

PROBLEM SET 7 – DUE NOVEMBER 5TH

Please see the course website for homework policy.

**Problem 1** Fix a positive integer  $d$ ; in this problem we will study  $d$ -regular graphs on  $n$  vertices. Let  $A(G)$  be the adjacency matrix and  $\lambda_i$  its eigenvalues. On the previous problem set, we proved the following: For any  $\epsilon > 0$ , there is an  $N$  such that, if  $n > N$ , then  $\max(|\lambda_2|, |\lambda_n|) > \sqrt{d} - \epsilon$ . In this problem, we will improve  $\sqrt{d}$  to  $\sqrt{2d-1}$ . Fix  $c > 0$ .

(a) Let  $B = A^2 - (d - (1+c)/2)$ . Show that  $\text{Tr}(B^2) \geq n(d^2 - d + (1+c)^2/4)$ . When does equality occur?

Let  $g = \sqrt{2d-1} - c$ .

(b) Suppose that all the eigenvalues of  $A$ , other than  $d$ , are in  $(-g, g)$ . Show that  $\text{Tr}(B^2) \leq (d^2 - d + (1+c)/2)^2 + (n-1)(d - (1+c)/2)^2$ .

(c) Show that the above inequalities imply a finite upper bound for  $n$  (dependent on  $c$  and  $d$ ).

**Problem 2** Let  $G$  be a graph. We define a double of  $G$  to be a graph  $DG$  as follows: Each vertex  $v$  in  $G$  gives two distinct vertices  $v_1$  and  $v_2$  in  $DG$ . If there is an edge  $(v, w)$  in  $G$ , then *either* there are edges  $(v_1, w_1)$  and  $(v_2, w_2)$ , or else there are edges  $(v_1, w_2)$  and  $(v_2, w_1)$  (but not both). There are no other edges in  $DG$ .

(a) Show that every eigenvalue of  $A(G)$  is an eigenvalue of  $A(DG)$ .

(b) Define a matrix  $B$  as follows: there is a row and a column of  $B$  for every vertex of  $G$ . For two vertices  $v$  and  $w$  of  $G$ , we have  $B_{vw} = 0$  if there is no edge  $(v, w)$  in  $G$ ; we have  $B_{vw} = 1$  if edges  $(v_1, w_1)$  and  $(v_2, w_2)$  occur in  $DG$ ; and we have  $B_{vw} = -1$  if edges  $(v_1, w_2)$  and  $(v_2, w_1)$  occur in  $DG$ . Show that every eigenvalue of  $B$  is an eigenvalue of  $A(DG)$ .

**Problem 3** Let  $A$  be an  $n \times n$  symmetric matrix with eigenvalues  $\lambda_1 \geq \lambda_2 \geq \dots \geq \dots \geq \lambda_n$ . Let  $B$  be the upper left  $m \times m$  submatrix, with eigenvalues  $\mu_1 \geq \mu_2 \geq \dots \geq \mu_m$ .

(a) Show that  $\mu_1 \leq \lambda_1$ .

(b) Let  $\vec{v}_i$  be the eigenvectors of  $A$ . Show that there is some vector  $\vec{u}$  which is supported in the first  $m$ -coordinates and is in the span of  $\vec{v}_1, \vec{v}_2, \dots, \vec{v}_{n-m}, \vec{v}_{1+n-m}$ . Use this fact to show that  $\mu_1 \geq \lambda_{1+n-m}$ .

(c) If we repeat these arguments for  $\mu_m$ , what bounds do we get?

Let  $k \leq m$ . In the next two parts, we will find bounds for  $\mu_k$  in terms of the  $\lambda_i$ .

(d) Write  $C$  for the upper left  $(m-k+1) \times (m-k+1)$  submatrix and  $\gamma_i$  for its eigenvalues. Show that we can change bases, without changing the eigenvalues of  $A$  and  $B$ , so that  $\mu_k = \gamma_1$ . Deduce that  $\mu_k \geq \lambda_{k+n-m}$ .

(e) Write  $D$  for the upper left  $k \times k$  submatrix and  $\delta_i$  for its eigenvalues. Show that we can change bases, without changing the eigenvalues of  $A$  and  $B$ , so that  $\mu_k = \delta_k$ . Deduce that  $\mu_k \leq \lambda_k$ .

(f) The above results give some very crude restrictions on subgraphs of Ramanujan graphs. For example, suppose that  $G$  is a 10 regular graph with all eigenvalues of the adjacency matrix other than  $\lambda_1$  in  $[-6, 6]$ . Show that  $G$  does not contain two seven element subsets  $X$  and  $Y$  so that there are edges joining every  $x \in X$  to every  $y \in Y$ , and so that there are no edges within  $X$  or within  $Y$ .