

PROBLEM SET 6 – DUE FRIDAY MARCH 23

See the course website for policy on collaboration.

1. In this problem, we show that “connected” and “path connected” are the same for manifolds.
  - (a) For  $X$  a topological space, and  $x_0$  and  $x_1 \in X$ , we define  $x_0 \sim x_1$  if there is a continuous map  $\phi : [0, 1] \rightarrow X$  with  $\phi(0) = x_0$  and  $\phi(1) = x_1$ . Show that  $\sim$  is an equivalence relation. The equivalence classes of  $\sim$  are called “path connected components” of  $X$ . Now, let  $X$  be a topological manifold.
    - (b) Verify that the path connected components of  $X$  are open in  $X$ .
    - (c) Verify that the path connected components of  $X$  are closed in  $X$ .
2. In this problem, we will explain why we don’t need to include smoothness of the inverse in the axioms of a Lie group. You may wish to look at Problem 3 on the previous problem set. Let  $G$  be a smooth manifold and let  $\mu : G \times G \rightarrow G$  be a smooth map making  $G$  into a group. Define  $\tau : G \times G \rightarrow G \times G$  by

$$\tau(g, h) = (g, gh).$$

- (a) Show that  $\tau$  is bijective and the linear map  $D\tau_{(g,h)}$  is an isomorphism for all  $g$  and  $h$ .
  - (b) Conclude that  $\tau^{-1}$  is a smooth map; deduce that the map  $g \mapsto g^{-1}$  from  $G \rightarrow G$  is smooth.
3. In our definition of a manifold, we started with a topological space  $X$  and a bunch of patches  $f : P \rightarrow U \subset X$ . Sometimes, we are given  $X$  only as a set and want to use the patches to define the topology. This problem spells out the details. To make it shorter, we do the topological manifold version; the smooth version is analogous.

Let  $X$  be a set, and let  $U_i$  be subsets of  $X$  with  $X = \bigcup_i U_i$ . Let  $P_i$  be subsets of  $\mathbb{R}^d$  and let  $f_i : P_i \rightarrow U_i$  be a bijection.

Suppose that, for all  $i$  and  $j$ , the set  $f_i^{-1}(U_i \cap U_j)$  is open in  $P_i$ , and the map  $f_j^{-1} \circ f_i : f_i^{-1}(U_i \cap U_j) \rightarrow f_j^{-1}(U_i \cap U_j)$  is a homeomorphism. Define  $V \subset X$  to be open if and only if, for all  $V$ , the set  $f_i^{-1}(V)$  is open in  $P_i$ .

- (a) Show that this is a topology on  $X$ .
  - (b) Show that the map  $f_i : P_i \rightarrow U_i$  is a homeomorphism, in the subspace topology on  $X$ .
4. We finally get around to checking a claim from class a few weeks ago: Let  $V$  be a finite dimensional real vector space. Let  $K \subset V$  be a subgroup (under addition) which is discrete (meaning that, for any  $g \in K$ , there is an open set  $U \subset V$  with  $U \cap K = \{g\}$ ). We show that  $K = \mathbb{Z}v_1 \oplus \cdots \oplus \mathbb{Z}v_k$  for some linearly independent set  $\{v_1, \dots, v_k\}$ .

Our proof is by induction on  $\dim V$ , and we note that the theorem is obvious when  $K = \{0\}$ . So we assume  $\dim V > 0$  and  $K \neq \{0\}$  from now on.

Choose a positive definite dot product at  $V$ , and let  $v_k$  minimize  $v \cdot v$  over all nonzero  $v \in K$ . Put  $V' = V/\mathbb{R}v_k$  and  $K' = K/\mathbb{Z}v_k$ .

- (a) Show that  $K'$  injects into  $V'$ .
  - (b) Show that  $K'$  is a discrete subgroup of  $V'$ . (This is meant to be a bit challenging.)  
By induction,  $K' = \mathbb{Z}v'_1 \oplus \cdots \oplus \mathbb{Z}v'_{k-1}$  for some linearly independent  $v'_1, \dots, v'_{k-1}$  in  $V'$ .
  - (c) Explain how to finish the proof and show  $K = \mathbb{Z}v_1 \oplus \cdots \oplus \mathbb{Z}v_k$  for some linearly independent set  $\{v_1, \dots, v_k\}$ .

**One more challenging problem on back!**

5. Let  $H$  be the Lie group of matrices of the form  $\left\{ \begin{bmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{bmatrix} : x, y, z \in \mathbb{R} \right\}$ . Let  $Z$  be the subgroup  $\left\{ \begin{bmatrix} 1 & 0 & z \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} : z \in \mathbb{Z} \right\}$ . In this problem, we will prove that  $H/Z$  is not a subgroup of any  $\mathrm{GL}_N(\mathbb{R})$ .

(a) Check that  $Z$  is a normal subgroup of  $H$ , so  $H/Z$  is a group.

Let  $\bar{\rho} : H/Z \rightarrow \mathrm{GL}_N(\mathbb{R})$  be a map which is both smooth and a group homomorphism. Our goal is to show that  $\bar{\rho}$  is not injective. Let  $\rho$  be the composite  $H \rightarrow H/Z \rightarrow \mathrm{GL}_N(\mathbb{R})$ . Let  $\mathfrak{h}$  be the Lie algebra of  $H$ , with basis  $e = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$ ,  $f = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$ ,  $g = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$ . Let  $\mathfrak{g}$  be the Lie algebra of  $\mathrm{GL}_N(\mathbb{R})$ . So  $D\rho_{\mathrm{Id}}$  maps  $\mathfrak{h} \rightarrow \mathfrak{g}$ ; abbreviate  $D\rho_{\mathrm{Id}} = \sigma$ .

Recall from the November 17 IBL that  $\rho(e^X) = e^{\sigma(X)}$  and  $[\sigma(X), \sigma(Y)] = \sigma([X, Y])$ .

(b) Show that  $\sigma(g)$  is diagonalizable with eigenvalues of the form  $2\pi ik$ .

Let  $m_k$  be the multiplicity of  $2\pi ik$  as an eigenvalue of  $\sigma g$ . We change bases so that  $\sigma(g)$  is block diagonal, with blocks  $(2\pi ik)\mathrm{Id}_{m_k}$ .

(c) Show that  $\sigma(e)$  and  $\sigma(f)$  are block diagonal, with blocks of size  $m_k \times m_k$ .

We'll call these blocks  $E_k$  and  $F_k$ .

(d) Show that  $[E_k, F_k] = (2\pi ik)\mathrm{Id}_{m_k}$ .

(e) Show that  $m_k = 0$  for  $k \neq 0$ . (Hint: This uses the previous part, and a clever little trick.)

(f) Deduce, finally, that  $\bar{\rho}$  is not injective.