

## NOTES FOR SEPTEMBER 12

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**Last time:**

- We defined an inner product  $\langle h_\lambda, m_\mu \rangle = \delta_{\lambda\mu}$  and showed that this puts a symmetric bilinear form on  $\Lambda \times \Lambda \rightarrow \mathbb{Z}$ . On the homework, you will check that it is positive definite.
- We claimed that the  $h_\lambda$  with  $\ell(\lambda) \leq n$  are a basis for  $\Lambda_n$ .

Today: we'll check this claim, and also show that if  $(f_\lambda), (g_\lambda)$  are families of symmetric polynomials such that  $\sum_\lambda f_\lambda(x)g_\lambda(y) = \prod_{i,j} \frac{1}{1-x_i y_j}$ , then  $\{f_\lambda\}$  and  $\{g_\lambda\}$  are dual bases with respect to the inner product  $\langle \cdot, \cdot \rangle$ , that is,  $\langle f_\lambda, g_\mu \rangle = \delta_{\lambda\mu}$ .

**Claim.** The  $h_\lambda$  with  $\ell(\lambda) \leq n$  are a basis for  $\Lambda_n$ .

*Proof.* Given our inner product on  $\Lambda$  and a surjective map  $\pi : \Lambda \rightarrow \Lambda_n$ , we can build a backwards map  $\Lambda_n \rightarrow \Lambda$ , since  $\Lambda$  decomposes as  $\Lambda = \ker \pi \oplus (\ker \pi)^\perp$ , and the orthogonal complement is then isomorphic to  $\Lambda_n$ . Note that we have used the positive-definiteness of our inner product to get the direct sum decomposition: in particular, positive definiteness implies that  $(\ker \pi) \cap (\ker \pi)^\perp = 0$ , so that  $\ker \pi + (\ker \pi)^\perp = \ker \pi \oplus (\ker \pi)^\perp = \Lambda$ .

Our map  $\pi : \Lambda \rightarrow \Lambda_n$  is defined by sending

$$x_i \mapsto \begin{cases} x_i & i \leq n \\ 0 & i > n. \end{cases}$$

In our setting,  $\ker \pi = \text{Span}(m_\lambda : \ell(\lambda) > n)$ , so (using the dual basis) the orthogonal complement is  $(\ker \pi)^\perp = \text{Span}(h_\lambda : \ell(\lambda) \leq n)$ . In particular, this shows that the (span of the)  $h_\lambda$  maps isomorphically to  $\Lambda_n$ .  $\square$

**Note:** Our preferred lifting  $\Lambda_n \rightarrow \Lambda$  will be  $h_\lambda \mapsto h_\lambda$  for  $\ell(\lambda) \leq n$ . We will use this to define an inner product on  $\Lambda_n$ .

**Theorem.** Let  $f_\lambda, g_\lambda$  be sets of homogeneous symmetric polynomials such that  $\deg f_\lambda = \deg g_\lambda = |\lambda|$ . If  $\sum_\lambda f_\lambda(x)g_\lambda(y) = \prod_{i,j} \frac{1}{1-x_i y_j}$ , then  $f$  and  $g$  are dual bases (with respect to our inner product  $\langle \cdot, \cdot \rangle$ ).

*Proof.* First we show the  $f_\lambda$  span  $\mathbb{Q} \otimes \Lambda$ . If not, there exists  $h \neq 0$  in  $\Lambda$  such that  $\langle f_\lambda, h \rangle = 0$  for all  $\lambda$ . We show that  $h = 0$ .

Write  $h$  in the homogeneous basis,  $h(x) = \sum c_\lambda h_\lambda(x)$ . We have

$$\begin{aligned} \langle h(x), \prod_{i,j} \frac{1}{1-x_i y_j} \rangle &= \langle h(x), \sum h_\lambda(y) m_\lambda(x) \rangle \\ &= \sum c_\lambda h_\lambda(y) \end{aligned}$$

(The inner product is in the  $x$  variables.) On the other hand,  $\langle h(x), \sum f_\lambda(x) g_\lambda(y) \rangle = 0$ . So, we can conclude that  $\sum c_\lambda h_\lambda(y) = 0$ , so by linear independence  $c_\lambda = 0$ . Thus  $h$  was zero after all.

Thus the  $f_\lambda$  span  $\mathbb{Q} \otimes \Lambda$  in every degree, and by dimension counting (since we assumed  $\deg f_\lambda = |\lambda|$ , the  $f_\lambda$ 's are indexed by partitions, just like the known  $e, h, m$  bases) they are linearly independent. Since this holds in each degree, we see that the  $f_\lambda$  collectively give a basis for  $\mathbb{Q} \otimes \Lambda$ . So there exists *some* dual basis  $f_\lambda^\vee$ . We will show that  $f_\lambda^\vee = g_\lambda$ .

First consider the inner product

$$\langle f_\lambda^\vee(x), \prod_{i,j} \frac{1}{1-x_i y_j} \rangle = \langle f_\lambda^\vee(x), \sum f_\lambda(x) g_\lambda(y) \rangle = g_\lambda(y).$$

On the other hand,

$$\langle m_\lambda(x), \prod_{i,j} \frac{1}{1 - x_i y_j} \rangle = \langle m_\lambda(x), \sum h_\lambda(x) m_\lambda(y) \rangle = m_\lambda(y).$$

So, by linearity, for any  $f \in \Lambda$ ,

$$\langle f(x), \prod_{i,j} \frac{1}{1 - x_i y_j} \rangle = f(y).$$

Thus, our previous equation now reads

$$\langle f_\lambda^\vee(x), \prod_{i,j} \frac{1}{1 - x_i y_j} \rangle = g_\lambda(y) = f_\lambda^\vee(y). \quad \square$$

**Note:** Our assumptions of homogeneity (and symmetry) were important in this theorem. Here's an example of why: in the case  $n = 1$ , we have

$$\frac{1}{1 - xy} = \sum h_k(x) m_k(y) = \sum x^k y^k.$$

Now we'll make some 'bad choices'. In  $\Lambda \otimes \mathbb{Q}$ , we could write

$$\frac{1}{1 - xy} = \sum h_k(x) m_k(y) = 1 + \underbrace{\left( \frac{1}{2}x \right) \left( \frac{1}{2}y \right) + \cdots + \left( \frac{1}{2}x \right) \left( \frac{1}{2}y \right)}_{4 \text{ times}} + \underbrace{\left( \frac{1}{2}x^2 \right) \left( \frac{1}{2}y^2 \right) + \cdots + \left( \frac{1}{2}x^2 \right) \left( \frac{1}{2}y^2 \right)}_{4 \text{ times}} + \cdots$$

So now we have

$$\begin{array}{ll} f_0 = 1 & g_0 = 1 \\ f_1 = f_2 = f_3 = f_4 = \frac{1}{2}x & g_1 = g_2 = g_3 = g_4 = \frac{1}{2}y \\ f_5 = f_6 = f_7 = f_8 = \frac{1}{2}x^2 & g_5 = g_6 = g_7 = g_8 = \frac{1}{2}y^2 \\ f_9 = \cdots = f_{12} = \frac{1}{2}x^3 & g_9 = \cdots = g_{12} = \frac{1}{2}y^3 \end{array}$$

So the  $f$ 's are too numerous to be a basis for  $\mathbb{Q} \otimes \Lambda$ . (We could make similar counterexamples without working over  $\mathbb{Q}$ , just by choosing 'too many' polynomials in each degree.)

**Analogous statement for finite-dimensional vector spaces.** Say  $V$  is a finite dimensional vector space with basis  $v_1, \dots, v_n$  and  $V^\vee$  its dual, with basis  $w_1, \dots, w_n$ . Then  $(v_i)$  and  $(w_i)$  are dual bases if and only if

$$\sum_{i=1}^n v_i \otimes w_i = \text{Id in } V \otimes V^\vee = \mathcal{H}om(V, V).$$

In our setting, we have the identity  $k[x, y] = k[x] \otimes_k k[y]$  (as  $k$ -algebras), and we've been using this to dodge writing  $\otimes$ , and using the inner product  $\langle \cdot, \cdot \rangle$  to dodge distinguishing  $V$  and  $V^\vee$ .

**Next lecture (Friday):** Schur polynomials  $s_\lambda$ , our last basis for  $\Lambda$ . These appear as characters of irreducible representations of  $\text{GL}_n$  and are **self-dual**:  $\langle s_\lambda, s_\mu \rangle = \delta_{\lambda\mu}$ . Bear in mind that it isn't (a priori) obvious that a self-dual basis exists! (Note that one must exist in  $\Lambda \otimes \mathbb{R}$ , since there's only one positive definite bilinear form on  $\mathbb{R}$ .) On the other hand, since it exists, it is (essentially) unique:

**Observation:** Let  $L \cong \mathbb{Z}^n$  be a free abelian group of rank  $n$  with a symmetric bilinear form  $\langle \cdot, \cdot \rangle : L \times L \rightarrow \mathbb{Z}$ . Suppose there exists a basis  $e_i$  for  $L$  such that  $\langle e_i, e_j \rangle = \delta_{ij}$ . Then any other such basis must be of the form  $\{\pm e_i\}$ .

*Proof.* Let  $f_i$  be another orthonormal basis, and write  $f_i = \sum c_{ij} e_j$ , with  $c_{ij} \in \mathbb{Z}$ . Then

$$\langle f_i, f_i \rangle = \sum c_{ij}^2 = 1,$$

so one  $c_i = \pm 1$  and all the others are 0. Thus  $f_i = \pm e_j$  for some  $j$ . Since  $\langle f_i, f_j \rangle = 0$  for  $i \neq j$ , the  $f_i$  are a permutation of the  $(\pm)e_i$ .  $\square$

**Monday and Wednesday:** Fill in the details and prove two big identities needed to prove the self-duality of the Schur polynomials  $s_\lambda$ .