowest* degree non-zero term in  $f$  (or  $\infty$  if  $f = 0$ ).

(3) Prove that every ordered abelian group is torsion free. [Hint: Reduce to the case  $x > 0$ .]

(4) Let  $\nu$  be an arbitrary valuation on  $K$ . Say  $f, g \in K^\times$ .

(a) Show that  $\nu(\frac{1}{f}) = -\nu(f)$ .

(b) Show that  $\nu(f) = \nu(-f)$ . [Hint: Use (3).]

(c) Show that if  $\nu(f) > \nu(g)$ , then  $\nu(f + g) = \nu(g)$ . [Hint:  $(f + g) + (-f) = g$ .]

(5) Consider the field  $\mathbb{C}(x, y)$ .

(a) Show that there is a *unique*  $\mathbb{R}$ -valued  $\mathbb{C}$ -valuation  $\nu_\pi$  of  $\mathbb{C}(x, y)$  such that  $\nu_\pi(x^a y^b) = a + \pi b$ . What is the value group of  $\nu_\pi$ ? [Hint: Use (4) (a) and (c).]

(b) Consider  $\Gamma = \mathbb{Z} \oplus \mathbb{Z}$  as an ordered group with the lexicographical order, so that  $(a, b) > (c, d)$  if  $a > 0$  or  $a = c$  and  $b > d$ . Show that there is a *unique*  $\Gamma$ -valued  $\mathbb{C}$ -valuation  $\nu$  of  $\mathbb{C}(x, y)$  such that  $\nu(x^a y^b) = (a, b)$ . [Hint: Use (4).]

(c) Are either of the valuations constructed in (a) or (b) discrete?

(6) **VALUATIONS DETERMINE VALUATION RINGS.** Let  $\nu$  be an arbitrary valuation on  $K$ .

(a) Prove that the set  $V \subset K$  of elements  $f$  such that  $\nu(f) \geq 0$  is a valuation ring of  $K$ . We call this the **valuation ring** of  $\nu$ .

(b) Show that  $f$  is a unit in  $V$  if and only if  $\nu(f) = 0$ .

(c) Show that  $V$  is local with maximal ideal  $\{f \in K \mid \nu(f) > 0\}$ .

(d) Show that  $\forall \gamma \in \Gamma$ , the sets  $\{f \in K \mid \nu(f) > \gamma\}$  and  $\{f \in K \mid \nu(f) \geq \gamma\}$  are ideals of  $V$ .

(e) Show that if  $k \subset K$  is a subfield such that  $\nu|_{k^\times} = 0$ , then  $V$  is a  $k$ -algebra.

- (7) **MORE  $p$ -ADIC VALUATIONS.** Fix a prime number  $p$ .
- Using the UFD property of  $\mathbb{Z}$ , explain why every non-zero element  $a/b$  of  $\mathbb{Q}$  can be written (up to sign and reordering) as  $p_1^{a_1} \dots p_t^{a_t}$  where the  $p_i$  are distinct primes and  $a_i \in \mathbb{Z}$ .
  - For non-zero  $a/b \in \mathbb{Q}$ , define  $\nu_p(a/b) = a_p$ , the exponent of  $p$  where  $a/b$  is written as in (a). Show that  $\nu_p$  is a discrete valuation on  $\mathbb{Q}$ .
  - Show that the valuation ring of  $\nu_p$  is  $\mathbb{Z}_{(p)}$ .
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- (8) **IDEALS IN VALUATION RINGS.** Let  $V$  be a valuation ring of  $K$ .
- Show that for any two non-zero elements  $x, y \in V$ , either  $x|y$  or  $y|x$ .
  - Show that every finitely generated ideal of  $V$  is *principal*.<sup>1</sup>
  - Prove that the ideals of  $V$  form a totally ordered set. That is, for any two ideals  $I, J$  in  $V$ , either  $I \subset J$  or  $J \subset I$ . [Hint: If  $x \in I$ ,  $x \notin J$ , consider whether  $y \in J$  can divide  $x$ .]
  - Prove that valuation rings are local.
- (9) Explain why a *Noetherian* valuation ring is a local PID. Compute its Krull dimension. [Use (8).]
- (10) Let  $\nu : K^\times \rightarrow \mathbb{Z}$  be a discrete valuation on  $K$ , and let  $(V, m)$  be the corresponding **discrete valuation ring**.
- Let  $I \subset V$  be any non-zero ideal, explain why we can choose an element  $f \in I$  such that  $\nu(f)$  is minimal (among elements in  $I$ ).
  - Prove that every ideal of  $V$  is principal. In particular, a discrete valuation ring is Noetherian.
  - Let  $t$  generate  $m$ . Show that all other ideals in  $V$  are generated by  $t^n$  for some  $n \in \mathbb{N}$ .
- (11) For the  $\mathbb{R}$ -valued valuation  $\nu_\pi$  on  $\mathbb{C}(x, y)$  constructed in (5a), let  $(V, m)$  be the valuation ring.
- Prove that  $m$  is not finitely generated. [Hint: for any positive real number  $\epsilon$ , we can always find  $a, b \in \mathbb{Z}$  such that  $0 < a + b\pi < \epsilon$ .]
  - Prove that  $m$  is the only non-zero radical ideal. [Hint: If  $I$  is radical, take  $f \in I$ . Now take arbitrary  $h \in m$  and show that  $h^n \in \langle f \rangle \subset I$  for  $n \gg 0$ .]
  - Prove that  $V$  is a non-Noetherian ring of Krull dimension one.
- (12) Consider the  $\mathbb{Z} \oplus \mathbb{Z}$ -valued valuation  $\nu$  on  $\mathbb{C}(x, y)$  from (5b). Let  $(V, m)$  be its valuation ring.
- Show that  $m := \{f \in K \mid \nu(f) \geq (0, 1)\}$ , and that this ideal is generated by  $y$ .
  - Show that the ideal  $\langle x \rangle$  of  $V$  is not prime. [Hint:  $x = xy^{-1} \cdot y$ .]
  - Show that the set  $P := \{f \in V \mid \nu(f) = (a, b) \text{ where } a \geq 1\}$  is a prime, non-maximal ideal of  $V$ .
  - Show that  $\dim V \geq 2$ . Even more (\*): show  $\dim V = 2$ .
  - Construct an infinite ascending chain of ideals of  $V$ . [Hint:  $\langle xy^{-1} \rangle \subset \langle xy^{-2} \rangle \subset \dots$  ]
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- (13) **VALUATION RINGS DETERMINE VALUATIONS.** Let  $V$  be a valuation ring of  $K$  (with  $V \neq K$ ).
- Let  $\Gamma'$  be the set of non-zero principal ideals of  $V$ . Show that  $\Gamma'$  has the structure of a totally ordered abelian monoid (under multiplication). What is the identity element?
  - Explain how to extend  $\Gamma'$  to an ordered abelian group  $\Gamma$  whose elements are the rank one free  $V$ -submodules of  $K$ .
  - Construct a valuation  $\nu : K^\times \rightarrow \Gamma$  whose valuation ring recovers  $V$ .
  - Show that if  $V$  is Noetherian, then the corresponding valuation is **discrete**—that is,  $\Gamma \cong \mathbb{Z}$ .

<sup>1</sup>Likely, your argument also shows that any ideal  $I$  that contains an element  $f$  such that  $\nu(f)$  is minimal is principal.