

## Worksheet on Algebraic Sets

Fix a field  $K$ .

- (1) For a point  $p = (a_1, \dots, a_n) \in K^n$ , consider the “evaluation at  $p$ ” map  $K[x_1, \dots, x_n] \xrightarrow{e_p} K$  sending  $f \mapsto f(p)$ .
- (a) Show that  $e_p$  is a ring homomorphism and find generators for its kernel,  $m_p$ .
  - (b) Show that evaluation gives a bijection between  $K^n$  and the subset of  $\text{Spec } K[x_1, \dots, x_n]$  consisting of maximal ideals whose residue field is isomorphic to  $K$ .
  - (c) Find a maximal ideal of  $\mathbb{R}[x, y]$  not of the form  $m_p$  for any  $p \in \mathbb{R}^2$ .
  - (d) Use Hilbert’s Nullstellensatz (below) to describe the points of  $\text{maxSpec } \mathbb{C}[x_1, \dots, x_n]/I$ .

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**Hilbert’s Nullstellensatz:** If  $K$  is algebraically closed, then *every* maximal ideal of  $K[x_1, \dots, x_n]$  is of the form  $m_p$  for some  $p \in K^n$ .

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- (2) **ALGEBRAIC SETS.** Let  $\mathcal{S}$  be any collection of polynomials in  $K[x_1, \dots, x_n]$ . Define the corresponding **algebraic set** in  $K^n$  as

$$\mathbb{V}(\mathcal{S}) := \{p \in K^n \mid f(p) = 0 \forall f \in \mathcal{S}\}.$$

- (a) Prove  $\mathbb{V}$  is order-reversing: if  $\mathcal{S} \subset \mathcal{S}'$ , then  $\mathbb{V}(\mathcal{S}') \subset \mathbb{V}(\mathcal{S})$ .
- (b) Show that  $\mathbb{V}(\mathcal{S}) = \mathbb{V}(\langle \mathcal{S} \rangle) = \mathbb{V}(\sqrt{\langle \mathcal{S} \rangle})$ .
- (c) Prove that every algebraic set can be defined by *finitely many* polynomials.
- (d) Describe each algebraic set in the cases below:
  - i).  $\mathcal{S} = \{x^2 - y\} \subset \mathbb{R}[x, y]$ .
  - ii).  $\mathcal{S} = \{n(x^2 + y^2 - z^2) \mid n \in \mathbb{N}\} \subset \mathbb{R}[x, y, z]$ .
  - iii). For  $K$  arbitrary,  $\mathcal{S}$  any collection of *linear* polynomials in  $n$  variables.
  - iv).  $\mathcal{S} = \{x^2 + 1\} \subset \mathbb{R}[x]$ .
  - v).  $\mathcal{S} = \{x^2 + 1\} \subset \mathbb{C}[x]$ .
  - vi).  $\mathcal{S} = \langle f \rangle$ , where  $f$  is an arbitrary non-zero, non-constant in  $\mathbb{C}[x]$ .
  - vii).  $K$  algebraically closed,  $\mathcal{S} \subset K[x]$  arbitrary. (There are three cases).
- (e) Explain why  $SL_n(n, K)$  has the structure of an algebraic set.
- (f) Explain why the set of  $m \times n$  matrices of rank  $< t$  is an algebraic set (contained in  $K^{m \times n}$ ).

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- (3) Let  $V \subset K^n$  be an algebraic set. Define an ideal in  $K[x_1, \dots, x_n]$  by

$$\mathbb{I}(V) := \{f \in K[x_1, \dots, x_n] \mid f(p) = 0 \forall p \in V\}.$$

- (a) Show that  $\mathbb{I}(V)$  is a radical ideal in  $K[x_1, \dots, x_n]$ .
- (b) For  $V = \mathbb{V}(\mathcal{S})$ , show that  $\langle \mathcal{S} \rangle \subset \sqrt{\langle \mathcal{S} \rangle} \subset \mathbb{I}(V)$ .
- (c) For  $V = \mathbb{V}(\mathcal{S})$ , show that  $\mathbb{V}(\mathcal{S}) = \mathbb{V}(\mathbb{I}(V))$ .
- (d) Give an example (when  $K = \mathbb{R}$ , say) to show that  $\sqrt{\langle \mathcal{S} \rangle} \subset \mathbb{I}(V)$  can be *proper*.
- (e) When  $K$  is algebraically closed, use the Nullstellensatz (below) to find explicit bijections between algebraic sets in  $K^n$  and radical ideals in  $K[x_1, \dots, x_n]$ .

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**Hilbert’s Nullstellensatz:** If  $K$  is algebraically closed, then for all algebraic sets  $V \subset K^n$ ,  $\mathbb{I}(V) = \sqrt{\langle \mathcal{S} \rangle}$  as ideals in  $K[x_1, \dots, x_n]$ .

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- (4) COORDINATE RINGS. Let  $V \subset K^n$  be an algebraic set. Let  $\mathcal{R}$  be the ring of *all*  $K$ -valued functions on the set  $V$ . Consider the restriction map  $\rho : K[x_1, \dots, x_n] \rightarrow \mathcal{R}$  sending  $f \mapsto f|_V$ .
- Verify that  $\rho$  is a ring homomorphism and compute its kernel. The image is called the **coordinate ring** of  $V$  and denoted  $K[V]$ .
  - Prove that  $\mathcal{R}$ , and hence  $K[V]$ , is *reduced*.
  - Give a  $K$ -algebra presentation for  $K[V]$ . Explain why  $K[V]$  is called the **coordinate ring**. [Note: the “ $i$  - th coordinate function” on  $K^n$  is the function  $x_i$  which takes each point  $p = (a_1, \dots, a_n) \in V$  to its  $i$  - th coordinate  $a_i$ .]
  - Describe the coordinate ring for the following algebraic sets:
    - $\mathbb{V}(y - x^2) \subset K^2$ .
    - $\mathbb{V}(y^2, xy, x^{17}) \subset K^2$ .
    - \* The set of  $3 \times 3$  matrices over  $\mathbb{F}_5$  of determinant  $1_{\mathbb{F}_5}$  and trace  $0_{\mathbb{F}_5}$ .
- (5) Let  $R$  be a finitely generated  $K$  algebra. Fix a presentation  $R \cong K[x_1, \dots, x_n]/I$ . Describe natural bijections between the following three sets
- $\mathbb{V}(I) \subset K^n$
  - Maximal ideals of  $R$  with residue field isomorphic to  $K$ .
  - $\text{Hom}_{K\text{-alg}}(R, K)$ , the set of morphisms from  $R$  to  $K$  in the category of  $K$ -algebras.
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- (6) Fix an algebraic set  $V$  in  $K^n$ . Define the **Zariski topology** on  $V$  by letting the closed sets be *sub-algebraic sets*.
- Make sure you can prove this really defines a topology.
  - Show  $D(f) = \{p \in V \mid f(p) \neq 0\} \subset V$  (where  $f$  ranges through  $f \in K[V]$ ) form a basis.
  - Show that the map  $V \rightarrow \text{maxSpec } k[V]$  sending a point  $p$  to  $m_p$  is a homeomorphism.
  - The Zariski topology on  $V$  can be identified with the subspace of *closed points* in  $\text{Spec } K[V]$ . Why?
  - Is the Zariski topology on  $V$  Hausdorff?
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- (7) Let  $f_1, \dots, f_t$  be polynomials in  $n$  variables. Consider the map  $\Phi : K^n \rightarrow K^t$  given by  $p \mapsto (f_1(p), \dots, f_t(p))$ .
- Show that there is an induced map between coordinate rings  $\phi : K[K^t] \rightarrow K[K^n]$  sending  $g \mapsto g \circ \Phi$ . Describe  $\phi$  explicitly in terms of the coordinate-function generators for  $K[K^t]$ .
  - For algebraic sets  $V \subset K^n$  and  $W \subset K^t$ , show that  $\Phi(V) \subset W$  if and only if  $\phi(\mathbb{I}(W)) \subset \mathbb{I}(V)$ .
  - Show that  $\Phi$  restricts to a map  $V \xrightarrow{\Phi|_V} W$  if and only if the map  $K[K^t] \xrightarrow{\Phi} K[K^n] \xrightarrow{\rho} K[V]$  factors through  $K[W]$ . [Here,  $\rho$  is the restriction map.]
  - For each of the given maps of coordinate rings, describe the corresponding map of algebraic sets. Compare to the corresponding maps of  $\text{Spec}$ .
    - $\mathbb{C}[x, y] \rightarrow \mathbb{C}[t]$  with  $x \mapsto t, y \mapsto t$ .
    - $\mathbb{C}[t] \rightarrow \mathbb{C}[x, y]$  with  $t \mapsto x$ .
    - $\mathbb{C}[t] \hookrightarrow \mathbb{C}[t, x, y]/\langle xy - t \rangle$ .
- (8) \* Show that the image of the map  $K \rightarrow K^3$  sending  $t \mapsto (t, t^2, t^3)$  is an algebraic set defined by **two** polynomials. Is the image of *every* polynomial map  $K^n \rightarrow K^t$  an algebraic set?

- (9) \* Let  $\zeta_n$  be an  $n$ -th root of unity and let the cyclic group  $C_n = \langle \zeta_n \rangle$  act  $\mathbb{C}$ -linearly on  $\mathbb{C}[x, y]$  by  $x \mapsto \zeta_n x, y \mapsto \zeta_n y$ . Show that the ring of invariant polynomials  $R = \{f \in \mathbb{C}[x, y] \mid g \cdot f = f \ \forall g \in C_n\}$  is finitely generated and show that  $\max\text{Spec } R$  can be identified with the set of orbits for the induced action of  $C_n$  on  $K^2$ .