

1. Prove that a UFD is normal.

*Proof:* Let  $A$  be a UFD,  $K$  its field of fractions. Given  $x \in K$ , we can write  $x = a/b$  with  $a \in A$  and  $b \in A \setminus \{0\}$ , and the factorizations of  $a$  and  $b$  not having any prime in common (by canceling any common primes). Then if  $x$  is integral over  $A$ , we have

$$x^n + c_{n-1}x^{n-1} + \dots + c_1x + c_0 = 0$$

for some  $n$  and  $c_i \in A$ . Clearing denominators gives

$$a^n + c_{n-1}a^{n-1}b + \dots + c_1ab^{n-1} + c_0b^n = 0,$$

which shows that  $b$  divides  $a^n$ . But then any prime in  $b$ 's factorization would also be in  $a^n$ 's, and hence in  $a$ 's; we avoid a contradiction only if  $b$ 's factorization has no primes, i.e.  $b$  is a unit, which means  $x \in A$ .

2. What is the normalization of  $A = k[x, y]/(y^2 - x^3)$ ? Here  $k$  is a field.

*Answer:* First we give an injection of  $A$  into  $k[t]$ : define  $\varphi : A \rightarrow k[t]$  by  $x \mapsto t^2$ ,  $y \mapsto t^3$  (which is well-defined since  $(t^3)^2 - (t^2)^3 = 0$ ). We will show injectivity by exhibiting a collection of elements of  $A$  which span  $A$  and whose images under  $\varphi$  are linearly independent (as a bonus, this will simultaneously show that our collection is in fact a basis). The desired collection consists of the

$$\bar{x}^i \bar{y}^j \text{ for } i \geq 0 \text{ and } j = 0 \text{ or } 1.$$

These span  $A$ : indeed, certainly the  $\bar{x}^i \bar{y}^j$  for  $i, j \geq 0$  span, and we can reduce the  $j$  below 2 by successively applying the relation  $\bar{y}^2 = \bar{x}^3$ . And their images, namely 1 together with the  $t^n$  for  $n \geq 2$ , are indeed linearly independent.

So  $A$  is isomorphic to its image  $A' = \varphi(A)$  (which, explicitly, is the span of 1 and the  $x^n$  for  $n \geq 2$ ). Let's think about the normalization of  $A'$ . The field of fractions of  $A'$  is  $k(t)$ , the same as that of  $k[t]$ , since  $t = t^3/t^2$ . And  $k[t]$  is integral over  $A'$ , since  $t^2 - t^2 = 0$ . And  $k[t]$  is a UFD, hence integrally closed by the first problem. Thus  $k[t]$  is the normalization of  $A'$ ; that is, the normalization of  $A'$  is obtained by adjoining the element  $t^3/t^2$  to  $A'$  inside its field of fractions. Translating via the above isomorphism, we see that the normalization of  $A$  is obtained by adjoining  $\bar{y}/\bar{x}$  inside the field of fractions, and that the result is just a polynomial ring in  $\bar{y}/\bar{x}$ .

3. Let  $I$  be an ideal in a ring  $A$ . Is  $\text{Ann}(I/I^2) = \text{Ann}(I) + I$ ?

*Answer:* No. Many of you gave the following good example: let  $A = \prod_{i=1}^{\infty} \mathbf{Z}/2\mathbf{Z}$ , and  $I$  be the ideal  $\oplus_{i=1}^{\infty} \mathbf{Z}/2\mathbf{Z}$ . Then  $I = I^2$ , so  $\text{Ann}(I/I^2) = A$ ; but  $\text{Ann}(I) = 0$ , so  $\text{Ann}(I) + I = I \neq A$ .

In this example,  $I$  is not finitely generated. Even if  $I$  is finitely generated, we don't necessarily have equality; Tiankai and Nike gave the following example: let  $A = k[x, y, z]/(y^2 - xz, x^2 - yz)$  and  $I = (x, y)$ . Then  $z \in \text{Ann}(I/I^2)$ , but the claim is that  $z \notin \text{Ann}(I) + I$ . This claim is not easy to check, because it can be tricky to get a handle on modding out by  $y^2 - xz$  and  $x^2 - yz$ . There is a technique one can use, that of Groebner bases, which I won't explain here, but maybe will in section some day.

The reason the finitely generated case is different is that for  $I$  finitely generated, one necessarily has that the radicals of  $\text{Ann}(I/I^2)$  and of  $\text{Ann}(I) + I$  coincide. You might not understand this argument yet, but you soon will:

Indeed, It suffices to see that  $V(\text{Ann}(I/I^2)) = V(\text{Ann}(I) + I) = V(\text{Ann}(I)) \cap V(I)$ . Since  $I = \text{Ann}(A/I)$  and  $I/I^2$  is isomorphic to  $I \otimes_A A/I$  (see the next problem), this will follow from the following more general claim: let  $M$  and  $N$  be finitely generated modules over  $A$ . Then  $V(\text{Ann}(M \otimes_A N)) = V(\text{Ann}(M)) \cap V(\text{Ann}(N))$ .

For this I first claim that  $\mathfrak{p} \notin V(\text{Ann}(M))$  if and only if  $M_{\mathfrak{p}} = 0$ . For “if”, note that the condition implies that,  $m_1, \dots, m_n$  being generators, there are  $a_1, \dots, a_n \in A \setminus \mathfrak{p}$  with  $a_i m_i = 0$ . Then the product of the  $a_i$  will be in  $\text{Ann}(M)$  but not  $\mathfrak{p}$ . “Only if” is easier, and I leave it to you.

Thus what we need to prove is that  $(M \otimes_A N)_{\mathfrak{p}} = 0$  if and only if either  $M_{\mathfrak{p}} = 0$  or  $N_{\mathfrak{p}} = 0$ . But (and here by  $=$  I mean canonical isomorphisms of  $A_{\mathfrak{p}}$ -modules) we have

$$(M \otimes_A N)_{\mathfrak{p}} = (M \otimes_A N) \otimes_A A_{\mathfrak{p}} = (M \otimes_A A_{\mathfrak{p}}) \otimes_{A_{\mathfrak{p}}} (N \otimes_A A_{\mathfrak{p}}) = M_{\mathfrak{p}} \otimes_{A_{\mathfrak{p}}} N_{\mathfrak{p}},$$

so the claim follows from 2.3 below (certainly if  $M$  is finitely generated over  $A$ , so is  $M_{\mathfrak{p}}$  over  $A_{\mathfrak{p}}$ ). Here the second  $=$  follows from a general claim made in the proof of that exercise, and the other  $=$ 's are instances of the same general fact  $M_{\mathfrak{p}} = M \otimes_A A_{\mathfrak{p}}$ , which I invite you to check.

**2.2** Let  $A$  be a ring,  $I$  an ideal, and  $M$  an  $A$ -module. Show that  $(A/I) \otimes_A M$  is isomorphic to  $M/IM$ .

*Proof:* Consider the short exact sequence

$$0 \rightarrow I \rightarrow A \rightarrow A/I \rightarrow 0.$$

Tensoring with  $M$  is right exact, so we get an exact sequence

$$I \otimes M \rightarrow A \otimes M \rightarrow (A/I) \otimes M \rightarrow 0.$$

This shows that  $(A/I) \otimes M$  is isomorphic to  $A \otimes M$  modulo the image of  $I \otimes M \rightarrow A \otimes M$ . However, I claim that  $A \otimes M$  is isomorphic to  $M$  via  $a \otimes m \mapsto am$ . Indeed, this is certainly bilinear, so gives a good map from the tensor product  $A \otimes M$ ; and  $m \mapsto 1 \otimes m$  is an obvious inverse. So  $(A/I) \otimes M$  is isomorphic to  $M$  modulo the image of  $I \otimes M \rightarrow A \otimes M \rightarrow M$ , which is  $IM$  if you just remember the maps.

**2.3** Let  $A$  be a local ring,  $M$  and  $N$  finitely generated  $A$ -modules. Prove that if  $M \otimes_A N = 0$ , then  $M = 0$  or  $N = 0$ .

*Proof:* First I claim that if  $A$  is an arbitrary ring and  $B$  an  $A$ -algebra, and  $M$  and  $N$  are arbitrary  $A$ -modules, we have a canonical isomorphism of  $B$ -modules  $(M \otimes_A N) \otimes_A B = (M \otimes_A B) \otimes_B (N \otimes_A B)$ . As a lemma, I claim that  $M \otimes_A B$  has the following universal property: for any  $B$ -module  $P$ , the sets  $\text{Hom}_B(M \otimes_A B, P)$  and  $\text{Hom}_A(M, P)$  are in canonical one-to-one correspondence (we can consider  $P$  as an  $A$ -module through the map  $A \rightarrow B$ : have  $a \in A$  act through its image). Indeed, to  $f : M \otimes_A B \rightarrow P$  we can associate an  $M \rightarrow P$  by  $m \mapsto f(m \otimes 1)$ , and to  $g : M \rightarrow P$  we can associate  $M \otimes_A B \rightarrow P$  by  $m \otimes b \mapsto bg(m)$ , and these are easily seen to be inverse. Then the following chain of canonical bijections shows that the

two modules we want to be isomorphic satisfy the same universal property:

$$\begin{aligned}
 \text{Hom}_B((M \otimes_A N) \otimes_A B, P) &= \text{Hom}_A(M \otimes_A N, P) \\
 &= \text{Hom}_A(M, \text{Hom}_A(N, P)) \\
 &= \text{Hom}_A(M, \text{Hom}_B(N \otimes_A B, P)) \\
 &= \text{Hom}_B(M \otimes_A B, \text{Hom}_B(N \otimes_A B, P)) \\
 &= \text{Hom}_B((M \otimes_A B) \otimes_B (N \otimes_A B), P).
 \end{aligned}$$

(To get the explicit isomorphism and its inverse from this argument, one should take  $P = (M \otimes_A N) \otimes_A B$  in the above and trace  $\text{id}_{(M \otimes_A N) \otimes_A B}$  through; then do the reverse with  $P = (M \otimes_A B) \otimes_B (M \otimes_A B)$  and  $\text{id}_{(M \otimes_A B) \otimes_B (M \otimes_A B)}$ ).

Now we apply this in our situation with  $B = A/\mathfrak{m}$ . We see that  $M \otimes_A N = 0$  implies, on tensoring with  $B$ , that  $M/\mathfrak{m}M \otimes_{A/\mathfrak{m}} N/\mathfrak{m}N = 0$  (we have also used the previous exercise). But  $M/\mathfrak{m}M$  and  $N/\mathfrak{m}N$  are vector spaces over the field  $A/\mathfrak{m}$ , so this means that one must be zero (count dimensions if you like;  $\dim$  takes tensor to product). Then Nakayama's lemma implies that one of  $M$  and  $N$  is zero, as desired.

**5.8.** Let  $A$  be a subring of a ring  $B$ , and let  $C$  be the integral closure of  $A$  in  $B$ . Let  $f, g$  be monic polynomials in  $B[x]$  such that  $fg \in C[x]$ . Prove that  $f, g \in C[x]$ .

*Proof:* First some building lemmas.

*Lemma:* Let  $R$  be a ring,  $f \in R[x]$ , and  $a \in R$  such that  $f(a) = 0$ . Then there is a  $g \in R[x]$  with  $f(x) = g(x)(x - a)$ . If  $f \neq 0$  then the degree of  $f$  is one less than that of  $g$  (this is obvious).

*Proof:* Expand out  $f(x) = f(x - a + a)$  as a polynomial in  $x - a$  (e.g. using binomial theorem); the constant term is  $f(a) = 0$ .

*Lemma:* Let  $R$  be a ring,  $f \in R[x]$  monic. Then there is a ring  $S$  and an injection  $R \rightarrow S$  such that  $f$  has a root in  $S$ .

*Proof:* Take  $S = R[x]/(f)$ . Clearly  $f$  has the root  $\bar{x}$  in  $S$ , and  $R \rightarrow S$  is injective since everything nonzero in  $(f)$  has degree  $\geq \deg(f) > 0$  (here we use monicity).

*Lemma:* Let  $R$  be a ring,  $f \in R[x]$  monic. Then there is a ring  $S$  and an injection  $R \rightarrow S$  such that  $f$  splits into linear factors in  $S$ .

*Proof:* Use the previous two lemmas and induction.

Now for the proof proper. Apply the last lemma to get a ring  $S$  containing  $B$  in which both  $f$  and  $g$  split completely (it's not enough to get  $fg$  to split completely; consider  $f = g = x^2 + 2$  in  $\mathbf{Z}/4\mathbf{Z}[x]$ ), say as  $f(x) = \prod (x - \alpha_i)$  and  $g(x) = \prod (x - \beta_j)$ . Then each  $\alpha_i$  and  $\beta_j$  is a root of  $fg$ , which is monic with coefficients in  $C$ ; thus each is integral over  $C$ . Hence so is every polynomial in the  $\alpha_i$  and  $\beta_j$ , in particular the coefficients of  $f$  and  $g$ . But these also lie in  $B$ , in which  $C$  is integrally closed; hence  $f, g \in C[x]$  as desired.

**5.9.** Let  $A$  be a subring of a ring  $B$ , and  $C$  the integral closure of  $A$  in  $B$ . Show that  $C[x]$  is the integral closure of  $A[x]$  in  $B[x]$ .

*Proof:* Firstly,  $C[x]$  is integral over  $A[x]$ , since obviously both  $C$  and  $x$  are. For the converse, suppose  $f \in B[x]$  with

$$f^n + g_{n-1}f^{n-1} + \dots + g_1f + g_0 = 0$$

for some  $g_i \in A[x]$ . Take  $r$  larger than the degree of everything in sight, and let  $f' = f - x^r$ . Then replacing  $f$  by  $f' + x^r$  in the above and expanding as a polynomial in  $f'$  gives something like

$$f'^n + h_{n-1}f'^{n-1} + \dots + h_1f' + h_0 = 0,$$

with  $h_0 = x^{rn} + g_{n-1}h^{r(n-1)} + \dots + g_1h^r + g_0 \in A[x]$ . We deduce from the above inset equation that

$$(-f') \cdot (\textit{something in } B[x]) = h_0;$$

by our choice of  $r$ , both  $-f'$  and  $h_0$  are monic; hence so is *(something)*; the previous exercise lets us conclude that  $f' \in C[x]$ ; hence  $f = f' + x^r$  is too.