

## Math 775, Homework 2-part 1

### Large Sieve Problems

0. (Warm-up Brain Teaser) Let  $||\alpha|| = \min\{|\alpha - n| : n \in \mathbb{Z}\}$  be the distance to the nearest integer. Show that for all real  $\alpha$ ,

$$|\sin \pi\alpha| \geq 2||\alpha||.$$

(Find a proof by picture.)

1. (*Brun-Titchmarsh Theorem*)

Take  $\mathcal{A}$  to be the set of primes in  $[M, M + N]$ .

(i) Deduce from the large sieve inequality that

$$\pi(M + N) - \pi(M) \leq \frac{N + O(Q^2 \log Q)}{\sum_{q \leq Q} \frac{\mu(q)^2}{\varphi(q)}}$$

where  $\varphi(q)$  is Euler's totient function.

(ii) Prove that

$$\sum_{q \leq Q} \frac{\mu(q)^2}{\varphi(q)} = \log Q + O(1).$$

(iii) Taking  $Q = \frac{\sqrt{N}}{\log N}$ , conclude that

$$\pi(M + N) - \pi(M) \leq (2 + o(1)) \frac{N}{\log N}.$$

[This result says that primes in short intervals can contain at most twice the number of primes that occur in the initial interval  $[1, N]$ . What would be the constant that replaces  $2 + o(1)$ , in the corresponding lower bound?]

2. (*Brun-Titchmarsh Theorem for Arithmetic Progressions*) Construct a similar argument to prove the a similar result for primes in arithmetic progressions:

$$\pi(x + y; q, a) - \pi(x; q, a) \leq (2 + o(1)) \frac{y}{\varphi(q) \log(y/q)}$$

3. (\*) (*Improved Brun-Titchmarsh Theorem- A consequence*) Suppose that one can prove a version of the Brun-Titchmarsh theorem in which the constant 2 (on the right side in the inequality of problem 2) is replaced with 1.99.

Deduce from this improved theorem that the Dirichlet  $L$ -functions of real characters have no exceptional zeros! That is, deduce a good lower bound on how close the real zero can be to  $s = 1$ .

4. (*Large Sieve and Quadratic Residues*) Suppose that  $\mathcal{A}$  is a set of integers in  $[1, N]$  such that each  $a \in \mathcal{A}$  is for each prime  $p$ , either divisible by  $p$ , or is a quadratic residue (mod  $p$ ). Prove that

$$|\mathcal{A}| \ll \sqrt{N \log N}.$$

[This is a “large sieve” inequality because we are sieving out a large number of residue classes—namely, half the residue classes for each prime  $p$ . A more detailed argument can show the stronger result  $|\mathcal{A}| \ll \sqrt{N}$ , which is optimal (up to a multiplicative constant) by taking  $\mathcal{A} = \{k^2 : k \leq \sqrt{N}\}$ .]

**Interlude.** For the next two problems, let  $\Phi$  be a vector in an inner product space  $V$  and suppose that  $\varphi_1, \dots, \varphi_N$  are orthonormal vectors in this space. Note that for any  $u_i \in \mathbb{C}$ ,

$$\|\Phi - \sum_i u_i \varphi_i\|^2 \geq 0.$$

from which it follows that

$$\|\Phi\|^2 - 2\operatorname{Re}\left(\sum_{i=1}^N \langle \Phi, u_i \varphi_i \rangle\right) + \sum_{i=1}^N |u_i|^2 \geq 0.$$

Choosing  $u_i = \langle \Phi, \varphi_i \rangle$  we deduce *Bessel’s inequality*

$$\|\Phi\|^2 \geq \sum_{i=1}^N |\langle \Phi, \varphi_i \rangle|^2$$

If the  $\varphi_i$  span the space, then we deduce *Parseval’s equality*.

5. (*Selberg’s inequality*) Now suppose that the  $\varphi_i$  in  $V$  are not necessarily orthogonal. Then using in the above argument the bound

$$\begin{aligned} \sum_{i=1}^N \sum_{j=1}^N u_i \bar{u}_j \langle \varphi_i, \varphi_j \rangle &\leq \frac{1}{2} \sum_{i=1}^n \sum_{j=1}^n (|u_i|^2 + |u_j|^2) |\langle \varphi_i, \varphi_j \rangle| \\ &= \sum_{i=1}^n |u_i|^2 \left( \sum_{j=1}^N |\langle \varphi_i, \varphi_j \rangle| \right), \end{aligned}$$

deduce Selberg’s inequality: For all  $\Phi \in V$  there holds

$$\sum_{i=1}^N \frac{|\langle \Phi, \varphi_i \rangle|}{\sum_{j=1}^N |\langle \varphi_i, \varphi_j \rangle|} \leq \|\Phi\|^2.$$

*Note.* This reviews an inequality proved in class.

6. (*Large Sieve-Additive Form*) Use Selberg’s inequality to derive an alternative proof of the large sieve bound in a weak additive form:

$$\left| \sum_{r=1}^R \left| \sum_{M+1}^{M+N} a_n e(n\alpha_r) \right|^2 \right| \leq (N + O(\frac{1}{\delta} \log R)) \left( \sum_{n=M+1}^{M+N} |a_n|^2 \right).$$

Here the  $\alpha_r$  are assumed  $\delta$ -well spaced modulo one.